

# Notes on the octonions

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2017-04-04

## Abstract

This is an expository paper. Its purpose is to explain the linear algebra that underlies Donaldson–Thomas theory and the geometry of Riemannian manifolds with holonomy in  $G_2$  and  $\text{Spin}(7)$ .

## 1 Introduction

In these notes we give an exposition of the structures in linear algebra that underlie Donaldson–Thomas theory [DT98; DS11] and calibrated geometry [HL82; Joy00]. No claim is made to originality. All the results and ideas described here (except perhaps [Theorem 7.8](#)) can be found in the existing literature, notably in the beautiful paper [HL82] by Harvey and Lawson. Perhaps these notes might be a useful introduction for students who wish to enter the subject.

Our emphasis is on characterizing the relevant algebraic structures—such as cross products, triple cross products, associator and coassociator brackets, associative, coassociative, and Cayley calibrations and subspaces—by their intrinsic properties rather than by the existence of isomorphisms to the standard structures on the octonions and the imaginary octonions, although both descriptions are of course equivalent.

[Section 2](#) deals with cross products and their associative calibrations. It contains a proof that they exist only in dimensions 0, 1, 3, and 7. In [Section 3](#) we discuss nondegenerate 3–forms on 7–dimensional vector spaces (associative calibrations) and explain how they give rise to unique compatible inner products. Additional structures such as associative and coassociative subspaces and the associator and coassociator brackets are discussed in [Section 4](#). These structures are relevant for understanding  $G_2$ –structures on 7–manifolds and the Chern–Simons functional in Donaldson–Thomas theory.

The corresponding Floer theory has as its counterpart in linear algebra the product with the real line. This leads to the structure of a normed algebra which only exists in dimensions 1, 2, 4, and 8, corresponding to the reals, the complex numbers, the quaternions, and the octonions. These structures are discussed in [Section 5](#). Going from Floer theory to an intrinsic theory for

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\*partially supported by the Swiss National Science Foundation

Donaldson-type invariants of 8-dimensional  $\text{Spin}(7)$ -manifolds corresponds to dropping the space-time splitting. The algebraic counterpart of this reduction is to eliminate the choice of the unit (as well as the product). What is left of the algebraic structures is the triple cross product and its Cayley calibration—a suitable 4-form on an 8-dimensional Hilbert space. These structures are discussed in Section 6. Section 7 characterizes those 4-forms on 8-dimensional vector spaces (the Cayley-forms) that give rise to (unique) compatible inner products and hence to triple cross products. The relevant structure groups  $G_2$  (in dimension 7) and  $\text{Spin}(7)$  (in dimension 8) are discussed in Section 8 and Section 9 with a particular emphasis on the splitting of the space of alternating multi-linear forms into irreducible representations. In Section 10 we examine spin structures in dimensions 7 and 8. Section 11 relates  $\text{SU}(3)$  and  $\text{SU}(4)$  structures to cross products and triple cross products and Section 12 gives a brief introduction to the basic setting of Donaldson–Thomas theory.

Here is a brief overview of some of the literature about the groups  $G_2$  and  $\text{Spin}(7)$ . The concept of a calibration was introduced in the article of Harvey–Lawson [HL82] which also contains definitions of  $G_2$  and  $\text{Spin}(7)$  in terms of the octonions. Humphreys [Hum78, Section 19.3] constructs (the Lie algebra of)  $G_2$  from the Dynkin diagram and proves that this coincides with the definition in terms of the octonions. The characterization of  $G_2$  and  $\text{Spin}(7)$  as the stabilisers of certain 3- and 4-forms is due to Bonan [Bon66]. The connection between calibrations and spinors is discussed in Harvey’s book [Har90] as well as in the article of Dadok–Harvey [DH93].

Harvey–Lawson also introduced the (multiple) cross products and the associator and coassociator brackets. The concept of a multiple cross product goes back to Eckmann [Eck43]. Building on this work, Whitehead [Whi63] classified those completely; see also Brown–Gray [BG67]. To our best knowledge, the splitting of the exterior algebra into irreducible  $G_2$ -representations is due to Fernández–Gray [FG82, Section 3], who also emphasize the relation between  $G_2$  and the cross product in dimension seven. This as well as the analogous result for  $\text{Spin}(7)$  can also be found in Bryant [Bry87, Section 2].

Among many others, the more recent articles by Bryant [Bry06], Karigiannis [Karo8; Karo9; Kar10] and Muñoz [Muñ14, Section 2] contain useful summaries of the linear algebra related to  $G_2$  and  $\text{Spin}(7)$ .

## 2 Cross products

We assume throughout that  $V$  is a finite dimensional real Hilbert space.

**Definition 2.1.** A skew-symmetric bilinear map

$$(2.2) \quad V \times V \rightarrow V: (u, v) \mapsto u \times v$$

is called a **cross product** if it satisfies

$$(2.3) \quad \langle u \times v, u \rangle = \langle u \times v, v \rangle = 0, \quad \text{and}$$

$$(2.4) \quad |u \times v|^2 = |u|^2|v|^2 - \langle u, v \rangle^2$$

for all  $u, v \in V$ .

A bilinear map (2.2) that satisfies (2.4) also satisfies  $u \times u = 0$  for all  $u \in V$  and, hence, is necessarily skew-symmetric.

**Theorem 2.5.**  *$V$  admits a cross product if and only if its dimension is either 0, 1, 3, or 7. In dimensions 0 and 1 the cross product vanishes, in dimension 3 it is unique up to sign and determined by an orientation of  $V$ , and in dimension 7 it is unique up to orthogonal isomorphism.*

*Proof.* See page 8. □

The proof of Theorem 2.5 is based on the next five lemmas.

**Lemma 2.6.** *Let (2.2) be a skew-symmetric bilinear map. Then the following are equivalent:*

(i) *Equation (2.3) holds for all  $u, v \in V$ .*

(ii) *For all  $u, v, w \in V$  we have*

$$(2.7) \quad \langle u \times v, w \rangle = \langle u, v \times w \rangle.$$

(iii) *The map  $\phi: V^3 \rightarrow \mathbf{R}$ , defined by*

$$(2.8) \quad \phi(u, v, w) := \langle u \times v, w \rangle,$$

*is an alternating 3-form (called the **associative calibration** of  $(V, \times)$ ).*

*Proof.* Let (2.2) be a skew-symmetric bilinear map. Assume that it satisfies (2.3). Then, for all  $u, v, w \in V$ , we have

$$\begin{aligned} 0 &= \langle v \times (u + w), u + w \rangle \\ &= \langle v \times w, u \rangle + \langle v \times u, w \rangle \\ &= \langle u, v \times w \rangle - \langle u \times v, w \rangle. \end{aligned}$$

This proves (2.7).

Now assume (2.7) and let  $\phi$  be defined by (2.8). Then, by skew-symmetry, we have  $\phi(u, v, w) + \phi(v, u, w) = 0$  for all  $u, v, w$  and, by (2.7), we have  $\phi(u, v, w) = \phi(v, w, u)$  for all  $u, v, w$ . Hence,  $\phi$  is an alternating 3-form. Thus we have proved that (i) implies (ii) implies (iii).

That (iii) implies (i) is obvious. This proves Lemma 2.6. □

**Lemma 2.9.** *Let (2.2) be a skew-symmetric bilinear map that satisfies (2.3). Then the following are equivalent:*

(i) *The bilinear map (2.2) satisfies (2.4).*

(ii) *If  $u$  and  $w$  are orthonormal, then  $|u \times w| = 1$ .*

(iii) If  $|u| = 1$  and  $w$  is orthogonal to  $u$ , then  $u \times (u \times w) = -w$ .

(iv) For all  $u, w \in V$  we have

$$(2.10) \quad u \times (u \times w) = \langle u, w \rangle u - |u|^2 w.$$

(v) For all  $u, v, w \in V$  we have

$$(2.11) \quad u \times (v \times w) + v \times (u \times w) = \langle u, w \rangle v + \langle v, w \rangle u - 2\langle u, v \rangle w.$$

*Proof.* That ((i)) implies ((ii)) is obvious.

We prove that ((ii)) implies ((iii)). Fix a vector  $u \in V$  with  $|u| = 1$  and define the linear map  $A: V \rightarrow V$  by  $Aw := u \times w$ . Then, by skew-symmetry and (2.7),  $A$  is skew-adjoint and, by (2.3), it preserves the subspace  $W := u^\perp$ . Hence, the restriction of  $A^2$  to  $W$  is self-adjoint and, by ((ii)), it satisfies  $\langle w, A^2 w \rangle = -|u \times w|^2 = -|w|^2$  for  $w \in W$ . Hence, the restriction of  $A^2$  to  $W$  is equal to minus the identity. This proves that ((ii)) implies ((iii)).

We prove that ((iii)) implies ((iv)). Fix a vector  $u \in V$  and define  $A: V \rightarrow V$  by  $Aw := u \times w$  as above. By ((iii)) we have  $A^2 w = -|u|^2 w$  whenever  $w$  is orthogonal to  $u$ . Since  $A^2 u = 0$ , this implies ((iv)).

Assertion ((v)) follows from ((iv)) by replacing  $u$  with  $u + v$ . To prove that ((v)) implies ((i)), set  $w = v$  in (2.11) and take the inner product with  $u$ . Then  $|u \times v|^2 = \langle u, u \times (v \times v) + v \times (u \times v) \rangle = |u|^2 |v|^2 - \langle u, v \rangle^2$ . Here the first equality follows from (2.7) and the second from (2.11) with  $w = v$ . This proves Lemma 2.9.  $\square$

**Lemma 2.12.** Assume  $\dim V = 3$ .

(i) A cross product on  $V$  determines a unique orientation such that  $u, v, u \times v$  form a positive basis for every pair of linearly independent vectors  $u, v \in V$ .

(ii) If (2.2) is a cross product on  $V$ , then the 3-form  $\phi$  given by (2.8) is the volume form associated to the inner product and the orientation in ((i)).

(iii) If (2.2) is a cross product on  $V$ , then

$$(2.13) \quad (u \times v) \times w = \langle u, w \rangle v - \langle v, w \rangle u$$

for all  $u, v, w \in V$ .

(iv) Fix an orientation on  $V$  and denote by  $\phi \in \Lambda^3 V^*$  the associated volume form. Then (2.8) determines a cross product on  $V$ .

*Proof.* Assertion ((i)) follows from the fact that the space of pairs of linearly independent vectors in  $V$  is connected (whenever  $\dim V \neq 2$ ). Assertion ((ii)) follows from the fact that, if  $u, v$  are orthonormal, then  $u, v, u \times v$  form a positive orthonormal basis and

$$\phi(u, v, u \times v) = |u \times v|^2 = 1.$$

We prove ((iii)). If  $u$  and  $v$  are linearly dependent, then both sides of (2.13) vanish. Hence we may assume that  $u$  and  $v$  are linearly independent or, equivalently, that  $u \times v \neq 0$ . Since  $(u \times v) \times w$  is orthogonal to  $u \times v$ , by equation (2.7), and  $V$  has dimension 3, it follows that  $(u \times v) \times w$  must be a linear combination of  $u$  and  $v$ . The formula (2.13) follows by taking the inner products with  $u$  and  $v$ , and using Lemma 2.9 ((v)).

We prove ((iv)). Assume that the bilinear map (2.2) is defined by (2.8), where  $\phi$  is the volume form associated to an orientation of  $V$ . Then skew-symmetry and (2.3) follow from the fact that  $\phi$  is a 3-form (see Lemma 2.6). If  $u, v$  are linearly independent, then by (2.8) we have

$$u \times v \neq 0$$

and

$$\phi(u, v, u \times v) = |u \times v|^2 > 0.$$

If  $u, v$  are orthonormal, it follows that  $u, v, u \times v$  is a positive orthogonal basis and so

$$\phi(u, v, u \times v) = |u \times v|.$$

Combining these two identities we obtain  $|u \times v| = 1$  when  $u, v$  are orthonormal. Hence, (2.4) follows from Lemma 2.9. This proves Lemma 2.12.  $\square$

**Example 2.14.** On  $\mathbf{R}^3$  the cross product associated to the standard inner product and the standard orientation is given by the familiar formula

$$u \times v = \begin{pmatrix} u_2v_3 - u_3v_2 \\ u_3v_1 - u_1v_3 \\ u_1v_2 - u_2v_1 \end{pmatrix}.$$

**Example 2.15.** The standard structure on  $\mathbf{R}^7$  can be obtained from a basis of the form  $i, j, k, e, ei, ej, ek$ , where  $i, j, k, e$  are anti-commuting generators with square minus one and  $ij = k$ . Then the cross product is given by

$$(2.16) \quad u \times v := \begin{pmatrix} u_2v_3 - u_3v_2 - u_4v_5 + u_5v_4 - u_6v_7 + u_7v_6 \\ u_3v_1 - u_1v_3 - u_4v_6 + u_6v_4 - u_7v_5 + u_5v_7 \\ u_1v_2 - u_2v_1 - u_4v_7 + u_7v_4 - u_5v_6 + u_6v_5 \\ u_1v_5 - u_5v_1 + u_2v_6 - u_6v_2 + u_3v_7 - u_7v_3 \\ -u_1v_4 + u_4v_1 - u_2v_7 + u_7v_2 + u_3v_6 - u_6v_3 \\ u_1v_7 - u_7v_1 - u_2v_4 + u_4v_2 - u_3v_5 + u_5v_3 \\ -u_1v_6 + u_6v_1 + u_2v_5 - u_5v_2 - u_3v_4 + u_4v_3 \end{pmatrix}.$$

With

$$e^{ijk} := dx_i \wedge dx_j \wedge dx_k$$

the associated 3-form (2.8) is given by

$$(2.17) \quad \phi_0 = e^{123} - e^{145} - e^{167} - e^{246} - e^{275} - e^{347} - e^{356}.$$

The product (2.16) is skew-symmetric and (2.7) follows from the fact that the matrix  $A(u)$  defined by

$$A(u)v := u \times v$$

is skew symmetric for all  $u$ , namely,

$$A(u) := \begin{pmatrix} 0 & -u_3 & u_2 & u_5 & -u_4 & u_7 & -u_6 \\ u_3 & 0 & -u_1 & u_6 & -u_7 & -u_4 & u_5 \\ -u_2 & u_1 & 0 & u_7 & u_6 & -u_5 & -u_4 \\ -u_5 & -u_6 & -u_7 & 0 & u_1 & u_2 & u_3 \\ u_4 & u_7 & -u_6 & -u_1 & 0 & u_3 & -u_2 \\ -u_7 & u_4 & u_5 & -u_2 & -u_3 & 0 & u_1 \\ u_6 & -u_5 & u_4 & -u_3 & u_2 & -u_1 & 0 \end{pmatrix}.$$

We leave it to the reader to verify (2.4) (or equivalently  $|u \times v| = 1$  whenever  $u$  and  $v$  are orthonormal).

See also Remark 3.6 below.

**Lemma 2.18.** *Let  $V$  be a real Hilbert space and (2.2) be a cross product on  $V$ . Let  $\phi \in \Lambda^3 V^*$  be given by (2.8). Then the following holds:*

(i) *Let  $u \in V$  be a unit vector and  $W_u := u^\perp$ . Define  $\omega_u: W_u \times W_u \rightarrow \mathbf{R}$  and  $J_u: W_u \rightarrow W_u$  by*

$$\omega_u(v, w) := \langle u, v \times w \rangle, \quad J_u v := u \times v$$

*for  $v, w \in W_u$ . Then  $\omega_u$  is a symplectic form on  $W_u$ ,  $J_u$  is a complex structure compatible with  $\omega_u$ , and the associated inner product is the one inherited from  $V$ . In particular, the dimension of  $V$  is odd.*

(ii) *Suppose  $\dim V = 2n + 1 \geq 3$ . Then there is a unique orientation of  $V$  such that the associated volume form  $\text{vol} \in \Lambda^{2n+1} V^*$  satisfies*

$$(2.19) \quad (i(u)\phi)^{n-1} \wedge \phi = n!|u|^{n-1}\text{vol}$$

*for every  $u \in V$ . In particular,  $n$  is odd.*

*Proof.* We prove ((i)). By Lemma 2.6 the bilinear form  $\omega_u$  is skew symmetric and, by Lemma 2.9, we have  $J_u \circ J_u = -1$ . Moreover,

$$\omega_u(v, J_u w) = \langle u \times v, u \times w \rangle = -\langle v, u \times (u \times w) \rangle = \langle v, w \rangle$$

for all  $v, w \in V$ . Here the first equation follows from the definition of  $\omega_u$  and  $J_u$ , the second follows from (2.7), and the last from Lemma 2.9. Thus the dimension of  $W_u$  is even and so the dimension of  $V$  is odd.

We prove ((ii)). The set of all bases  $(u, v_1, \dots, v_{2n}) \in V^{2n+1}$ , where  $u$  has norm one and  $v_1, \dots, v_{2n}$  is a symplectic basis of  $W_u$ , is connected. Hence, there is a unique orientation of  $V$  with respect to which every such basis is positive. Let  $\text{vol} \in \Lambda^{2n+1}V^*$  be the associated volume form. To prove equation (2.19) assume first that  $|u| = 1$  and choose an orthonormal symplectic basis  $v_1, \dots, v_{2n}$  of  $W_u$ . (For example pick an orthonormal basis  $v_1, v_3, \dots, v_{2n-1}$  of a Lagrangian subspace of  $W_u$  and define  $v_{2k} := J_u v_{2k-1}$  for  $k = 1, \dots, n$ .) Now evaluate both sides of the equation on the tuple  $(u, v_1, \dots, v_{2n})$ . Then we obtain  $n!$  on both sides. This proves (2.19) whenever  $u$  has norm one. The general case follows by scaling. It follows from (2.19) that  $n$  is odd since otherwise the left hand side changes sign when we replace  $u$  by  $-u$ . This proves Lemma 2.18.  $\square$

**Lemma 2.20.** *Let  $n > 1$  be an odd integer and  $V$  be an oriented real Hilbert space of dimension  $2n + 1$  with volume form  $\text{vol} \in \Lambda^{2n+1}V^*$ . Let  $\phi \in \Lambda^3V^*$  be a 3-form and denote its isotropy group by*

$$G := \{g \in \text{Aut}(V) : g^* \phi = \phi\}.$$

*If  $\phi$  satisfies (2.19), then  $G \subset \text{SO}(V)$ .*

*Proof.* Let  $g \in G$  and  $u \in V$ . Then it follows from (2.19) that

$$\begin{aligned} |gu|^{n-1} g^* \text{vol} &= \frac{1}{n!} g^* ((u(gu)\phi)^{n-1} \wedge \phi) \\ &= \frac{1}{n!} \left( (g^* \iota(u) \phi)^{n-1} \wedge g^* \phi \right) \\ &= \frac{1}{n!} (\iota(u) g^* \phi)^{n-1} \wedge g^* \phi \\ &= \frac{1}{n!} (\iota(u) \phi)^{n-1} \wedge \phi \\ &= |u|^{n-1} \text{vol}. \end{aligned}$$

Hence, there is a constant  $c > 0$  such that

$$g^* \text{vol} = c^{-1} \text{vol}, \quad |gu|^{n-1} = c |u|^{n-1}$$

for every  $u \in V$ . Since  $n > 1$ , this gives  $|gu| = c^{\frac{1}{n-1}} |u|$  for  $u \in V$  and hence

$$g^* \text{vol} = c^{\frac{2n+1}{n-1}} \text{vol} = c^{\frac{3n}{n-1}} g^* \text{vol}.$$

Thus  $c = 1$  and this proves Lemma 2.20.  $\square$

*Proof of Theorem 2.5.* Assume  $\dim V > 1$ , let (2.2) be a cross product on  $V$ , and define  $\phi: V \times V \times V \rightarrow \mathbf{R}$  by (2.8). By Lemma 2.6, we have  $\phi \in \Lambda^3 V^*$ . By Lemma 2.18 (i), the dimension of  $V$  is odd. By Lemma 2.20, we have  $\dim V = 4n + 3$  for some integer  $n \geq 0$ . In particular  $\dim V \neq 5$ .

We prove that  $\dim V \leq 7$ . Define  $A: V \rightarrow \text{End}(V)$  by  $A(u)v := u \times v$ . Then it follows from Lemma 2.9 that

$$A(u)u = 0, \quad A(u)^2 = uu^* - |u|^2 \mathbf{1}.$$

Define  $\gamma: V \rightarrow \text{End}(\mathbf{R} \oplus V)$  by

$$(2.21) \quad \gamma(u) := \begin{pmatrix} 0 & -u^* \\ u & A(u) \end{pmatrix},$$

where  $u^*: V \rightarrow \mathbf{R}$  denotes the linear functional  $v \mapsto \langle u, v \rangle$ . Then

$$(2.22) \quad \gamma(u)^* + \gamma(u) = 0, \quad \gamma(u)^* \gamma(u) = |u|^2 \mathbf{1}$$

for every  $u \in V$ . Here the first equation follows from the fact that  $A(u)$  is skew-adjoint for every  $u$  and the last equation follows by direct calculation. This implies that  $\gamma$  extends to a linear map from the Clifford algebra  $\text{Cl}(V)$  to  $\text{End}(\mathbf{R} \oplus V)$ . The restriction of this extension to the Clifford algebra of any even dimensional subspace of  $V$  is injective (see, e.g. [Sal99, Proposition 4.13]). Hence,  $2^{2n} \leq (2n + 2)^2$ . This implies  $n \leq 3$  and so  $\dim V = 2n + 1 \leq 7$ . Thus we have proved that the dimension of  $V$  is either 0, 1, 3, or 7. That the cross product vanishes in dimension 0 and 1 is obvious. That it is uniquely determined by the orientation of  $V$  in dimension 3 follows from Lemma 2.12. The last assertion of Theorem 2.5 is restated and proved in Theorem 3.2 below.  $\square$

*Remark 2.23.* Let  $V$  be a nonzero real Hilbert space that admits a 3-form  $\phi$  whose isotropy subgroup  $G$  is contained in  $\text{SO}(V)$ . Then

$$\dim \text{Aut}(V) - \dim \Lambda^3 V^* \leq \dim G \leq \dim \text{SO}(V).$$

Hence,  $\dim V \geq 7$  as otherwise  $\dim \text{SO}(V) < \dim \text{Aut}(V) - \dim \Lambda^3 V^*$ . This gives another proof for the nonexistence of cross products in dimension 5.

### 3 Associative calibrations

**Definition 3.1.** Let  $V$  be a real vector space. A 3-form  $\phi \in \Lambda^3 V^*$  is called **nondegenerate** if, for every pair of linearly independent vectors  $u, v \in V$ , there is a vector  $w \in V$  such that  $\phi(u, v, w) \neq 0$ . An inner product on  $V$  is called **compatible** with  $\phi$  if the map (2.2) defined by (2.8) is a cross product.

**Theorem 3.2.** *Let  $V$  be a 7-dimensional real vector space and  $\phi, \phi' \in \Lambda^3 V^*$ . Then the following holds:*

- (i)  $\phi$  is nondegenerate if and only if it admits a compatible inner product.



(ii) The inner product in ((i)), if it exists, is uniquely determined by  $\phi$ .

(iii) If  $\phi$  and  $\phi'$  are nondegenerate, the vectors  $u, v, w$  are orthonormal for  $\phi$  and satisfy  $\phi(u, v, w) = 0$ , and the vectors  $u', v', w'$  are orthonormal for  $\phi'$  and satisfy  $\phi'(u', v', w') = 0$ , then there exists a  $g \in \text{Aut}(V)$  such that  $g(u) = u', g(v) = v', g(w) = w'$ , and  $g^*\phi' = \phi$ .

*Proof.* See pages 11 and 12. □

*Remark 3.3.* If  $\dim V = 3$ , then  $\phi \in \Lambda^3 V^*$  is nondegenerate if and only if it is nonzero. If  $\phi \neq 0$ , then, by Lemma 2.12, an inner product on  $V$  is compatible with  $\phi$  if and only if  $\phi$  is the associated volume form with respect to some orientation, i.e.,  $\phi(u, v, w) = \pm 1$  for every orthonormal basis  $u, v, w$  of  $V$ . Thus assertion ((i)) of Theorem 3.2 continues to hold in dimension three.

However, assertion ((ii)) is specific to dimension seven.

**Lemma 3.4.** *Let  $V$  be a 7-dimensional real Hilbert space and  $\phi \in \Lambda^3 V^*$ . Then the following are equivalent:*

(i)  $\phi$  is compatible with the inner product.

(ii) There is an orientation on  $V$  such that the associated volume form  $\text{vol} \in \Lambda^7 V^*$  satisfies

$$(3.5) \quad \iota(u)\phi \wedge \iota(v)\phi \wedge \phi = 6\langle u, v \rangle \text{vol}$$

for all  $u, v \in V$ .

Each of these conditions implies that  $\phi$  is nondegenerate. Moreover, the orientation in ((ii)), if it exists, is uniquely determined by  $\phi$ .

*Remark 3.6.* It is convenient to use equation (3.5) to verify that the bilinear map in Example 2.15 satisfies (2.4). In fact, it suffices to check (3.5) for every pair of standard basis vectors. Care must be taken. There are examples of 3-forms  $\phi$  on  $V = \mathbf{R}^7$  for which the quadratic form

$$V \times V \rightarrow \Lambda^7 V^* : (u, v) \mapsto \iota(u)\phi \wedge \iota(v)\phi \wedge \phi$$

has signature (3, 4). One such example can be obtained from the 3-form  $\phi_0$  in Example 2.15 by changing the minus signs to plus.

*Proof of Lemma 3.4.* If ((i)) holds, then, by Lemma 2.18 ((ii)), there is a unique orientation on  $V$  such that the associated volume form satisfies

$$\iota(u)\phi \wedge \iota(u)\phi \wedge \phi = 6|u|^2 \text{vol}$$

for every  $u \in V$ . Applying this identity to  $u + v$  and  $u - v$  and taking the difference we obtain (3.5). Moreover, if  $u, v \in V$  are linearly independent, then  $\phi(u, v, u \times v) = |u \times v|^2 = |u|^2|v|^2 - \langle u, v \rangle^2 \neq 0$ . Hence,  $\phi$  is nondegenerate. This shows that ((i)) implies ((ii)) and nondegeneracy.

Conversely, assume (ii). We prove that  $\phi$  is nondegenerate. Let  $u, v \in V$  be linearly independent. Then  $u \neq 0$  and, hence, by (3.5), the 7-form

$$\sigma := \iota(u)\phi \wedge \iota(u)\phi \wedge \phi = 6|u|^2 \text{vol} \in \Lambda^7 V^*$$

is nonzero. Choose a basis  $v_1, \dots, v_7$  of  $V$  with  $v_1 = u$  and  $v_2 = v$ . Evaluating  $\sigma$  on this basis we obtain that one of the terms  $\phi(u, v, v_j)$  with  $j \geq 3$  must be nonzero. Hence,  $\phi$  is nondegenerate as claimed.

Now define the bilinear map  $V \times V \rightarrow V: (u, v) \mapsto u \times v$  by (2.8). This map is skew-symmetric and, by Lemma 2.6, it satisfies (2.3). We must prove that it also satisfies (2.4). By Lemma 2.9, it suffices to show

$$(3.7) \quad |u| = 1, \quad \langle u, v \rangle = 0 \quad \implies \quad |u \times v| = |v|.$$

We prove this in five steps. Throughout we fix a unit vector  $u \in V$ .

**Step 1.** Define the linear map  $A: V \rightarrow V$  by  $Av := u \times v$ . Then  $A$  is skew-adjoint and its kernel is spanned by  $u$ .

That  $A$  is skew-adjoint follows from the identity  $\langle Av, w \rangle = \phi(u, v, w)$ . That its kernel is spanned by  $u$  follows from the fact that  $\phi$  is nondegenerate.

**Step 2.** Let  $A$  be as in Step 1. Then there are positive constants  $\lambda_1, \lambda_2, \lambda_3$  and an orthonormal basis  $v_1, w_1, v_2, w_2, v_3, w_3$  of  $u^\perp$  such that  $Av_j = \lambda_j w_j$  and  $Aw_j = -\lambda_j v_j$  for  $j = 1, 2, 3$ .

By Step 1, there is a constant  $\lambda > 0$  and a vector  $v \in u^\perp$  such that

$$A^2 v = -\lambda^2 v, \quad |v| = 1.$$

Denote  $w := \lambda^{-1} Av$ . Then  $Av = \lambda w$ ,  $Aw = -\lambda v$ ,  $w$  is orthogonal to  $v$ , and

$$|w|^2 = \lambda^{-2} \langle Av, Av \rangle = -\lambda^{-2} \langle v, A^2 v \rangle = |v|^2 = 1.$$

Moreover, the orthogonal complement of  $u, v, w$  is invariant under  $A$ . Hence, Step 2 follows by induction.

**Step 3.** Let  $\lambda_i$  be as in Step 2. Then  $\lambda_1 \lambda_2 \lambda_3 = 1$ .

Let  $A$  be as in Step 1, denote  $W := u^\perp$ , and define  $\omega: W \times W \rightarrow \mathbf{R}$  by

$$\omega(v, w) := \langle Av, w \rangle = \phi(u, v, w)$$

for  $v, w \in W$ . Then, by Step 1,  $\omega \in \Lambda^2 W^*$  is a symplectic form. Moreover,  $\omega(v_i, w_i) = \langle Av_i, w_i \rangle = \lambda_i$  for  $i = 1, 2, 3$  while  $\omega(v_i, w_j) = 0$  for  $i \neq j$  and  $\omega(v_i, v_j) = \omega(w_i, w_j) = 0$  for all  $i$  and  $j$ . Hence,

$$\begin{aligned} \lambda_1 \lambda_2 \lambda_3 &= \frac{1}{6} \omega^3(v_1, w_1, v_2, w_2, v_3, w_3) \\ &= \text{vol}(u, v_1, w_1, v_2, w_2, v_3, w_3). \end{aligned}$$

Here the first equation follows from Step 2 and the definition of  $\omega$  and the second equation follows from (3.5) with  $u = v$  and  $|u| = 1$ . Since the vectors  $u, v_1, w_1, v_2, w_2, v_3, w_3$  form an orthonormal basis of  $V$ , the last expression must be plus or minus one. Since it is positive, Step 3 follows.

**Step 4.** *Define*

$$(3.8) \quad G := \{g \in \text{Aut}(V) : g^* \phi = \phi\}, \quad H := \{g \in G : gu = u\}.$$

Then  $\dim G \geq 14$  and  $\dim H \geq 8$

Since  $\dim \text{Aut}(V) = 49$  and  $\dim \Lambda^3 V^* = 35$ , the isotropy subgroup  $G$  of  $\phi$  has dimension at least 14. Moreover, by Lemma 2.20,  $G$  acts on the sphere  $S := \{v \in V : |v| = 1\}$  which has dimension 6. Thus the isotropy subgroup  $H$  of  $u$  under this action has dimension  $\dim H \geq \dim G - \dim S \geq 14 - 6 = 8$ . This proves Step 4.

**Step 5.** *Let  $\lambda_i$  be as in Step 2. Then  $\lambda_1 = \lambda_2 = \lambda_3 = 1$ .*

By definition of  $A$  in Step 1 and  $H$  in Step 4, we have  $\langle Agv, gw \rangle = \langle Av, w \rangle$  for all  $g \in H$  and all  $v, w \in V$ . Moreover,  $H \subset \text{SO}(V)$ , by Lemma 2.20. Hence,

$$(3.9) \quad g \in H \quad \implies \quad gA = Ag.$$

Now suppose that the eigenvalues  $\lambda_1, \lambda_2, \lambda_3$  are not all equal. Without loss of generality, we may assume  $\lambda_1 \notin \{\lambda_2, \lambda_3\}$ . Then, by (3.9), the subspaces  $W_1 := \text{span}\{v_1, w_1\}$  and  $W_{23} := \text{span}\{v_2, w_2, v_3, w_3\}$  are preserved by each element  $g \in H$ . Thus  $H \subset \text{O}(W_1) \times \text{O}(W_{23})$ . Since  $\dim \text{O}(W_1) = 1$  and  $\dim \text{O}(W_{23}) = 6$ , this implies  $\dim H \leq 7$  in contradiction to Step 4. Thus we have proved that  $\lambda_1 = \lambda_2 = \lambda_3$  and, by Step 3, this implies  $\lambda_j = 1$  for every  $j$ . This proves Step 5.

By Step 2 and Step 5 we have  $A^2 v = -v$  for every  $v \in u^\perp$ . Hence, by Step 1,  $|Av|^2 = -\langle v, A^2 v \rangle = |v|^2$  for every  $v \in u^\perp$ . By definition of  $A$ , this proves (3.7) and Lemma 3.4.  $\square$

*Proof of Theorem 3.2 ((i) and (ii)).* The “if” part of ((i)) is the last assertion made in Lemma 3.4. To prove ((ii)) and the “only if” part of ((i)) we assume that  $\phi$  is nondegenerate. Then, for every nonzero vector  $u \in V$ , the restriction of the 2-form  $\iota(u)\phi \in \Lambda^2 V^*$  to  $u^\perp$  is a symplectic form. Namely, if  $v \in u^\perp$  is nonzero, then  $u, v$  are linearly independent and hence there is a vector  $w \in V$  such that  $\phi(u, v, w) \neq 0$ ; the vector  $w$  can be chosen orthogonal to  $u$ .

This implies that the restriction of the 6-form  $(\iota(u)\phi)^3 \in \Lambda^6 V^*$  to  $u^\perp$  is nonzero for every nonzero vector  $u \in V$ . Hence, the 7-form  $\iota(u)\phi \wedge \iota(u)\phi \wedge \phi \in \Lambda^7 V^*$  is nonzero for every nonzero vector  $u \in V$ . Since  $V \setminus \{0\}$  is connected, there is a unique orientation of  $V$  such that  $\iota(u)\phi \wedge \iota(u)\phi \wedge \phi$  is a positive volume form on  $V$  for every  $u \in V \setminus \{0\}$ . Fix a volume form  $\sigma \in \Lambda^7 V^*$  compatible with this orientation. Then the bilinear form

$$V \times V \rightarrow \mathbf{R}: (u, v) \mapsto \frac{\iota(u)\phi \wedge \iota(v)\phi \wedge \phi}{\sigma} =: g(u, v)$$

is an inner product. Define  $\mu > 0$  by  $\sigma = \mu \text{vol}_g$ . Replacing  $\sigma$  by  $\tilde{\sigma} := \lambda^2 \sigma$  we get

$$\tilde{g} = \lambda^{-2} g, \quad \text{vol}_{\tilde{g}} = \lambda^{-7} \text{vol}_g.$$

Thus

$$\tilde{\sigma} = \lambda^2 \sigma = \lambda^2 \mu \text{vol}_g = \lambda^9 \mu \text{vol}_{\tilde{g}}.$$

With  $\lambda := (6/\mu)^{1/9}$  we get  $\tilde{\sigma} = 6 \text{vol}_{\tilde{g}}$ .

Thus we have proved that there is a unique orientation and inner product on  $V$  such that  $\phi$  satisfies (3.5). Hence the assertion follows from Lemma 3.4. This proves parts ((i)) and ((ii)) of Theorem 3.2.  $\square$

*Remark 3.10.* Let  $V, W$  be  $n$ -dimensional real vector spaces. Then the determinant of a linear map  $A: V \rightarrow W$  is an element  $\det A \in \Lambda^n V^* \otimes \Lambda^n W$ . In particular, if  $V$  is equipped with an orientation and an inner product  $g \in S^2 V^*$ , and  $i_g: V \rightarrow V^*$  denotes the isomorphism defined by  $i_g v := g(v, \cdot)$ , then  $\det i_g \in (\Lambda^n V^*)^2$  and the volume form  $\text{vol}_g$  associated to  $g$  is

$$\text{vol}_g = \sqrt{\det i_g}.$$

Here the orientation is needed to determine the sign of the square root.

If  $V$  is 7-dimensional and  $\phi \in \Lambda^3 V^*$  is nondegenerate, then the formula

$$G(u, v) := \frac{1}{6} i(u)\phi \wedge i(v)\phi \wedge \phi \quad \text{for } u, v \in V$$

defines a symmetric bilinear form  $G: V \times V \rightarrow \Lambda^7 V^*$  and  $i_G: V \rightarrow V^* \otimes \Lambda^7 V^*$  is an isomorphism (see second paragraph in the proof of Lemma 3.4). The determinant of  $i_G$  is an element of  $(\Lambda^7 V^*)^9$  and  $(\det i_G)^{1/9}$  can be defined without an orientation on  $V$ . If an inner product  $g$  and an orientation on  $V$  are such that (3.5) holds, then

$$\text{vol}_g = (\det(i_G))^{1/9} \quad \text{and} \quad g = \frac{G}{\text{vol}_g}.$$

Conversely, with this choice of inner product and orientation, (3.5) holds. This observation is due to Hitchin [Hit01, Section 8.3].

**Lemma 3.11.** *Let  $V$  be a 7-dimensional real Hilbert space equipped with a cross product  $V \times V \rightarrow V: (u, v) \rightarrow u \times v$ . If  $u$  and  $v$  are orthonormal and  $w := u \times v$ , then  $v \times w = u$  and  $w \times u = v$ .*

*Proof.* This follows immediately from equation (2.11) in Lemma 2.9.  $\square$

*Proof of Theorem 3.2 ((iii)).* Let  $\phi_0: \mathbf{R}^7 \times \mathbf{R}^7 \times \mathbf{R}^7 \rightarrow \mathbf{R}$  be the 3-form in Example 2.15 and let  $\phi \in \Lambda^3 V^*$  be a nondegenerate 3-form. Let  $V$  be equipped with the compatible inner product of Theorem 3.2 and denote by  $V \times V \rightarrow V: (u, v) \mapsto u \times v$  the associated cross product. Let  $e_1, e_2 \in V$  be orthonormal and define

$$e_3 := e_1 \times e_2.$$

Let  $e_4 \in V$  be any unit vector orthogonal to  $e_1, e_2, e_3$  and define

$$e_5 := -e_1 \times e_4.$$

Then  $e_5$  has norm one and is orthogonal to  $e_1, e_2, e_3, e_4$ . For  $e_1$  and  $e_4$  this follows from the definition and (2.7). For  $e_3$  we observe

$$\langle e_3, e_5 \rangle = -\langle e_1 \times e_2, e_1 \times e_4 \rangle = \langle e_2, e_1 \times (e_1 \times e_4) \rangle = -\langle e_2, e_4 \rangle = 0.$$

Here the last but one equation follows from Lemma 2.9. For  $e_2$  the argument is similar; since  $e_2 = e_3 \times e_1$ , by Lemma 3.11, and  $\langle e_3, e_4 \rangle = 0$ , we obtain  $\langle e_2, e_5 \rangle = 0$ . Now let  $e_6$  be a unit vector orthogonal to  $e_1, \dots, e_5$  and define

$$e_7 := -e_1 \times e_6.$$

As before we have that  $e_7$  has norm one and is orthogonal to  $e_1, \dots, e_6$ . Thus the vectors  $e_1, \dots, e_7$  form an orthonormal basis of  $V$  and it follows from Lemma 3.11 that they satisfy the same relations as the standard basis of  $\mathbf{R}^7$  in Example 2.15. Hence, the map

$$\mathbf{R}^7 \xrightarrow{g} V: x = (x_1, \dots, x_7) \mapsto \sum_{i=1}^7 x_i e_i$$

is a Hilbert space isometry and it satisfies  $g^* \phi = \phi_0$ . This proves Theorem 3.2 (and the last assertion of Theorem 2.5).  $\square$

## 4 The associator and coassociator brackets

We assume throughout that  $V$  is a 7-dimensional real Hilbert space, that  $\phi \in \Lambda^3 V^*$  is a nondegenerate 3-form compatible with the inner product, and (2.2) is the cross product given by (2.8). It follows from (2.11) that the expression  $(u \times v) \times w$  is alternating on any triple of pairwise orthogonal vectors  $u, v, w \in V$ . Hence, it extends uniquely to an alternating 3-form  $V^3 \rightarrow V: (u, v, w) \mapsto [u, v, w]$  called the **associator bracket**. An explicit formula for this 3-form is

$$(4.1) \quad [u, v, w] := (u \times v) \times w + \langle v, w \rangle u - \langle u, w \rangle v.$$

The associator bracket can also be expressed in the form

$$(4.2) \quad [u, v, w] = \frac{1}{3} \left( (u \times v) \times w + (v \times w) \times u + (w \times u) \times v \right).$$

*Remark 4.3.* If  $V$  is any Hilbert space with a skew-symmetric bilinear form (2.2), then the associator bracket (4.1) is alternating iff (2.11) holds. Indeed, skew-symmetry of the associator bracket in the first two arguments is obvious, and the identity

$$\begin{aligned} [u, v, w] + [u, w, v] &= w \times (v \times u) + v \times (w \times u) \\ &\quad - \langle u, w \rangle v - \langle u, v \rangle w + 2\langle v, w \rangle u \end{aligned}$$

shows that skew-symmetry in the last two arguments is equivalent to (2.11). By Lemma 2.12, the associator bracket vanishes in dimension three.

The square of the volume of the 3–dimensional parallelepiped spanned by  $u, v, w \in V$  will be denoted by

$$|u \wedge v \wedge w|^2 := \det \begin{pmatrix} |u|^2 & \langle u, v \rangle & \langle u, w \rangle \\ \langle v, u \rangle & |v|^2 & \langle v, w \rangle \\ \langle w, u \rangle & \langle w, v \rangle & |w|^2 \end{pmatrix}.$$

**Lemma 4.4.** *For all  $u, v, w \in V$  we have*

$$(4.5) \quad \phi(u, v, w)^2 + |[u, v, w]|^2 = |u \wedge v \wedge w|^2.$$

*Proof.* If  $w$  is orthogonal to  $u$  and  $v$ , then we have

$$\begin{aligned} |[u, v, w]|^2 &= |(u \times v) \times w|^2 \\ &= |u \times v|^2 |w|^2 - \langle u, v \times w \rangle^2 \\ &= |u \wedge v \wedge w|^2 - \phi(u, v, w)^2. \end{aligned}$$

Here the first equation follows from the definition of the associator bracket and orthogonality, the second equation follows from (2.4), and the last equation follows from (2.4) and orthogonality, as well as (2.8). The general case can be reduced to the orthogonal case by Gram–Schmidt.  $\square$

**Definition 4.6.** A 3–dimensional subspace  $\Lambda \subset V$  is called **associative** the associator bracket vanishes on  $\Lambda$ , i.e.,

$$[u, v, w] = 0 \quad \text{for all } u, v, w \in \Lambda.$$

**Lemma 4.7.** *Let  $\Lambda \subset V$  be a 3–dimensional linear subspace. Then the following are equivalent:*

- (i)  $\Lambda$  is associative.
- (ii) If  $u, v, w$  is an orthonormal basis of  $\Lambda$ , then  $\phi(u, v, w) = \pm 1$ .
- (iii) If  $u, v \in \Lambda$ , then  $u \times v \in \Lambda$ .
- (iv) If  $u \in \Lambda^\perp$  and  $v \in \Lambda$ , then  $u \times v \in \Lambda^\perp$ .
- (v) If  $u, v \in \Lambda^\perp$ , then  $u \times v \in \Lambda$ .

Moreover, if  $u, v \in V$  are linearly independent, then the subspace spanned by the vectors  $u, v, u \times v$  is associative.

*Proof.* That ((i)) is equivalent to ((ii)) follows from Lemma 4.4.

We prove that ((i)) is equivalent to ((iii)). That the associator bracket vanishes on a 3–dimensional subspace that is invariant under the cross product follows from Lemma 2.12 ((iii)). Conversely suppose that the associator bracket vanishes on  $\Lambda$ . Let  $u, v \in \Lambda$  be linearly independent and let  $w \in \Lambda$  be a nonzero vector orthogonal to  $u$  and  $v$ . Then, by Lemma 4.4, we have

$$\langle u \times v, w \rangle^2 = \phi(u, v, w)^2 = |u \wedge v \wedge w|^2 = |u \times v|^2 |w|^2$$

and hence  $u \times v$  is a real multiple of  $w$ . Thus  $u \times v \in \Lambda$ .

We prove that ((iii)) is equivalent to ((iv)). First assume ((iii)) and let  $u \in \Lambda$ ,  $v \in \Lambda^\perp$ . Then, by ((iii)), we have  $w \times u \in \Lambda$  for every  $w \in \Lambda$ . Hence,  $\langle w, u \times v \rangle = \langle w \times u, v \rangle = 0$  for every  $w \in \Lambda$  and so  $u \times v \in \Lambda^\perp$ . Conversely assume ((iv)) and let  $u, v \in \Lambda$ . Then, by ((iii)), we have  $w \times u \in \Lambda^\perp$  for every  $w \in \Lambda^\perp$ . Hence,  $\langle w, u \times v \rangle = \langle w \times u, v \rangle = 0$  for every  $w \in \Lambda^\perp$ . This implies  $u \times v \in \Lambda$ . Thus we have proved that ((iii)) is equivalent to ((iv)).

We prove that ((iv)) is equivalent to ((v)). Fix a unit vector  $u \in \Lambda^\perp$  and define the endomorphism  $J: u^\perp \rightarrow u^\perp$  by  $Jv := u \times v$ . By Lemma 2.9 this is an isomorphism with inverse  $-J$ . Condition ((iv)) asserts that  $J$  maps  $\Lambda$  to  $\Lambda^\perp \cap u^\perp$  while condition ((v)) asserts that  $J$  maps  $\Lambda^\perp \cap u^\perp$  to  $\Lambda$ . Since both are 3-dimensional subspaces of  $u^\perp$ , these two assertions are equivalent. This proves that ((iv)) is equivalent to ((v)).

If  $u$  and  $v$  are linearly independent, then  $u \times v \neq 0$ , by (2.4), and  $u \times v$  is orthogonal to  $u$  and  $v$ , by (2.3). Hence, the subspace  $\Lambda$  spanned by  $u, v, u \times v$  is 3-dimensional. That it is invariant under the cross product follows from assertion ((iv)) in Lemma 2.9. Hence,  $\Lambda$  is associative, and this proves Lemma 4.7.  $\square$

**Lemma 4.8.** *The map  $\psi: V^4 \rightarrow \mathbf{R}$  defined by*

$$(4.9) \quad \begin{aligned} \psi(u, v, w, x) &:= \langle [u, v, w], x \rangle \\ &= \frac{1}{3} \left( \phi(u \times v, w, x) + \phi(v \times w, u, x) + \phi(w \times u, v, x) \right) \end{aligned}$$

*is an alternating 4-form (the **coassociative calibration** of  $(V, \phi)$ ). Moreover, it is given by  $\psi = *\phi$ , where  $*$ :  $\Lambda^k V^* \rightarrow \Lambda^{7-k} V^*$  denotes the Hodge  $*$ -operator associated to the inner product and the orientation in Lemma 3.4.*

*Proof.* See page 16.  $\square$

**Remark 4.10.** By Lemma 4.7 and Lemma 4.8 the associator bracket  $[u, v, w]$  is orthogonal to the vectors  $u, v, w, v \times w, w \times u, u \times v$ . Second, these six vectors are linearly independent if only if  $[u, v, w] \neq 0$ . (Make them pairwise orthogonal by adding to  $v$  a real multiple of  $u$  and to  $w$  a linear combination of  $u, v, u \times v$ . Then their span and  $[u, v, w]$  remain unchanged.) Third, if  $[u, v, w] \neq 0$  then the vectors  $u, v, w, v \times w, w \times u, u \times v, [u, v, w]$  form a positive basis of  $V$ .

**Remark 4.11.** The standard associative calibration on  $\mathbf{R}^7$  is

$$(4.12) \quad \phi_0 = e^{123} - e^{145} - e^{167} - e^{246} + e^{257} - e^{347} - e^{356}$$

(see Example 2.15). The corresponding coassociative calibration is

$$(4.13) \quad \psi_0 = -e^{1247} - e^{1256} + e^{1346} - e^{1357} - e^{2345} - e^{2367} + e^{4567}.$$

**Remark 4.14.** Let  $V \rightarrow V^*: u \mapsto u^* := \langle u, \cdot \rangle$  be the isomorphism induced by the inner product. Then, for  $\alpha \in \Lambda^k V^*$  and  $u \in V$ , we have

$$(4.15) \quad * \iota(u)\alpha = (-1)^{k-1} u^* \wedge * \alpha.$$

This holds on any finite dimensional oriented Hilbert space.

*Remark 4.16.* Throughout we use the notation

$$(4.17) \quad (\mathcal{L}_A \alpha)(v_1, \dots, v_k) := \alpha(Av_1, v_2, \dots, v_k) + \dots + \alpha(v_1, \dots, v_{k-1}, Av_k)$$

for the infinitesimal action of  $A \in \text{End}(V)$  on a  $k$ -form  $\alpha \in \Lambda^k V^*$ . For  $u \in V$  denote by  $A_u \in \mathfrak{so}(V)$  the skew-adjoint endomorphism  $A_u v := u \times v$ . Then equation (4.9) can be expressed in the form

$$(4.18) \quad \mathcal{L}_{A_u} \phi = 3\iota(u)\psi.$$

Since  $\psi = *\phi$ , we have  $\mathcal{L}_A \psi = *\mathcal{L}_A \phi$  for all  $A \in \mathfrak{so}(V)$ . Hence, it follows from equation (4.15) that

$$(4.19) \quad \mathcal{L}_{A_u} \psi = *(3\iota(u)\psi) = -3u^* \wedge \phi.$$

*Proof of Lemma 4.8.* It follows from Remark 4.3 that  $\psi$  is alternating in the first three arguments. To prove that  $\psi \in \Lambda^4 V^*$  we compute

$$(4.20) \quad \begin{aligned} \psi(u, v, w, x) &= \langle (u \times v) \times w + \langle v, w \rangle u - \langle u, w \rangle v, x \rangle \\ &= \langle u \times v, w \times x \rangle + \langle v, w \rangle \langle u, x \rangle - \langle u, w \rangle \langle v, x \rangle. \end{aligned}$$

Here the first equation follows from the definition of  $\psi$  in (4.9) and the definition of the associator bracket in (4.1). Swapping  $x$  and  $w$  as well as  $u$  and  $v$  in (4.20) gives the same expression. Thus

$$\psi(u, v, w, x) = \psi(v, u, x, w) = -\psi(u, v, x, w).$$

This shows that  $\psi \in \Lambda^4 V^*$  as claimed. To prove the second assertion we observe the following.

**Claim.** *If  $u, v, w, x$  are orthonormal and  $u \times v = w \times x$ , then  $\psi(u, v, w, x) = 1$ .*

This follows directly from the definition of  $\psi$  and of the associator bracket in (4.1) and (4.9). Now, by Theorem 3.2, we can restrict attention to the standard structures on  $\mathbf{R}^7$ . Thus  $\phi = \phi_0$  is given by (4.12) and this 3-form is compatible with the standard inner product on  $\mathbf{R}^7$ . We have the product rule  $e_i \times e_j = e_k$  whenever the term  $e^{ijk}$  or one of its cyclic permutations shows up in this sum, and the claim shows that we have a summand  $\varepsilon e^{ijk\ell}$  in  $\psi = \psi_0$  whenever  $e_i \times e_j = \varepsilon e_k \times e_\ell$  with  $\varepsilon \in \{\pm 1\}$ . Hence,  $\psi_0$  is given by (4.13). Term by term inspection shows that  $\psi_0 = *\phi_0$ . This proves Lemma 4.8.  $\square$

**Lemma 4.21.** *For all  $u, v, w, x \in V$  we have*

$$(4.22) \quad \begin{aligned} [u, v, w, x] &:= \phi(u, v, w)x - \phi(x, u, v)w + \phi(w, x, u)v - \phi(v, w, x)u \\ &= \frac{1}{3} \left( -[u, v, w] \times x + [x, u, v] \times w - [w, x, u] \times v + [v, w, x] \times u \right). \end{aligned}$$

*The resulting multi-linear map*

$$V^4 \rightarrow V: (u, v, w, x) \mapsto [u, v, w, x]$$

*is alternating and is called the **coassociator bracket** on  $V$ .*



*Proof.* Define the alternating multi-linear map  $\tau: V^4 \rightarrow V$  by

$$\begin{aligned} \tau(u, v, w, x) := & 3\left(\phi(u, v, w)x - \phi(x, u, v)w + \phi(w, x, u)v - \phi(v, w, x)u\right) \\ & + [u, v, w] \times x - [x, u, v] \times w + [w, x, u] \times v - [v, w, x] \times u. \end{aligned}$$

We must prove that  $\tau$  vanishes. The proof has three steps.

**Step 1.**  $\tau(u, v, w, x)$  is orthogonal to  $u, v, w, x$  for all  $u, v, w, x \in V$ .

It suffices to assume that  $u, v, w, x$  are pairwise orthogonal. Then we have

$$[u, v, w] = (u \times v) \times w$$

and similarly for  $[x, v, w]$  etc. Hence,

$$\begin{aligned} \langle \tau(u, v, w, x), x \rangle &= 3|x|^2\phi(u, v, w) - \langle [u, v, x], w \times x \rangle \\ &\quad - \langle [w, u, x], v \times x \rangle - \langle [v, w, x], u \times x \rangle \\ &= 3|x|^2\phi(u, v, w) - \langle (u \times v) \times x, w \times x \rangle \\ &\quad - \langle (w \times u) \times x, v \times x \rangle - \langle (v \times w) \times x, u \times x \rangle \\ &= 0. \end{aligned}$$

Here the last step uses the identity (2.7) and the fact that  $x \times (u \times x) = |x|^2u$  whenever  $u$  is orthogonal to  $x$ . Thus  $\tau(u, v, w, x)$  is orthogonal to  $x$ . Since  $\tau$  is alternating, this proves Step 1.

**Step 2.**  $\tau(u, v, u \times v, x) = 0$  for all  $u, v, x \in V$ .

It suffices to assume that  $u, v$  are orthonormal and that  $x$  is orthogonal to  $u, v$ , and  $w := u \times v$ . Then  $v \times w = u$ ,  $w \times u = v$ ,  $\phi(u, v, w) = 1$ , and  $\phi(x, v, w) = \phi(x, w, u) = \phi(x, u, v) = 0$ . Moreover,  $[u, v, w] = 0$  and

$$[x, v, w] = [v, w, x] = (v \times w) \times x = u \times x, \quad [x, v, w] \times u = x,$$

and similarly  $[x, w, u] \times v = [x, u, v] \times w = x$ . This implies that  $\tau(u, v, w, x) = 0$ .

**Step 3.**  $\tau(u, v, w, x) = 0$  for all  $u, v, w, x \in V$ .

By the alternating property we may assume that  $u$  and  $v$  are orthonormal. Using the alternating property again and Step 2 we may assume that  $w$  is a unit vector orthogonal to  $u, v, u \times v$  and that  $x$  is a unit vector orthogonal to  $u, v, w$  and  $v \times w, w \times u, u \times v$ . This implies that

$$\phi(u, v, w) = \phi(x, v, w) = \phi(x, w, u) = \phi(x, u, v) = 0.$$

Hence, the vectors  $x \times u, x \times v, x \times w$  form a basis of the orthogonal complement of the space spanned by  $u, v, w, x$ . Each of these vectors is orthogonal to  $\tau(u, v, w, x)$  and hence  $\tau(u, v, w, x) = 0$  by Step 1. This proves Lemma 4.21.  $\square$

The square of the volume of the 4-dimensional parallelepiped spanned by  $u, v, w, x \in V$  will be denoted by

$$|u \wedge v \wedge w \wedge x|^2 := \det \begin{pmatrix} |u|^2 & \langle u, v \rangle & \langle u, w \rangle & \langle u, x \rangle \\ \langle v, u \rangle & |v|^2 & \langle v, w \rangle & \langle v, x \rangle \\ \langle w, u \rangle & \langle w, v \rangle & |w|^2 & \langle w, x \rangle \\ \langle x, u \rangle & \langle x, v \rangle & \langle x, w \rangle & |x|^2 \end{pmatrix}.$$

**Lemma 4.23.** *For all  $u, v, w, x \in V$  we have*

$$(4.24) \quad \psi(u, v, w, x)^2 + |[u, v, w, x]|^2 = |u \wedge v \wedge w \wedge x|^2.$$

*Proof.* The proof has four steps.

**Step 1.** *If  $u, v, w, x$  are orthogonal, then*

$$\begin{aligned} \psi(u, v, w, x)^2 &= \langle u \times v, w \times x \rangle^2, \\ |[u, v, w, x]|^2 &= \langle u \times v, w \rangle^2 |x|^2 + \langle u \times v, x \rangle^2 |w|^2 \\ &\quad + \langle u, w \times x \rangle^2 |v|^2 + \langle v, w \times x \rangle^2 |u|^2, \\ |u \wedge v \wedge w \wedge x|^2 &= |u|^2 |v|^2 |w|^2 |x|^2. \end{aligned}$$

The first equation follows from (4.1) and (4.9), using (2.7). The other two equations follow immediately from the definitions.

**Step 2.** *Equation (4.24) holds when  $u, v, w, x$  are orthogonal and, in addition,  $w$  and  $x$  are orthogonal to  $u \times v$ .*

Since  $[u, v, w] \neq 0$ , it follows from the assumptions and Lemma 4.7 that  $w \times x$  is a linear combination of the vectors  $u, v, u \times v$ . Hence, the assertion follows from Step 1.

**Step 3.** *Equation (4.24) holds when  $u, v, w, x$  are orthogonal*

Suppose, in addition, that  $w$  and  $x$  are orthogonal to  $u \times v$  and replace  $x$  by  $x_\lambda := x + \lambda u \times v$  for  $\lambda \in \mathbf{R}$ . Then  $\psi(u, v, w, x_\lambda)$  is independent of  $\lambda$  and

$$|[u, v, w, x_\lambda]|^2 = |[u, v, w, x]|^2 + \lambda^2 |u|^2 |v|^2 |w|^2 |u \times v|^2.$$

Hence, it follows from Step 2 that (4.24) holds when  $u, v, w, x$  are orthogonal and, in addition,  $w$  is orthogonal to  $u \times v$ . This condition can be achieved by rotating the pair  $(w, x)$ . This proves Step 3.

**Step 4.** *Equation (4.24) holds always.*

The general case follows from the orthogonal case via Gram-Schmidt, because both sides of equation (4.24) remain unchanged if we add to any of the four vectors a multiple of any of the other three. This proves the lemma.  $\square$

**Definition 4.25.** A 4-dimensional subspace  $H \subset V$  is called **coassociative** if

$$[u, v, w, x] = 0 \quad \text{for all } u, v, w, x \in H.$$

**Lemma 4.26.** Let  $H \subset V$  be a 4-dimensional linear subspace. Then the following are equivalent:

- (i)  $H$  is coassociative.
- (ii) If  $u, v, w, x$  is an orthonormal basis of  $H$ , then  $\psi(u, v, w, x) = \pm 1$ .
- (iii) For all  $u, v, w \in H$  we have  $\phi(u, v, w) = 0$ .
- (iv) If  $u, v \in H$ , then  $u \times v \in H^\perp$ .
- (v) If  $u \in H$  and  $v \in H^\perp$ , then  $u \times v \in H$ .
- (vi) If  $u, v \in H^\perp$ , then  $u \times v \in H^\perp$ .
- (vii) The orthogonal complement  $H^\perp$  is associative.

*Proof.* That ((i)) is equivalent to ((ii)) follows from Lemma 4.23.

We prove that ((i)) is equivalent to ((iii)). That ((iii)) implies ((i)) is obvious by definition of the coassociator bracket in (4.22). Conversely, assume ((i)) and choose a basis  $u, v, w, x$  of  $H$ . Then  $[u, v, w, x] = 0$  and hence, by (4.22), we have  $\phi(u, v, w) = \phi(x, v, w) = \phi(x, w, u) = \phi(x, u, v) = 0$ . This implies ((iii)).

We prove that ((iii)) is equivalent to ((iv)). If ((iii)) holds and  $u, v \in H$ , then  $\langle u \times v, w \rangle = \phi(u, v, w) = 0$  for every  $w \in H$  and hence  $u \times v \in H^\perp$ . Conversely, if ((iv)) holds and  $u, v \in H$ , then  $u \times v \in H^\perp$  and hence  $\phi(u, v, w) = \langle u \times v, w \rangle = 0$  for all  $w \in H$ .

Thus we have proved that ((i)), ((ii)), ((iii)), ((iv)) are equivalent. That assertions ((iv)), ((v)), ((vi)), ((vii)) are equivalent was proved in Lemma 4.7.  $\square$

**Remark 4.27.** Let  $V$  be a 7-dimensional real Hilbert space equipped with a cross product and denote the associative and coassociative calibrations by  $\phi$  and  $\psi$ . Let  $\Lambda \subset V$  be an associative subspace and define  $H := \Lambda^\perp$ . Orient  $\Lambda$  and  $H$  by the volume forms  $\text{vol}_\Lambda := \phi|_\Lambda$  and  $\text{vol}_H := \psi|_H$ . A **standard basis** of the space  $\Lambda^+H^*$  of self-dual 2-forms on  $H$  is a triple  $\omega_1, \omega_2, \omega_3 \in \Lambda^+H^*$  that satisfies the condition  $\omega_i \wedge \omega_j = 2\delta_{ij}\text{vol}_H$  for all  $i$  and  $j$ . In this situation the map

$$(4.28) \quad \Lambda \rightarrow \Lambda^+H^* : u \mapsto -\iota(u)\phi|_H$$

is an orientation preserving isomorphism that sends every orthonormal basis of  $\Lambda$  to a standard basis of  $\Lambda^+H^*$ . (To see this, choose a standard basis of  $V$  as in Remark 4.11 with  $\Lambda = \text{span}\{e_1, e_2, e_3\}$ .) Let  $\pi_\Lambda : V \rightarrow \Lambda$  and  $\pi_H : V \rightarrow H$  be the orthogonal projections. Let  $u_1, u_2, u_3$  be any orthonormal basis of  $\Lambda$  and define  $\alpha_i := u_i^*|_\Lambda$  and  $\omega_i := -\iota(u_i)\phi|_H$  for  $i = 1, 2, 3$ . Then the associative calibration  $\phi$  can be expressed in the form

$$(4.29) \quad \phi = \pi_\Lambda^*\text{vol}_\Lambda - \pi_\Lambda^*\alpha_1 \wedge \pi_H^*\omega_1 - \pi_\Lambda^*\alpha_2 \wedge \pi_H^*\omega_2 - \pi_\Lambda^*\alpha_3 \wedge \pi_H^*\omega_3.$$

The next theorem characterizes a nondegenerate 3–form  $\phi$  in terms of its coassociative calibration  $\psi$  in Lemma 4.8.

**Theorem 4.30.** *Let  $V$  be a 7–dimensional vector space over the reals, let  $\phi, \phi' \in \Lambda^3 V^*$  be nondegenerate 3–forms, and let  $\psi, \psi' \in \Lambda^4 V^*$  be their coassociative calibrations. Then the following are equivalent:*

- (i)  $\phi' = \phi$  or  $\phi' = -\phi$ .
- (ii)  $\psi' = \psi$ .

*Proof.* That ((i)) implies ((ii)) follows from the definition of  $\psi$  in Lemma 4.8 and the fact that reversing the sign of  $\phi$  also reverses the sign of the cross product and thus leaves  $\psi$  unchanged (see equation (4.9)). To prove the converse assume that  $\psi' = \psi$  and denote by  $\langle \cdot, \cdot \rangle'$  the inner product determined by  $\phi'$ , by  $\times'$  the cross product determined by  $\phi'$ , and by  $[\cdot, \cdot, \cdot]'$  the associator bracket determined by  $\phi'$ . We prove in four steps that  $\phi' = \pm\phi$ .

**Step 1.** *A 3–dimensional subspace  $\Lambda \subset V$  is associative for  $\phi$  if and only if it is associative for  $\phi'$ .*

Let  $\Lambda \subset V$  be a three-dimensional linear subspace. By Definition 4.6 it is associative for  $\phi$  if and only if  $[u, v, w] = 0$  for all  $u, v, w \in \Lambda$ . By Lemma 4.8 this is equivalent to the condition that the linear functional  $\psi(u, v, w, \cdot)$  on  $V$  vanishes for all  $u, v, w \in \Lambda$ . Since  $\psi = \psi'$ , this proves Step 1.

**Step 2.** *There is a linear functional  $\alpha: V \rightarrow \mathbf{R}$  and a  $c \in \mathbf{R} \setminus \{0\}$  such that*

$$u \times' v = \alpha(u)v - \alpha(v)u + cu \times v$$

for all  $u, v \in V$ .

Fix two linearly independent vectors  $u, v \in V$ . Then the vectors  $u, v, u \times v$  span a  $\phi$ –associative subspace  $\Lambda \subset V$  by Lemma 4.7. The subspace  $\Lambda$  is also  $\phi'$ –associative by Step 1. Hence,  $u \times' v \in \Lambda$  by Lemma 4.7 and so there exist real numbers  $\alpha(u, v), \beta(u, v), \gamma(u, v)$  such that

$$(4.31) \quad u \times' v = \alpha(u, v)v + \beta(u, v)u + \gamma(u, v)u \times v.$$

Since  $u, v, u \times' v$  are linearly independent, it follows that  $\gamma(u, v) \neq 0$  and the coefficients  $\alpha, \beta, \gamma$  depend smoothly on  $u$  and  $v$ . Differentiate equation (4.31) with respect to  $v$  to obtain that  $\alpha$  and  $\gamma$  are locally independent of  $v$ . Differentiate it with respect to  $u$  to obtain that  $\beta$  and  $\gamma$  are locally independent of  $u$ . Since the set of pairs of linearly independent vectors in  $V$  is connected, it follows that there exist functions  $\alpha, \beta: V \rightarrow \mathbf{R}$  and a constant  $c \in \mathbf{R} \setminus \{0\}$  such that

$$u \times' v = \alpha(u)v + \beta(v)u + cu \times v$$

for all pairs of linearly independent vectors  $u, v \in V$ . Interchange  $u$  and  $v$  to obtain  $\beta(v) = -\alpha(v)$  for all  $v \in V$ . Since the function  $V \rightarrow V: u \mapsto u \times' v$  is linear for all  $v \in V$  it follows that  $\alpha: V \rightarrow \mathbf{R}$  is linear. This proves Step 2.

**Step 3.** *Let  $\alpha$  and  $c$  be as in Step 2. Then  $\alpha = 0$  and  $\langle u, v \rangle' = c^2 \langle u, v \rangle$  for all  $u, v \in V$ .*

Fix a vector  $u \in V \setminus \{0\}$  and choose a vector  $v \in V$  such that  $u$  and  $v$  are linearly independent. Then  $u \times (u \times v) = \langle u, v \rangle u - |u|^2 v$  by Lemma 2.9. Hence, it follows from Step 2 that

$$\begin{aligned}
\langle u, v \rangle' u - |u|'^2 v &= u \times' (u \times' v) \\
&= u \times' (\alpha(u)v - \alpha(v)u + cu \times v) \\
&= \alpha(u)u \times' v + cu \times' (u \times v) \\
&= \alpha(u)(\alpha(u)v - \alpha(v)u + cu \times v) \\
&\quad + c(\alpha(u)u \times v - \alpha(u \times v)u + cu \times (u \times v)) \\
&= \alpha(u)(\alpha(u)v - \alpha(v)u + cu \times v) \\
&\quad + c(\alpha(u)u \times v - \alpha(u \times v)u + c\langle u, v \rangle u - c|u|^2 v) \\
&= (c^2 \langle u, v \rangle - c\alpha(u \times v) - \alpha(u)\alpha(v))u \\
&\quad + (\alpha(u)^2 - c^2 |u|^2)v + 2c\alpha(u)u \times v.
\end{aligned}$$

Since  $u$ ,  $v$ , and  $u \times v$  are linearly independent, it follows that

$$\alpha(u) = 0, \quad |u|'^2 = c^2 |u|^2 - \alpha(u)^2.$$

Since  $u \in V \setminus \{0\}$  was chosen arbitrarily, it follows that  $\alpha(u) = 0$  and

$$\langle u, v \rangle' = c^2 \langle u, v \rangle, \quad u \times' v = cu \times v$$

for all  $u, v \in V$ . This proves Step 3.

**Step 4.**  $\phi' = \pm \phi$ .

It follows from Step 2 and Step 3 that

$$\phi'(u, v, w) = \langle u \times' v, w \rangle' = c^3 \langle u \times v, w \rangle = c^3 \phi(u, v, w)$$

for all  $u, v, w \in V$ , and so  $\psi = \psi' = c^4 \psi$  by equation (4.9). Hence  $c = \pm 1$  and this proves Theorem 4.30.  $\square$

The next theorem follows a suggestion by Donaldson for characterizing coassociative calibrations in terms of their dual 3-forms.

**Theorem 4.32.** *Let  $V$  be a 7-dimensional vector space over the reals and let  $\psi \in \Lambda^4 V^*$ . Then the following are equivalent:*

- (i) *There exists a nondegenerate 3-form  $\phi \in \Lambda^3 V^*$  and a number  $\varepsilon = \pm 1$  such that  $\varepsilon \psi$  is the coassociative calibration of  $(V, \phi)$ .*
- (ii) *If  $\alpha, \beta \in V^*$  are linearly independent, then there exists a 1-form  $\gamma \in V^*$  such that  $\alpha \wedge \beta \wedge \gamma \wedge \psi \neq 0$ .*

*Proof.* That ((i)) implies ((ii)) follows from equation (4.38) in Lemma 4.37 below. To prove the converse, assume ((ii)) and fix any volume form  $\sigma \in \Lambda^7 V^*$ . Define the 3-form  $\Phi$  on the dual space  $V^*$  by

$$(4.33) \quad \Phi(\alpha, \beta, \gamma) := \frac{\alpha \wedge \beta \wedge \gamma \wedge \psi}{\sigma} \quad \text{for } \alpha, \beta, \gamma \in V^*.$$

This 3-form is nondegenerate by ((ii)). Denote the corresponding coassociative calibration by  $\Psi: V^* \times V^* \times V^* \times V^* \rightarrow \mathbf{R}$  and let  $\langle \cdot, \cdot \rangle_{V^*}$  be the inner product on  $V^*$  determined by  $\Phi$ . Let  $\kappa: V \rightarrow V^*$  be the isomorphism induced by this inner product, so  $\alpha(u) = \langle \alpha, \kappa(u) \rangle_{V^*}$  for  $\alpha \in V^*$  and  $u \in V$ . Let  $\langle \cdot, \cdot \rangle_V$  be the pullback under  $\kappa$  of the inner product on  $V^*$ . Then  $\phi := \kappa^* \Phi \in \Lambda^3 V^*$  is a nondegenerate 3-form compatible with the inner product and the volume form

$$\text{vol} := \frac{1}{7} \kappa^* \Phi \wedge \kappa^* \Psi.$$

By equation (4.33),

$$(4.34) \quad \phi(u, v, w) \sigma = \kappa(u) \wedge \kappa(v) \wedge \kappa(w) \wedge \psi.$$

for all  $u, v, w \in V$ . Choose  $\lambda > 0$  and  $\varepsilon = \pm 1$  such that

$$(4.35) \quad \text{vol} = \varepsilon \lambda^{-4/3} \sigma.$$

Replace  $\sigma$  by  $\sigma_\lambda := \lambda \sigma$  in (4.33) to obtain  $\Phi_\lambda = \lambda^{-1} \Phi$ . Its coassociative calibration is  $\Psi_\lambda = \lambda^{-4/3} \Psi$ , the inner product on  $V^*$  induced by  $\Phi_\lambda$  is  $\langle \cdot, \cdot \rangle_{V^*, \lambda} = \lambda^{-2/3} \langle \cdot, \cdot \rangle_{V^*}$ , and the isomorphism  $\kappa_\lambda: V \rightarrow V^*$  is  $\kappa_\lambda = \lambda^{2/3} \kappa$ . Hence,

$$\phi_\lambda := \kappa_\lambda^* \Phi_\lambda = \lambda \phi, \quad \psi_\lambda := \kappa_\lambda^* \Psi_\lambda = \lambda^{4/3} \kappa^* \Psi.$$

By (4.35) this implies

$$\text{vol}_\lambda := \frac{1}{7} \phi_\lambda \wedge \psi_\lambda = \lambda^{7/3} \text{vol} = \varepsilon \lambda \sigma = \varepsilon \sigma_\lambda.$$

Multiply both sides in equation (4.34) by  $\varepsilon \lambda^2$  to obtain

$$\phi_\lambda(u, v, w) \varepsilon \sigma_\lambda = \kappa_\lambda(u) \wedge \kappa_\lambda(v) \wedge \kappa_\lambda(w) \wedge \varepsilon \psi$$

Since  $\varepsilon \sigma_\lambda = \text{vol}_\lambda$ , it follows from (4.38) below that the same equation holds with  $\varepsilon \psi$  replaced by  $\psi_\lambda$ . Thus  $\varepsilon \psi = \psi_\lambda$  is the associative calibration of  $\phi_\lambda$ . (Here  $\varepsilon$  is independent of the choice of  $\sigma$ .) This proves Theorem 4.32.  $\square$

*Remark 4.36.* We can interpret Theorem 4.32 in the spirit of Remark 3.10. In the notation of Remark 3.10, if  $V$  is an oriented  $n$ -dimensional vector space with an inner product  $g$ , then the Hodge  $*$ -operator  $*$ :  $\Lambda^k V^* \rightarrow \Lambda^{n-k} V^*$  can be defined as

$$*\alpha = (i_g^{-1})^* \alpha \otimes \text{vol}_g \in \Lambda^k V \otimes \Lambda^n V^* = \Lambda^{n-k} V^*.$$

If  $V$  is a 7-dimensional vector space and  $\psi \in \Lambda^4 V^*$ , then we can equivalently think of it as a 3-form  $\phi^*$  on  $V^*$  with values in  $\Lambda^7 V^*$  since  $\Lambda^4 V^* = \Lambda^3 V \otimes \Lambda^7 V^*$ . Define a symmetric bilinear form  $G^* : V^* \times V^* \rightarrow (\Lambda^7 V^*)^2$  by

$$G^*(\alpha, \beta) := \frac{1}{6} i(\alpha)\phi^* \wedge i(\beta)\phi^* \wedge \phi^* \quad \text{for } \alpha, \beta \in V^*.$$

Condition ((ii) in Theorem 4.32 is equivalent to  $i_{G^*} : V^* \rightarrow V \otimes (\Lambda^7 V^*)^2$  being an isomorphism. Note that  $\det i_{G^*} \in (\Lambda^7 V^*)^{12}$ . After picking an orientation we define a positive root  $(\det i_{G^*})^{1/12} \in \Lambda^7 V^*$ . Define a volume form on  $V$  and an inner product on  $V^*$  by

$$\text{vol}_g := (\det(i_{G^*}))^{1/12} \quad \text{and} \quad g^* := \frac{G^*}{\text{vol}_g^2}.$$

A moment's thought shows that  $\text{vol}_g$  is the volume form associated with the dual inner product  $g$  and the chosen orientation on  $V$ . Further, the 3-form

$$\phi := \frac{(i_g)^* \phi^*}{\text{vol}_g} \in \Lambda^3 V^*$$

satisfies

$$\frac{1}{6} i(u)\phi \wedge i(v)\phi \wedge \phi = g(u, v) \text{vol}_g.$$

and  $*\phi = \psi$ .

The next lemma summarizes some useful identities that will be needed throughout. The first of these has already been used in the proof of Theorem 4.32. Assume that  $V$  is a 7-dimensional oriented real Hilbert space equipped with a compatible cross product,  $\phi \in \Lambda^3 V^*$  is the associative calibration, and  $\psi := *\phi \in \Lambda^4 V^*$  is the coassociative calibration of  $(V, \phi)$ .

**Lemma 4.37.** *The following hold for all  $u, v, w, x \in V$  and all  $\omega \in \Lambda^2 V^*$ :*

$$\begin{aligned}
(4.38) \quad & \psi \wedge u^* \wedge v^* \wedge w^* = \phi(u, v, w)\text{vol}, \\
(4.39) \quad & \phi \wedge u^* \wedge v^* \wedge w^* \wedge x^* = \psi(u, v, w, x)\text{vol}, \\
(4.40) \quad & \iota(u)\psi \wedge v^* \wedge \iota(v)\psi = 0, \\
(4.41) \quad & *(\psi \wedge u^*) = \iota(u)\phi, \\
(4.42) \quad & *(\phi \wedge u^*) = \iota(u)\psi, \\
(4.43) \quad & |\iota(u)\phi|^2 = 3|u|^2, \\
(4.44) \quad & |\iota(u)\psi|^2 = 4|u|^2, \\
(4.45) \quad & \phi \wedge \iota(u)\phi = 2\psi \wedge u^*, \\
(4.46) \quad & \phi \wedge \iota(u)\psi = -4\iota(u)\text{vol}, \\
(4.47) \quad & \psi \wedge \iota(u)\phi = 3\iota(u)\text{vol}, \\
(4.48) \quad & \psi \wedge \iota(u)\psi = 0, \\
(4.49) \quad & *(\phi \wedge \iota(u)\phi) = 2\iota(u)\phi, \\
(4.50) \quad & *(\phi \wedge \iota(u)\psi) = -4u^*, \\
(4.51) \quad & *(\psi \wedge \iota(u)\phi) = 3u^*, \\
(4.52) \quad & *(\psi \wedge *(\psi \wedge \iota(u)\phi)) = 3\iota(u)\phi, \\
(4.53) \quad & \iota(u)\phi \wedge \iota(v)\phi = 3\langle u, v \rangle \text{vol}, \\
(4.54) \quad & u^* \wedge v^* = \iota(u \times v)\phi - \iota(v)\iota(u)\psi, \\
(4.55) \quad & u^* \wedge v^* \wedge *(\iota(u \times v)\phi) = |u \times v|^2 \text{vol}, \\
(4.56) \quad & u^* \wedge v^* \wedge \iota(u)\phi \wedge \iota(v)\psi = 2|u \times v|^2 \text{vol}, \\
(4.57) \quad & \psi \wedge u^* \wedge v^* = \iota(u \times v)\text{vol}, \\
(4.58) \quad & \phi \wedge u^* \wedge v^* \wedge w^* = \iota([u, v, w])\text{vol}, \\
(4.59) \quad & \phi \wedge u^* \wedge v^* = *\iota(v)\iota(u)\psi, \\
(4.60) \quad & *(\psi \wedge *(\psi \wedge \omega)) = \omega + *(\phi \wedge \omega), \\
(4.61) \quad & *(\phi \wedge *(\phi \wedge \omega)) = 2\omega + *(\phi \wedge \omega).
\end{aligned}$$

*Proof.* It is a general fact about alternating  $k$ -forms on a finite-dimensional Hilbert space  $V$  that  $\langle u_1^* \wedge \cdots \wedge u_k^*, \alpha \rangle = \alpha(u_1, \dots, u_k)$  for all  $u_i \in V$  and all  $\alpha \in \Lambda^k V^*$ . This proves (4.38) and (4.39). Equations (4.41) and (4.42) follow from (4.15) in Remark 4.14.

To prove equations (4.40) and (4.43)–(4.47) assume without loss of generality that  $u, v$  are orthonormal. By Theorem 3.2 assume that  $V = \mathbf{R}^7$  with  $u = e_1$  and  $v = e_2$ , and that  $\phi$  and  $\psi$  are as in (4.12) and (4.13), i.e.,

$$\begin{aligned}
(4.62) \quad & \phi = \phi_0 = e^{123} - e^{145} - e^{167} - e^{246} + e^{257} - e^{347} - e^{356}, \\
& \psi = \psi_0 = -e^{1247} - e^{1256} + e^{1346} - e^{1357} - e^{2345} - e^{2367} + e^{4567}.
\end{aligned}$$



Then

$$(4.63) \quad \begin{aligned} \iota(u)\phi &= e^{23} - e^{45} - e^{67}, \\ \iota(u)\psi &= -e^{247} - e^{256} + e^{346} - e^{357}, \\ v^* \wedge \iota(v)\psi &= -e^{1247} - e^{1256} + e^{2345} - e^{2367}. \end{aligned}$$

Equation (4.40) follows by multiplying the last two sums, and (4.43) and (4.44) follow by examining the first two sums. Moreover, by (4.62) and (4.63),

$$\phi \wedge \iota(u)\phi = -2e^{12345} - 2e^{12367} + 2e^{14567} = 2*\iota(u)\phi = 2u^* \wedge \psi.$$

This proves (4.45). By (4.62) and (4.63) we also have  $\psi \wedge \iota(u)\phi = 3e^{234567}$  and  $\phi \wedge \iota(u)\psi = -4e^{234567}$ . This proves (4.46) and (4.47).

Equation (4.48) follows by contracting  $u$  with the 8-form  $\psi \wedge \psi = 0$ . Equations (4.49)–(4.51) follow from (4.45)–(4.47) and the fact that  $*u^* = \iota(u)\text{vol}$  and  $*(u^* \wedge \psi) = \iota(u)\phi$  by (4.41). To prove equation (4.52) take the exterior product of equation (4.51) with  $\psi$  and then use (4.41) to obtain

$$\psi \wedge *(\psi \wedge \iota(u)\phi) = \psi \wedge 3u^* = 3*\iota(u)\phi.$$

Equation (4.53) follows from (4.43) and the fact that the left hand side in (4.53) is symmetric in  $u$  and  $v$ . Equation (4.54) is equivalent to (4.20) in the proof of Lemma 4.8. To prove equation (4.55) choose  $w := u \times v$  in (4.38) to obtain

$$|u \times v|^2 \text{vol} = u^* \wedge v^* \wedge (u \times v)^* \wedge \psi = u^* \wedge v^* \wedge *\iota(u \times v)\phi.$$

Here the last equation follows from (4.41). To prove (4.56) we compute

$$\begin{aligned} & u^* \wedge v^* \wedge \iota(u)\phi \wedge \iota(v)\psi \\ &= -\iota(v)(u^* \wedge v^* \wedge \iota(u)\phi) \wedge \psi \\ &= -\langle u, v \rangle v^* \wedge \iota(u)\phi \wedge \psi + |v|^2 u^* \wedge \iota(u)\phi \wedge \psi - u^* \wedge v^* \wedge (u \times v)^* \wedge \psi \\ &= -\langle u, v \rangle \iota(u)\phi \wedge *\iota(v)\phi + |v|^2 \iota(u)\phi \wedge *\iota(u)\phi - u^* \wedge v^* \wedge *\iota(u \times v)\phi \\ &= 2|u \times v|^2 \text{vol}. \end{aligned}$$

Here the second step uses the identity  $\iota(v)\iota(u)\phi = \phi(u, v, \cdot) = (u \times v)^*$ , the third step follows from (4.41), and the last step follows from (4.45) and (4.55).

To prove equation (4.57) take the exterior product with a 1-form  $w^*$  and use equation (4.38) to obtain

$$\begin{aligned} (\psi \wedge u^* \wedge v^*) \wedge w^* &= \phi(u, v, w) \text{vol} = \langle u \times v, w \rangle \text{vol} \\ &= (*(u \times v)^*) \wedge w^* = (\iota(u \times v)\text{vol}) \wedge w^*. \end{aligned}$$

To prove equation (4.58) take the exterior product with a 1-form  $x^*$  and use equation (4.39) to obtain

$$\begin{aligned}(\phi \wedge u^* \wedge v^* \wedge w^*) \wedge x^* &= \psi(u, v, w, x) \text{vol} = \langle [u, v, w], x \rangle \text{vol} \\ &= (*[u, v, w]^*) \wedge x^* = (\iota([u, v, w]) \text{vol}) \wedge x^*.\end{aligned}$$

To prove equation (4.59) take the exterior product with  $w^* \wedge x^*$  for  $w, x \in V$  and use equation (4.57) to obtain

$$\begin{aligned}(\phi \wedge u^* \wedge v^*) \wedge (w^* \wedge x^*) &= \psi(u, v, w, x) \text{vol} \\ &= \langle \iota(v) \iota(u) \psi, w^* \wedge x^* \rangle \text{vol} \\ &= (*\iota(v) \iota(u) \psi) \wedge (w^* \wedge x^*).\end{aligned}$$

Since  $\Lambda^2 V^*$  has a basis of 2-forms of the form  $w^* \wedge x^*$ , this proves (4.59).

To prove equations (4.60) and (4.61) it suffices to assume

$$\omega = u^* \wedge v^*$$

for  $u, v \in V$ . Then it follows from (4.54) and (4.59) that

$$\begin{aligned}(4.64) \quad \iota(u \times v) \phi &= u^* \wedge v^* + \iota(v) \iota(u) \psi \\ &= u^* \wedge v^* + *(u^* \wedge v^* \wedge \phi) \\ &= \omega + *(\phi \wedge \omega).\end{aligned}$$

Moreover,  $*(\psi \wedge \omega) = (u \times v)^*$  by (4.57). Hence, by (4.41) and (4.64),

$$\begin{aligned}*(\psi \wedge *(\psi \wedge \omega)) &= *(\psi \wedge (u \times v)^*) \\ &= \iota(u \times v) \phi \\ &= \omega + *(\phi \wedge \omega).\end{aligned}$$

This proves equation (4.60). Moreover, by (4.49) and (4.64),

$$\begin{aligned}*(\phi \wedge *(\phi \wedge \omega)) &= *(\phi \wedge (\iota(u \times v) \phi - \omega)) \\ &= *(\phi \wedge \iota(u \times v) \phi) - *(\phi \wedge \omega) \\ &= 2\iota(u \times v) \phi - *(\phi \wedge \omega) \\ &= 2\omega + *(\phi \wedge \omega).\end{aligned}$$

This proves equation (4.61) and Lemma 4.37. □

## 5 Normed algebras

**Definition 5.1.** A **normed algebra** consists of a finite dimensional real Hilbert space  $W$ , a bilinear map

$$W \times W \rightarrow W: (u, v) \mapsto uv,$$

(called the **product**), and a unit vector  $1 \in W$  (called the **unit**), satisfying

$$1u = u1 = u$$

and

$$(5.2) \quad |uv| = |u||v|$$

for all  $u, v \in W$ .

When  $W$  is a normed algebra it is convenient to identify the real numbers with a subspace of  $W$  via multiplication with the unit 1. Thus, for  $u \in W$  and  $\lambda \in \mathbf{R}$ , we write  $u + \lambda$  instead of  $u + \lambda 1$ . Define an involution  $W \rightarrow W: u \mapsto \bar{u}$  (called **conjugation**) by  $\bar{1} := 1$  and  $\bar{u} := -u$  for  $u \in 1^\perp$ . Thus

$$(5.3) \quad \bar{\bar{u}} := 2\langle u, 1 \rangle - u.$$

We think of  $\mathbf{R} \subset W$  as the real part of  $W$  and of its orthogonal complement as the imaginary part. The real and imaginary parts of  $u \in W$  will be denoted by  $\operatorname{Re} u := \langle u, 1 \rangle$  and  $\operatorname{Im} u := u - \langle u, 1 \rangle$ .

**Theorem 5.4.** *Normed algebras and vector spaces with cross products are related as follows.*

- (i) *If  $W$  is a normed algebra, then  $V := 1^\perp$  is equipped with a cross product  $V \times V \rightarrow V: (u, v) \mapsto u \times v$  defined by*

$$(5.5) \quad u \times v := uv - \langle u, v \rangle$$

for  $u, v \in 1^\perp$ .

- (ii) *If  $V$  is a finite dimensional Hilbert space equipped with a cross product, then  $W := \mathbf{R} \oplus V$  is a normed algebra with*

$$(5.6) \quad uv := u_0v_0 - \langle u_1, v_1 \rangle + u_0v_1 + v_0u_1 + u_1 \times v_1$$

for  $u = u_0 + u_1, v = v_0 + v_1 \in \mathbf{R} \oplus V$ . Here we identify a real number  $\lambda$  with the pair  $(\lambda, 0) \in \mathbf{R} \oplus V$  and a vector  $v \in V$  with the pair  $(0, v) \in \mathbf{R} \oplus V$ .

*These constructions are inverses of each other. In particular, a normed algebra has dimension 1, 2, 4, or 8 and is isomorphic to  $\mathbf{R}$ ,  $\mathbf{C}$ ,  $\mathbf{H}$ , or  $\mathbf{O}$ .*

*Proof.* See page 29. □

**Lemma 5.7.** *Let  $W$  be a normed algebra. Then the following hold:*

(i) *For all  $u, v, w \in W$  we have*

$$(5.8) \quad \langle uv, w \rangle = \langle v, \bar{u}w \rangle, \quad \langle uv, w \rangle = \langle u, w\bar{v} \rangle.$$

(ii) *For all  $u, v \in W$  we have*

$$(5.9) \quad u\bar{u} = |u|^2, \quad u\bar{v} + v\bar{u} = 2\langle u, v \rangle.$$

(iii) *For all  $u, v \in W$  we have*

$$(5.10) \quad \langle u, v \rangle = \langle \bar{u}, \bar{v} \rangle, \quad \overline{u\bar{v}} = \bar{v}\bar{u}.$$

(iv) *For all  $u, v, w \in W$  we have*

$$(5.11) \quad u(\bar{v}w) + v(\bar{u}w) = 2\langle u, v \rangle w, \quad (u\bar{v})w + (u\bar{w})v = 2\langle v, w \rangle u$$

*Proof.* We prove (i). The first equation in (5.8) is obvious when  $u$  is a real multiple of 1. Hence, it suffices to assume that  $u$  is orthogonal to 1. Expanding the identities  $|uv + uw|^2 = |u|^2|v + w|^2$  and  $|uv + vw|^2 = |u + w|^2|v|^2$  we obtain the equations

$$(5.12) \quad \langle uv, uw \rangle = |u|^2\langle v, w \rangle, \quad \langle uv, vw \rangle = \langle u, w \rangle|v|^2.$$

If  $u$  is orthogonal to 1, the first equation in (5.12) gives

$$\langle uv, w \rangle + \langle v, uw \rangle = \langle (1 + u)v, (1 + u)w \rangle - (1 + |u|^2)\langle v, w \rangle = 0.$$

Since  $\bar{u} = -u$  for  $u \in 1^\perp$ , this proves the first equation in (5.8). The proof of the second equation is similar.

We prove (ii). Using the second equation in (5.8) with  $v = \bar{u}$  we obtain  $\langle u\bar{u}, w \rangle = \langle u, wu \rangle = \langle 1, w \rangle|u|^2$ . Here we have used the second equation in (5.12). This implies  $u\bar{u} = |u|^2$  for every  $u \in W$ . Replacing  $u$  by  $u + v$  gives  $u\bar{v} + v\bar{u} = 2\langle u, v \rangle$ . This proves (5.9).

We prove (iii). That conjugation is an isometry follows immediately from the definition. Using (5.9) with  $v$  replaced by  $\bar{v}$  we obtain

$$\bar{v}\bar{u} = 2\langle u, \bar{v} \rangle - uv = 2\langle uv, 1 \rangle - uv = \overline{u\bar{v}}.$$

Here the second equation follows from (5.8). This proves (5.10).

We prove (iv). For all  $u, w \in W$  we have

$$(5.13) \quad \langle u(\bar{u}w), w \rangle = |\bar{u}w|^2 = |\bar{u}|^2|w|^2 = |u|^2|w|^2$$

Since the operator  $w \mapsto u(\bar{u}w)$  is self-adjoint, by (5.8), this shows that  $u(\bar{u}w) = |u|^2w$  for all  $u, w \in W$ . Replacing  $u$  by  $u + v$  we obtain the first equation in (5.11). The proof of the second equation is similar.  $\square$

*Proof of Theorem 5.4.* Let  $W$  be a normed algebra. It follows from (5.8) that  $\langle u, v \rangle = -\langle uv, 1 \rangle$  and, hence,  $u \times v := uv + \langle u, v \rangle \in 1^\perp$  for all  $u, v \in 1^\perp$ . We write an element of  $W$  as  $u = u_0 + u_1$  with  $u_0 := \langle u, 1 \rangle \in \mathbf{R}$  and  $u_1 := u - \langle u, 1 \rangle \in V = 1^\perp$ . For  $u, v \in W$  we compute

$$\begin{aligned}
|u|^2|v|^2 - |uv|^2 &= (u_0^2 + |u_1|^2)(v_0^2 + |v_1|^2) - (u_0v_0 - \langle u_1, v_1 \rangle)^2 \\
&\quad - |u_0v_1 + v_0u_1 + u_1 \times v_1|^2 \\
&= u_0^2|v_1|^2 + v_0^2|u_1|^2 + 2u_0v_0\langle u_1, v_1 \rangle + |u_1|^2|v_1|^2 - \langle u_1, v_1 \rangle^2 \\
&\quad - |u_0v_1 + v_0u_1|^2 - |u_1 \times v_1|^2 - 2\langle u_0v_1 + v_0u_1, u_1 \times v_1 \rangle \\
&= |u_1|^2|v_1|^2 - \langle u_1, v_1 \rangle^2 - |u_1 \times v_1|^2 \\
&\quad - 2u_0\langle v_1, u_1 \times v_1 \rangle - 2v_0\langle u_1, u_1 \times v_1 \rangle.
\end{aligned}$$

The right hand side vanishes for all  $u$  and  $v$  if and only if the product on  $V$  satisfies (2.3) and (2.4). Hence, (5.5) defines a cross product on  $V$  and the product can obviously be recovered from the cross product via (5.6). Conversely, the same argument shows that, if  $V$  is equipped with a cross product, the formula (5.6) defines a normed algebra structure on  $W := \mathbf{R} \oplus V$ . Moreover, by Theorem 2.5,  $V$  has dimension 0, 1, 3, or 7. This proves Theorem 5.4.  $\square$

*Remark 5.14.* If  $W$  is a normed algebra and the cross product on  $V := 1^\perp$  is defined by (5.5), then the commutator of two elements  $u, v \in W$  is given by

$$(5.15) \quad [u, v] := uv - vu = 2u_1 \times v_1.$$

In particular, the product on  $W$  is commutative in dimensions 1 and 2 and is not commutative in dimensions 4 and 8.

*Remark 5.16.* Let  $W$  be a normed algebra of dimension 4 or 8. Then  $V := 1^\perp$  has a natural orientation determined by Lemma 2.12 or Lemma 3.4, respectively, in dimensions 3 and 7. We orient  $W$  as  $\mathbf{R} \oplus V$ .

*Remark 5.17.* If  $W$  is a normed algebra and the cross product on  $V := 1^\perp$  is defined by (5.5), then the associator bracket on  $V$  is related to the product on  $W$  by

$$(5.18) \quad (uv)w - u(vw) = 2[u_1, v_1, w_1]$$

for all  $u, v, w \in W$ . Thus  $W$  is an associative algebra in dimensions 1, 2, 4 and is not associative in dimension 8. The formula (5.18) is the reason for the term *associator bracket*. Many authors actually define the associator bracket as the left hand side of equation (5.18) (see for example [HL82]).

To prove (5.18), we observe that the associator bracket on  $V$  can be written in the form

$$\begin{aligned}
(5.19) \quad 2[u, v, w] &= 2(u \times v) \times w + 2\langle v, w \rangle u - 2\langle u, w \rangle v \\
&= (u \times v) \times w - u \times (v \times w) + \langle v, w \rangle u - \langle u, v \rangle w
\end{aligned}$$

for  $u, v, w \in V$ . Here the first equation follows from (4.1) and the second equation follows from (2.11). For  $u, v, w \in V$  we compute

$$\begin{aligned} (uv)w - u(vw) &= (-\langle u, v \rangle + u \times v)w - u(-\langle v, w \rangle + v \times w) \\ &= (u \times v) \times w - u \times (v \times w) - \langle u, v \rangle w + \langle v, w \rangle u \\ &= 2[u, v, w]. \end{aligned}$$

Here the first equation follows from the definition of the cross product in (5.5), the second equation follows by applying (5.5) again and using (2.7), and the last equation follows from (5.19). Now, if any of the factors  $u, v, w$  is a real number, the term on the left vanishes. Hence, real parts can be added to the vectors without changing the expression.

**Theorem 5.20.** *Let  $W$  be an 8-dimensional normed algebra.*

(i) *The map  $W^3 \rightarrow W : (u, v, w) \mapsto u \times v \times w$  defined by*

$$(5.21) \quad u \times v \times w := \frac{1}{2}((u\bar{v})w - (w\bar{v})u)$$

*(called the **triple cross product** of  $W$ ) is alternating and satisfies*

$$(5.22) \quad \langle x, u \times v \times w \rangle + \langle u \times v \times x, w \rangle = 0,$$

$$(5.23) \quad |u \times v \times w| = |u \wedge v \wedge w|,$$

*for all  $u, v, w, x \in W$  and*

$$(5.24) \quad \langle e \times u \times v, e \times w \times x \rangle = -|e|^2 \langle u \times v \times w, x \rangle$$

*whenever  $e, u, v, w, x \in W$  are orthonormal.*

(ii) *The map  $\Phi: W^4 \rightarrow \mathbf{R}$  defined by*

$$\Phi(x, u, v, w) := \langle x, u \times v \times w \rangle$$

*(called the **Cayley calibration** of  $W$ ) is an alternating 4-form. Moreover,  $\Phi$  is self-dual, i.e.,*

$$(5.25) \quad \Phi = *\Phi,$$

*where  $*$ :  $\Lambda^k W^* \rightarrow \Lambda^{8-k} W^*$  denotes the Hodge  $*$ -operator associated to the inner product and the orientation of Remark 5.16.*

(iii) Let  $V := 1^\perp$  with the cross product defined by (5.5) and the associator bracket  $[\cdot, \cdot, \cdot]$  defined by (4.1). Let  $\phi \in \Lambda^3 V^*$  and  $\psi \in \Lambda^4 V^*$  be the associative and coassociative calibrations of  $V$  defined by (2.8) and (4.9), respectively. Then the triple cross product (5.21) of  $u, v, w \in W$  can be expressed as

$$(5.26) \quad \begin{aligned} u \times v \times w &= \phi(u_1, v_1, w_1) - [u_1, v_1, w_1] \\ &\quad - u_0(v_1 \times w_1) - v_0(w_1 \times u_1) - w_0(u_1 \times v_1) \end{aligned}$$

and the Cayley calibration is given by

$$(5.27) \quad \Phi = 1^* \wedge \phi + \psi.$$

(iv) For all  $u, v \in W$  we have

$$(5.28) \quad uv = u \times 1 \times v + \langle u, 1 \rangle v + \langle v, 1 \rangle u - \langle u, v \rangle.$$

*Remark 5.29.* There is a choice involved in the definition of the triple cross product in (5.21). An alternative formula is

$$(u, v, w) \mapsto \frac{1}{2}(u(\bar{v}w) - w(\bar{v}u)).$$

This map also satisfies (5.22) and (5.23). However, it satisfies (5.24) with the minus sign changed to plus and the resulting Cayley calibration is given by  $\Phi = 1^* \wedge \phi - \psi$  and is anti-self-dual. Equation (5.28) remains unchanged.

*Proof of Theorem 5.20.* Let  $W \times W \times W \rightarrow W : (u, v, w) \mapsto u \times v \times w$  be the trilinear map defined by (5.21). We prove that this map satisfies (5.26). To see this, fix three vectors  $u, v, w \in W$ . Then, by (5.15), we have

$$\bar{v}w - w\bar{v} = -2v_1 \times w_1, \quad uw - wu = -2w_1 \times u_1, \quad u\bar{v} - \bar{v}u = -2u_1 \times v_1.$$

Multiplying these expressions by  $u_0, v_0, w_0$ , respectively, we obtain (twice) the last three expressions on the right in (5.26). Thus it suffices to assume  $u, v, w \in V$ . Then we obtain

$$\begin{aligned} 2u \times v \times w &= (u\bar{v})w - (w\bar{v})u \\ &= -(uv)w + (wv)u \\ &= -(-\langle u, v \rangle + u \times v)w + (-\langle w, v \rangle + w \times v)u \\ &= \langle u \times v, w \rangle + \langle u, v \rangle w - (u \times v) \times w \\ &\quad - \langle w \times v, u \rangle - \langle w, v \rangle u + (w \times v) \times u \\ &= 2\phi(u, v, w) - 2[u, v, w]. \end{aligned}$$

Here the third and fourth equations follow from (5.5), and the last equation follows from (2.8) and (5.19). This proves that the formulas (5.21) and (5.26) agree.

We prove ((i)). By (5.26) we have

$$(5.30) \quad \begin{aligned} \langle x, u \times v \times w \rangle &= x_0 \phi(u_1, v_1, w_1) + \psi(x_1, u_1, v_1, w_1) \\ &\quad - u_0 \phi(x_1, v_1, w_1) - v_0 \phi(x_1, w_1, u_1) \\ &\quad - w_0 \phi(x_1, u_1, v_1) \end{aligned}$$

for  $x, u, v, w \in W$ . Here we have used  $\phi(u_1, v_1, w_1) = \langle u_1, v_1 \times w_1 \rangle$  and

$$-\langle x_1, [u_1, v_1, w_1] \rangle = -\psi(u_1, v_1, w_1, x_1) = \psi(x_1, u_1, v_1, w_1).$$

It follows from the alternating properties of  $\phi$  and  $\psi$  that the right hand side of (5.30) is an alternating 4-form. Hence, the map (5.21) is alternating and satisfies (5.22). For  $u, v, w \in V = 1^\perp$  equation (5.23) follows from Lemma 4.4. In general, if  $u, v, w \in W$  are pairwise orthogonal, it follows from (5.9) and (5.11) that

$$(u\bar{v})w = -(u\bar{w})v = (w\bar{u})v = -(w\bar{v})u.$$

This shows that

$$(5.31) \quad \langle u, v \rangle = \langle v, w \rangle = \langle w, u \rangle = 0 \quad \implies \quad u \times v \times w = u(\bar{v}w)$$

and, hence, by (5.2), we have  $|u \times v \times w| = |u \wedge v \wedge w|$  in the orthogonal case. This equation continues to hold in general by Gram–Schmidt. This proves that the triple cross product satisfies (5.23).

We prove (5.24). The second equation in (5.11) asserts that  $(yz)\bar{z} = |z|^2 y$  for all  $y, z \in W$ . Hence, by (5.31), we have

$$\begin{aligned} \langle e \times u \times v, e \times w \times x \rangle &= \langle u \times v \times e, w \times x \times e \rangle \\ &= \langle (u\bar{v})e, (w\bar{x})e \rangle \\ &= \langle u\bar{v}, ((w\bar{x})e)\bar{e} \rangle \\ &= |e|^2 \langle u\bar{v}, w\bar{x} \rangle \\ &= |e|^2 \langle (u\bar{v})x, w \rangle \\ &= |e|^2 \langle u \times v \times x, w \rangle \\ &= -|e|^2 \langle x, u \times v \times w \rangle \end{aligned}$$

whenever  $e, u, v, w, x \in W$  are pairwise orthogonal. Thus the triple cross product (5.21) satisfies (5.24). This proves ((i)).

We prove ((ii)) and ((iii)). That  $\Phi$  is a 4-form follows from ((i)). That it satisfies equation (5.27) follows directly from the definition of  $\Phi$  and equation (5.30). That  $\Phi$  is self-dual with respect to the orientation of Remark 5.16 follows from (5.27) and Lemma 4.8. Equation (5.26) was proved above.

We prove ((iv)). By (5.15) and (5.21), we have

$$u_1 \times v_1 = \frac{1}{2}(uv - vu) = u \times 1 \times v.$$



Hence, it follows from (5.6) that

$$\begin{aligned}
uv &= u_0v_0 - \langle u_1, v_1 \rangle + u_0v_1 + v_0u_1 + u_1 \times v_1 \\
&= -u_0v_0 - \langle u_1, v_1 \rangle + u_0v + v_0u + u_1 \times v_1 \\
&= -\langle u, v \rangle + \langle u, 1 \rangle v + \langle v, 1 \rangle u + u \times 1 \times v.
\end{aligned}$$

This proves (5.28) and Theorem 5.20.  $\square$

**Example 5.32.** If  $W = \mathbf{R}^8 = \mathbf{R} \oplus \mathbf{R}^7$  with coordinates  $x_0, x_1, \dots, x_7$  and the cross product of Example 2.15 on  $\mathbf{R}^7$ , then the associated Cayley calibration is given by

$$\begin{aligned}
\Phi_0 &= e^{0123} - e^{0145} - e^{0167} - e^{0246} + e^{0257} - e^{0347} - e^{0356} \\
&\quad + e^{4567} - e^{2367} - e^{2345} - e^{1357} + e^{1346} - e^{1256} - e^{1247}.
\end{aligned}$$

Thus

$$\Phi_0 \wedge \Phi_0 = 14 \text{vol}.$$

(See the proof of Lemma 4.8.)

**Definition 5.33.** Let  $W$  be an 8-dimensional normed algebra. The **fourfold cross product** on  $W$  is the alternating multi-linear map  $W^4 \rightarrow W : (u, v, w, x) \mapsto u \times v \times w \times x$  defined by

$$(5.34) \quad 4x \times u \times v \times w := (u \times v \times w)\bar{x} - (v \times w \times x)\bar{u} + (w \times x \times u)\bar{v} - (x \times u \times v)\bar{w}.$$

**Theorem 5.35.** Let  $W$  be an 8-dimensional normed algebra with triple cross product (5.21), Cayley calibration  $\Phi \in \Lambda^4 W^*$ , and fourfold cross product (5.34). Then, for all  $x, u, v, w \in W$ , we have

$$(5.36) \quad |x \times u \times v \times w| = |x \wedge u \wedge v \wedge w|$$

and

$$\begin{aligned}
\text{Re}(x \times u \times v \times w) &= \Phi(x, u, v, w), \\
\text{Im}(x \times u \times v \times w) &= [x_1, u_1, v_1, w_1] - x_0[u_1, v_1, w_1] \\
&\quad + u_0[v_1, w_1, x_1] - v_0[w_1, x_1, u_1] \\
&\quad + w_0[x_1, u_1, v_1],
\end{aligned}
\tag{5.37}$$

where the last five terms use the associator and coassociator brackets on  $V := 1^\perp$  defined by (4.1) and (4.22). In particular,

$$(5.38) \quad \Phi(x, u, v, w)^2 + |\text{Im}(x \times u \times v \times w)|^2 = |x \wedge u \wedge v \wedge w|^2.$$

*Proof.* That the fourfold cross product is alternating is obvious from the definition and the alternating property of the triple cross product. We prove that it satisfies (5.36). For this it suffices to assume that  $u, v, w, x$  are pairwise orthogonal. Then  $u \times v \times w = (u\bar{v})w$  and hence

$$(u \times v \times w)\bar{x} = ((u\bar{v})w)\bar{x} = -((u\bar{v})x)\bar{w} = -(u \times v \times x)\bar{w}.$$

Here we have used (5.9) and (5.11). Using the alternating property of the triple cross product we obtain that the four summands in (5.34) agree in the orthogonal case. Hence,  $x \times u \times v \times w = ((u\bar{v})w)\bar{x}$  and so equation (5.36) follows from (5.2).

We prove (5.37). Since  $u \times 1 \times v = u_1 \times v_1$ , we have

$$\begin{aligned}
1 \times u \times v \times w &= \frac{1}{4} \left( u \times v \times w + (v_1 \times w_1)\bar{u} + (w_1 \times u_1)\bar{v} + (u_1 \times v_1)\bar{w} \right) \\
&= \frac{1}{4} \left( u \times v \times w + u_0(v_1 \times w_1) + v_0(w_1 \times u_1) + w_0(u_1 \times v_1) \right) \\
&\quad + \frac{1}{4} \left( \langle v_1 \times w_1, u_1 \rangle + \langle w_1 \times u_1, v_1 \rangle + \langle u_1 \times v_1, w_1 \rangle \right) \\
&\quad - \frac{1}{4} \left( (v_1 \times w_1) \times u_1 + (w_1 \times u_1) \times v_1 + (u_1 \times v_1) \times w_1 \right) \\
&= \phi(u_1, v_1, w_1) - [u_1, v_1, w_1].
\end{aligned}$$

The last equation follows from (5.26) and the definition of the associator bracket in (4.1). This proves (5.37) in the case  $x_1 = 0$ . Using the alternating property we may now assume that  $x, u, v, w \in V := 1^\perp$ . If  $x, u, v, w$  are orthogonal to 1 it follows from (5.26) that  $u \times v \times w = \phi(u, v, w) - [u, v, w]$  and  $\Phi(x, u, v, w) = -\langle x, [u, v, w] \rangle = \psi(x, u, v, w)$ . Moreover,  $\bar{x} = -x$  and similarly for  $u, v, w$ . Hence,

$$\begin{aligned}
4x \times u \times v \times w &= -(u \times v \times w)x + (v \times w \times x)u - (w \times x \times u)v + (x \times u \times v)w \\
&= [u, v, w]x - [v, w, x]u + [w, x, u]v - [x, u, v]w \\
&\quad - \phi(u, v, w)x + \phi(v, w, x)u - \phi(w, x, u)v + \phi(x, u, v)w \\
&= -\langle [u, v, w], x \rangle + \langle [v, w, x], u \rangle - \langle [w, x, u], v \rangle + \langle [x, u, v], w \rangle \\
&\quad + [u, v, w] \times x - [v, w, x] \times u + [w, x, u] \times v - [x, u, v] \times w \\
&\quad - \phi(u, v, w)x + \phi(v, w, x)u - \phi(w, x, u)v + \phi(x, u, v)w \\
&= -4\psi(u, v, w, x) - 4[u, v, w, x] \\
&= 4\Phi(x, u, v, w) + 4[x, u, v, w].
\end{aligned}$$

Here the last but one equation follows from Lemma 4.21. Thus we have proved (5.37) and Theorem 5.35.  $\square$

## 6 Triple cross products

In this section we show how to recover the normed algebra structure on  $W$  from the triple cross product. In fact we shall see that every unit vector in  $W$  can be used as a unit for the algebra structure. We assume throughout that  $W$  is a finite dimensional real Hilbert space.

**Definition 6.1.** An alternating multi-linear map

$$(6.2) \quad W \times W \times W \rightarrow W: (u, v, w) \mapsto u \times v \times w$$

is called a **triple cross product** if it satisfies

$$(6.3) \quad \langle u \times v \times w, u \rangle = \langle u \times v \times w, v \rangle = \langle u \times v \times w, w \rangle = 0,$$

$$(6.4) \quad |u \times v \times w| = |u \wedge v \wedge w|$$

for all  $u, v, w \in W$ .

A multi-linear map (6.2) that satisfies (6.4) also satisfies  $u \times v \times w = 0$  whenever  $u, v, w \in W$  are linearly dependent, and hence is necessarily alternating.

**Lemma 6.5.** *Let (6.2) be an alternating multi-linear map. Then (6.3) holds if and only if, for all  $x, u, v, w \in W$ , we have*

$$(6.6) \quad \langle x, u \times v \times w \rangle + \langle u \times v \times x, w \rangle = 0.$$

*Proof.* If (6.6) holds, then (6.3) follows directly from the alternating property of the map (6.2). To prove the converse, expand the expression  $\langle u \times v \times (w + x), w + x \rangle$  and use (6.3) to obtain (6.6).  $\square$

**Lemma 6.7.** *Let (6.2) be an alternating multi-linear map satisfying (6.3). Then equation (6.4) holds if and only if, for all  $u, v, w \in W$ , we have*

$$(6.8) \quad \begin{aligned} & u \times v \times (u \times v \times w) + |u \wedge v|^2 w \\ &= (|v|^2 \langle u, w \rangle - \langle u, v \rangle \langle v, w \rangle) u + (|u|^2 \langle v, w \rangle - \langle v, u \rangle \langle u, w \rangle) v. \end{aligned}$$

*Proof.* If (6.8) holds and  $w$  is orthogonal to  $u$  and  $v$ , then

$$u \times v \times (u \times v \times w) = -|u \wedge v|^2 w.$$

Taking the inner product with  $w$  and using (6.6) we obtain (6.4) under the assumption  $\langle u, w \rangle = \langle v, w \rangle = 0$ . Since both sides of equation (6.4) remain unchanged if we add to  $w$  a linear combination of  $u$  and  $v$ , this proves that (6.8) implies (6.4).

To prove the converse we assume (6.4). If  $w$  is orthogonal to  $u$  and  $v$ , we have  $|u \times v \times w|^2 = |u \wedge v|^2 |w|^2$ . Replacing  $w$  by  $w + x$  we obtain

$$(6.9) \quad w, x \in u^\perp \cap v^\perp \implies \langle u \times v \times w, u \times v \times x \rangle = |u \wedge v|^2 \langle w, x \rangle.$$

Using (6.6) we obtain (6.8) for every vector  $w \in u^\perp \cap v^\perp$ . Replacing a general vector  $w$  by its projection onto the orthogonal complement of the subspace spanned by  $u$  and  $v$  we deduce that (6.8) holds in general. This proves Lemma 6.7.  $\square$

Let (6.2) be a triple cross product. If  $e \in W$  is a unit vector, then the subspace  $V_e := e^\perp$  carries a cross product  $(u, v) \mapsto u \times_e v$  defined by  $u \times_e v := u \times e \times v$ . Hence, by Theorem 2.5, the dimension of  $V_e$  is 0, 1, 3, or 7.

It follows that the dimension of  $W$  is 0, 1, 2, 4, or 8.

**Lemma 6.10.** *Assume  $\dim W = 8$  and let (6.2) be a triple cross product. Then there is a number  $\varepsilon \in \{\pm 1\}$  such that*

$$(6.11) \quad e \times u \times (e \times v \times w) = \varepsilon |e|^2 u \times v \times w$$

whenever  $e, u, v \in W$  are pairwise orthogonal and  $w \in W$  is orthogonal to  $e, u, v$ , and  $e \times u \times v$ .

*Proof.* It suffices to assume that the vectors  $e, u, v \in W$  are orthonormal. Then the subspace

$$H := \text{span}(e, u, v, e \times u \times v)^\perp$$

has dimension four. It follows from (6.6) and (6.9) that the formulas

$$Iw := e \times u \times w, \quad Jw := e \times v \times w, \quad Kw := u \times v \times w,$$

define endomorphisms  $I, J, K$  of  $H$ . Moreover, by (6.6), these operators are skew adjoint and, by (6.9), they are complex structures on  $H$ . It follows also from (6.9) that  $e \times x \times (e \times x \times w) = -|x|^2 w$  whenever  $e, x, w$  are pairwise orthogonal and  $|e| = 1$ . Assuming  $w \in H$  and using this identity with  $x = u + v$  we obtain  $IJ + JI = 0$ . This implies that the automorphisms of  $H$  of the form  $aI + bJ + cIJ$  with  $a^2 + b^2 + c^2 = 1$  belong to the space  $\mathcal{J}$  of orthogonal complex structures on  $H$ . They form one of the two components of  $\mathcal{J}$  and  $K$  belongs to this component because it anticommutes with  $I$  and  $J$ . Hence,  $K = \varepsilon IJ$  with  $\varepsilon = \pm 1$ . Since the space of orthonormal triples in  $W$  is connected, and the constant  $\varepsilon$  depends continuously on the triple  $e, u, v$ , we have proved (6.11) under the assumption that  $e, u, v$  are orthonormal and  $w$  is orthogonal to the vectors  $e, u, v, e \times u \times v$ . Hence, the assertion follows by scaling. This proves Lemma 6.10.  $\square$

**Definition 6.12.** Assume  $\dim W = 8$ . A triple cross product (6.2) is called **positive** if it satisfies (6.11) with  $\varepsilon = 1$  and is called **negative** if it satisfies (6.11) with  $\varepsilon = -1$ .

**Definition 6.13.** Assume  $\dim W = 8$  and let (6.2) be a triple cross product. Then, by Lemma 6.5, the map  $\Phi: W \times W \times W \times W \rightarrow \mathbb{R}$  defined by

$$(6.14) \quad \Phi(x, u, v, w) := \langle x, u \times v \times w \rangle$$

is an alternating 4-form. It is called the **Cayley calibration** of  $W$ .

**Theorem 6.15.** *Assume  $\dim W = 8$  and let (6.2) be a triple cross product with Cayley calibration  $\Phi \in \Lambda^4 W^*$  given by (6.14). Let  $e \in W$  be a unit vector.*

(i) Define the map  $\psi_e: W^4 \rightarrow \mathbf{R}$  by

$$(6.16) \quad \begin{aligned} \psi_e(u, v, w, x) := & \langle e \times u \times v, e \times w \times x \rangle \\ & - (\langle u, w \rangle - \langle u, e \rangle \langle e, w \rangle) (\langle v, x \rangle - \langle v, e \rangle \langle e, x \rangle) \\ & + (\langle u, x \rangle - \langle u, e \rangle \langle e, x \rangle) (\langle v, w \rangle - \langle v, e \rangle \langle e, w \rangle). \end{aligned}$$

Then  $\psi_e \in \Lambda^4 W^*$  and

$$(6.17) \quad \Phi = e^* \wedge \phi_e + \varepsilon \psi_e, \quad \phi_e := \iota(e)\Phi \in \Lambda^3 W^*,$$

where  $\varepsilon \in \{\pm 1\}$  is as in Lemma 6.10.

(ii) The subspace  $V_e := e^\perp$  carries a cross product

$$(6.18) \quad V_e \times V_e \rightarrow V_e: (u, v) \mapsto u \times_e v := u \times e \times v,$$

the restriction of  $\phi_e$  to  $V_e$  is the associative calibration of (6.18), and the restriction of  $\psi_e$  to  $V_e$  is the coassociative calibration of (6.18).

(iii) The space  $W$  is a normed algebra with unit  $e$  and multiplication and conjugation given by

$$(6.19) \quad uv := u \times e \times v + \langle u, e \rangle v + \langle v, e \rangle u - \langle u, v \rangle e, \quad \bar{u} := 2\langle u, e \rangle e - u.$$

If the triple cross product is positive, then  $(u\bar{v})w - (w\bar{v})u = 2u \times v \times w$ .

*Proof.* We prove ((i)). If the vectors  $e, u, v, w, x$  are pairwise orthogonal, then

$$(6.20) \quad \langle e \times u \times x, e \times v \times w \rangle = -\varepsilon |e|^2 \langle x, u \times v \times w \rangle.$$

To see this, take the inner product of (6.11) with  $x$ . Then it follows from (6.6) that (6.20) holds under the additional assumption that  $w$  is perpendicular to  $e \times u \times v$ . Since  $x$  is orthogonal to  $e$ , this additional condition can be dropped, as both sides of the equation remain unchanged if we add to  $w$  a multiple of  $e \times u \times v$ . Thus we have proved (6.20).

Now fix a unit vector  $e \in W$ . By definition,  $\psi_e$  is alternating in the first two and last two arguments, and satisfies  $\psi_e(u, v, w, x) = \psi_e(w, x, u, v)$  for all  $u, v, w, x \in W$ . By (6.4) we also have  $\psi_e(u, v, u, v) = 0$ . Expanding the identity  $\psi_e(u, v + x, u, v + x) = 0$  we obtain  $\psi_e(u, v, u, x) = 0$  for all  $u, v, x \in W$ . Using this identity with  $u$  replaced by  $u + w$  gives

$$\psi_e(u, v, w, x) + \psi_e(w, v, u, x) = 0.$$

Hence,  $\psi_e$  is also skew-symmetric in the first and third argument and so is an alternating 4-form. To see that it satisfies (6.17) it suffices to show that  $\varepsilon\Phi$  and  $\psi_e$  agree on  $e^\perp$ . Since they are both 4-forms, it suffices to show that they agree on every quadrupel of pairwise orthogonal vectors  $u, v, w, x \in e^\perp$ . But in this case we have  $\psi_e(u, x, v, w) = -\varepsilon\Phi(x, u, v, w) = \varepsilon\Phi(u, x, v, w)$ , by equation (6.20). This proves ((i)).

We prove ((ii)). That (6.18) is a cross product on  $V_e = e^\perp$  follows immediately from the definitions.

By (6.14) we have

$$\langle u \times_e v, w \rangle = \Phi(w, u, e, v) = \Phi(e, u, v, w) = \phi_e(u, v, w)$$

for  $u, v, w \in V_e$ , and hence the restriction of  $\phi_e$  to  $V_e$  is the associative calibration. Moreover, the associator bracket (4.1) on  $V_e$  is given by

$$[u, v, w]_e = (u \times_e v) \times_e w + \langle v, w \rangle u - \langle u, w \rangle v.$$

Hence, for all  $u, v, w, x \in V_e$ , we have

$$\begin{aligned} \langle [u, v, w]_e, x \rangle &= \langle e \times w \times (u \times_e v), x \rangle + \langle v, w \rangle \langle u, x \rangle - \langle u, w \rangle \langle v, x \rangle \\ &= \langle e \times u \times v, e \times w \times x \rangle - \langle u, w \rangle \langle v, x \rangle + \langle u, x \rangle \langle v, w \rangle \\ &= \psi_e(u, v, w, x), \end{aligned}$$

where the last equation follows from (6.16). Hence, the restriction of  $\psi_e$  to  $V_e$  is the coassociative calibration and this proves ((ii)).

We prove ((iii)). That  $e$  is a unit follows directly from the definitions. To prove that the norm of the product is equal to the product of the norms we observe that  $u \times_e v$  is orthogonal to  $e$ ,  $u$ , and  $v$ , by equation (6.6). Hence,

$$\begin{aligned} |uv|^2 &= |u \times_e v + \langle u, e \rangle v + \langle v, e \rangle u - \langle u, v \rangle e|^2 \\ &= |u \times_e v|^2 - 2\langle v, e \rangle \langle u, v \rangle \langle v, e \rangle \\ &\quad + \langle u, e \rangle^2 |v|^2 + \langle v, e \rangle^2 |u|^2 + \langle u, v \rangle^2 \\ &= |u|^2 |v|^2. \end{aligned}$$

Here the last equality uses the fact that  $|u \times_e v|^2 = |u \wedge e \wedge v|^2$ . Thus we have proved that  $W$  is a normed algebra with unit  $e$ .

If the triple cross product (6.2) is positive, then  $\varepsilon = 1$  and hence equation (6.17) asserts that  $\Phi = e^* \wedge \phi_e + \psi_e$ . Hence, it follows from (5.27) in Theorem 5.20 that the Cayley calibration  $\Phi_e$  associated to the above normed algebra structure is equal to  $\Phi$ . This implies that the given triple cross product (6.2) agrees with the triple cross product defined by (5.21). This proves ((iii)) and Theorem 6.15.  $\square$

*Remark 6.21.* Assume  $\dim W = 8$  and let (6.2) be a triple cross product with Cayley calibration  $\Phi \in \Lambda^4 W^*$  given by (6.14). Then, for every unit vector  $e \in W$ , the subspace  $V_e = e^\perp$  is oriented by Lemma 3.4 and Theorem 6.15. We orient  $W$  as the direct sum  $W = \mathbb{R}e \oplus V_e$ . This orientation is independent of the choice of the unit vector  $e$ . With this orientation we have  $e^* \wedge \phi_e = *\psi_e$ , by Theorem 6.15 ((ii)) and Lemma 4.8. Hence, it follows from equation (6.17) in Theorem 6.15 ((i)) that  $\Phi \wedge \Phi \neq 0$ . In fact, the triple cross product is positive if and only if  $\Phi \wedge \Phi > 0$  with respect to our orientation and negative if and only if  $\Phi \wedge \Phi < 0$ . In the positive case  $\Phi$  is self-dual and in the negative case  $\Phi$  is anti-self-dual.

**Corollary 6.22.** *Assume  $\dim W = 8$  and let (6.2) be a triple cross product and let  $\varepsilon$  be as in Lemma 6.10. Then, for all  $e, u, v, w \in W$ , we have*

$$\begin{aligned}
(6.23) \quad e \times u \times (e \times v \times w) &= \varepsilon |e|^2 u \times v \times w - \varepsilon \langle e, u \times v \times w \rangle e \\
&\quad - \varepsilon \langle e, u \rangle e \times v \times w \\
&\quad - \varepsilon \langle e, v \rangle e \times w \times u \\
&\quad - \varepsilon \langle e, w \rangle e \times u \times v \\
&\quad - (|e|^2 \langle u, v \rangle - \langle e, u \rangle \langle e, v \rangle) w \\
&\quad + (|e|^2 \langle u, w \rangle - \langle e, u \rangle \langle e, w \rangle) v \\
&\quad + (\langle u, v \rangle \langle e, w \rangle - \langle u, w \rangle \langle e, v \rangle) e.
\end{aligned}$$

*Proof.* Both sides of the equation remain unchanged if we add to  $u, v$ , or  $w$  a multiple of  $e$ . Hence, it suffices to prove (6.23) under the assumption that  $u, v, w$  are all orthogonal to  $e$ . Moreover, both sides of the equation are always orthogonal to  $e$ . Hence, it suffices to prove that the inner products of both sides of (6.23) with every vector  $x \in e^\perp$  agree. It also suffices to assume  $|e| = 1$ . Thus we must prove that, if  $e \in W$  is a unit vector and  $u, v, w, x \in W$  are orthogonal to  $e$ , then we have

$$\langle e \times u \times (e \times v \times w), x \rangle = \varepsilon \langle u \times v \times w, x \rangle - \langle u, v \rangle \langle w, x \rangle + \langle u, w \rangle \langle v, x \rangle$$

or equivalently

$$(6.24) \quad - \langle e \times u \times x, e \times v \times w \rangle + \langle u, v \rangle \langle x, w \rangle - \langle u, w \rangle \langle x, v \rangle = \varepsilon \langle x, u \times v \times w \rangle.$$

The right hand side of (6.24) is  $\varepsilon \Phi(x, u, v, w)$  and, by (6.16), the left hand side of (6.24) is  $-\psi_e(u, x, v, w)$ . Hence, equation (6.24) is equivalent to the assertion that the restriction of  $\psi_e$  to  $e^\perp$  agrees with  $\Phi$ . But this follows from equation (6.17) in Theorem 6.15. This proves Corollary 6.22.  $\square$

**Lemma 6.25.** *Assume  $\dim W = 8$  and let (6.2) be a triple cross product with Cayley calibration  $\Phi \in \Lambda^4 W^*$  given by (6.14). Let  $H \subset W$  be a 4-dimensional linear subspace. Then the following are equivalent:*

- (i) *If  $u, v, w \in H$ , then  $u \times v \times w \in H$ .*
- (ii) *If  $u, v \in H$  and  $w \in H^\perp$ , then  $u \times v \times w \in H^\perp$ .*
- (iii) *If  $u \in H$  and  $v, w \in H^\perp$ , then  $u \times v \times w \in H$ .*
- (iv) *If  $u, v, w \in H^\perp$ , then  $u \times v \times w \in H^\perp$ .*
- (v) *If  $u, v, w \in H$  and  $x \in H^\perp$ , then  $\Phi(x, u, v, w) = 0$ .*
- (vi) *If  $x, u, v, w$  is an orthonormal basis of  $H$ , then  $\Phi(x, u, v, w) = \pm 1$ .*
- (vii) *If  $e \in H^\perp$  has norm one, then  $H$  is a coassociative subspace of  $V_e := e^\perp$ .*

(viii) If  $e \in H$  has norm one, then  $H \cap V_e$  is an associative subspace of  $V_e$ .

A 4-dimensional subspace that satisfies these equivalent conditions is called a **Cayley subspace** of  $W$ . If the vectors  $u, v, w \in W$  are linearly independent, then  $H := \text{span}\{u, v, w, u \times v \times w\}$  is a Cayley subspace of  $W$ .

*Proof.* We prove that ((i)) is equivalent to ((v)). If ((i)) holds and  $u, v, w \in H$ ,  $x \in H^\perp$ , then  $u \times v \times w \in H$  and, hence,  $\Phi(x, u, v, w) = \langle x, u \times v \times w \rangle = 0$ . Conversely, if ((v)) holds and  $u, v, w \in H$ , then  $\langle x, u \times v \times w \rangle = \Phi(x, u, v, w) = 0$  for every  $x \in H^\perp$  and hence  $u \times v \times w \in H$ .

We prove that ((i)) is equivalent to ((vi)). If ((i)) holds and  $x, u, v, w$  is an orthonormal basis of  $H$ , then  $u \times v \times w$  is orthogonal to  $u, v, w$  and has norm one. Since  $u \times v \times w \in H$ , we must have  $x = \pm u \times v \times w$ . Hence  $\Phi(x, u, v, w) = \pm |x|^2 = \pm 1$ . Conversely, assume ((vi)), let  $u, v, w \in H$  be orthonormal, and choose  $x$  such that  $x, u, v, w$  form an orthonormal basis of  $H$ . Then

$$\langle x, u \times v \times w \rangle^2 = \Phi(x, u, v, w)^2 = 1 = |x|^2 |u \times v \times w|^2.$$

Hence,  $u \times v \times w$  is a real multiple of  $x$  and so  $u \times v \times w \in H$ . Since the triple cross product is alternating, the general case can be reduced to the orthonormal case by scaling and Gram–Schmidt.

That ((vi)) is equivalent to ((vii)) follows from Lemma 4.26 and the fact that  $\Phi|_{V_e}$  is the coassociative calibration on  $V_e$ . Likewise, that ((vi)) is equivalent to ((viii)) follows from Lemma 4.7 and the fact that  $\iota(e)\Phi|_{V_e}$  is the associative calibration on  $V_e$ .

Thus we have proved that ((i)), ((v)), ((vi)), ((vii)), ((viii)) are equivalent. The equivalence of ((i)), ((ii)), ((iii)) for a unit vector  $u = e \in H$  follows from Lemma 4.26 with  $V := V_e$  and  $H$  replaced by  $H^\perp$ , using the fact that  $v \times_e w = -e \times v \times w$  is the cross product on  $V_e$ .

The equivalence of ((iii)) and ((iv)) follows from the equivalence of ((i)) and ((ii)) by interchanging the roles of  $\Lambda$  and  $\Lambda^\perp$ . Thus we have proved the equivalence of conditions ((i))–((viii)). The last assertion of the lemma follows from ((i)) and equation (6.8). This proves Lemma 6.25.  $\square$

## 7 Cayley calibrations

We assume throughout that  $W$  is an 8-dimensional real vector space.

**Definition 7.1.** A 4-form  $\Phi \in \Lambda^4 W^*$  is called **nondegenerate** if for every triple  $u, v, w$  of linearly independent vectors in  $W$  there is a vector  $x \in W$  such that  $\Phi(u, v, w, x) \neq 0$ . An inner product on  $W$  is called **compatible** with a 4-form  $\Phi$  if the map  $W^3 \rightarrow W: (u, v, w) \mapsto u \times v \times w$  defined by

$$(7.2) \quad \langle x, u \times v \times w \rangle := \Phi(x, u, v, w)$$

is a triple cross product. A 4-form  $\Phi \in \Lambda^4 W^*$  is called a **Cayley-form** if it admits a compatible inner product.



**Example 7.3.** The standard Cayley-form on  $\mathbf{R}^8$  in coordinates  $x_0, x_1, \dots, x_7$  is given by

$$\begin{aligned} \Phi_0 = & e^{0123} - e^{0145} - e^{0167} - e^{0246} + e^{0257} - e^{0347} - e^{0356} \\ & + e^{4567} - e^{2367} - e^{2345} - e^{1357} + e^{1346} - e^{1256} - e^{1247}. \end{aligned}$$

It is compatible with the standard inner product and induces the standard triple cross product on  $\mathbf{R}^8$  (see Example 5.32). Note that  $\Phi_0 \wedge \Phi_0 = 14 \text{ vol}$ .

As in Section 3 we shall see that a compatible inner product, if it exists, is uniquely determined by  $\Phi$ . However, in contrast to Section 3, nondegeneracy is, in the present setting, not equivalent to the existence of a compatible inner product, but is only a necessary condition. The goal in this section is to give an intrinsic characterization of Cayley-forms. In particular, we shall see that every Cayley-form satisfies the condition  $\Phi \wedge \Phi \neq 0$ . It seems to be an open question whether or not every nondegenerate 4-form on  $W$  has this property; we could not find a counterexample but also did not see how to prove it. We begin by characterizing compatible inner products.

**Lemma 7.4.** *Fix an inner product on  $W$  and a 4-form  $\Phi \in \Lambda^4 W^*$ . Then the following are equivalent:*

- (i) *The inner product is compatible with  $\Phi$ .*
- (ii) *There is a unique orientation on  $W$ , with volume form  $\text{vol} \in \Lambda^8 W^*$ , such that, for all  $u, v, w \in W$ , we have*

$$(7.5) \quad \iota(v)\iota(u)\Phi \wedge \iota(v)\iota(u)\Phi \wedge \Phi = 6|u \wedge v|^2 \text{vol}.$$

- (iii) *Choose the orientation on  $W$  and the volume form  $\text{vol} \in \Lambda^8 W^*$  as in ((ii)). Then, for all  $u, v, w \in W$ , we have*

$$(7.6) \quad \iota(v)\iota(u)\Phi \wedge \iota(w)\iota(u)\Phi \wedge \Phi = 6(|u|^2 \langle v, w \rangle - \langle v, u \rangle \langle u, w \rangle) \text{vol}$$

*Each of these conditions implies that  $\Phi$  is nondegenerate and  $\Phi \wedge \Phi \neq 0$ .*

*Proof.* We prove that ((i)) implies ((ii)). Assume the inner product is compatible with  $\Phi$  and let  $W^3 \rightarrow W: (u, v, w) \mapsto u \times v \times w$  be the triple cross product on  $W$  defined by (7.2). Assume  $u, v \in W$  are linearly independent. Then the subspace

$$W_{u,v} := \{w \in W : \langle u, w \rangle = \langle v, w \rangle = 0\}$$

carries a symplectic form  $\omega_{u,v}: W_{u,v} \times W_{u,v} \rightarrow \mathbf{R}$  and a compatible complex structure  $J_{u,v}: W_{u,v} \rightarrow W_{u,v}$  given by

$$\omega_{u,v}(x, w) := \frac{\Phi(x, u, v, w)}{|u \wedge v|}, \quad J_{u,v} w := -\frac{u \times v \times w}{|u \wedge v|}.$$

Equation (6.4) asserts that  $J_{u,v}$  is an isometry on  $W_{u,v}$  and equation (6.6) asserts that  $J_{u,v}$  is skew adjoint. Hence,  $J_{u,v}$  is a complex structure on  $W_{u,v}$  and equation (7.2) shows that, for all  $x, w \in W_{u,v}$ , we have

$$\omega_{u,v}(x, w) = \frac{\langle x, u \times v \times w \rangle}{|u \wedge v|} = -\langle x, J_{u,v} w \rangle.$$

Thus the inner product  $\omega_{u,v}(\cdot, J_{u,v} \cdot)$  on  $W_{u,v}$  is the one inherited from  $W$ . It follows that

$$(7.7) \quad \omega_{u,v} \wedge \omega_{u,v} \wedge \omega_{u,v} = 6 \text{vol}_{u,v},$$

where  $\text{vol}_{u,v} \in \Lambda^6 W_{u,v}^*$  denotes the volume form on  $W_{u,v}$  with the symplectic orientation. Since the space of linearly independent pairs  $u, v \in W$  is connected, there is a unique orientation on  $W$  such that, for every pair  $u, v$  of linearly independent vectors in  $W$  and every symplectic basis  $e_1, \dots, e_6$  of  $W_{u,v}$ , the basis  $u, v, e_1, \dots, e_6$  of  $W$  is positively oriented. Let  $\text{vol} \in \Lambda^8 W^*$  be the volume form of  $W^*$  for this orientation. Then

$$\text{vol}_{u,v} = \frac{1}{|u \wedge v|} \iota(v) \iota(u) \text{vol}|_{W_{u,v}}$$

and, hence, equation (7.5) follows from (7.7). This shows that ((i)) implies ((ii)). That ((ii)) implies ((iii)) follows by using (7.5) with  $v$  replaced by  $v + w$ .

We prove that ((iii)) implies ((i)). Assume there is an orientation on  $W$  such that (7.6) holds, and define the map  $W^3 \rightarrow W: (u, v, w) \mapsto u \times v \times w$  by (7.2). That this map is alternating and satisfies (6.3) is obvious. We prove that it satisfies (6.4). Fix a unit vector  $e \in W$  and denote

$$V_e := \{v \in W : \langle e, v \rangle = 0\}, \quad \phi_e := \iota(e) \Phi|_{V_e}, \quad \text{vol}_e := \iota(e) \text{vol}|_{V_e}.$$

Then equation (7.6) asserts that

$$\iota(u) \phi_e \wedge \iota(v) \phi_e \wedge \phi_e = 6 \langle u, v \rangle \text{vol}_e$$

for every  $u \in V_e$ . Hence,  $\phi_e$  satisfies condition ((i)) in Lemma 3.4 and therefore is compatible with the inner product. This means that the bilinear map  $V_e \times V_e \rightarrow V_e: (u, v) \mapsto u \times_e v$  defined by  $\langle u \times_e v, w \rangle := \phi_e(u, v, w)$  is a cross product on  $V_e$ . Since  $\phi_e(u, v, w) = \Phi(w, u, e, v) = \langle u \times_e v, w \rangle$ , we have  $u \times_e v = u \times_e v$ . This implies  $|u \times_e v| = |u \wedge v|$  whenever  $u$  and  $v$  are orthogonal to  $e$  and  $e$  has norm one. Using Gram–Schmidt and scaling, we deduce that our map  $(u, v, w) \mapsto u \times v \times w$  satisfies (6.4) and, hence, is a triple cross product. Thus we have proved that ((i)), ((ii)), and ((iii)) are equivalent. Moreover, condition ((ii)) implies that  $\Phi$  is nondegenerate and ((i)) implies that  $\Phi \wedge \Phi \neq 0$ , by Remark 6.21. This proves Lemma 7.4.  $\square$

We are now in a position to characterize Cayley-forms intrinsically. A 4-form  $\Phi$  is nondegenerate if and only if the 2-form  $\iota(v) \iota(u) \Phi \in \Lambda^2 W^*$  descends to a symplectic form on the quotient  $W/\text{span}\{u, v\}$  or, equivalently, the 8-form  $\iota(v) \iota(u) \Phi \wedge \iota(v) \iota(u) \Phi \wedge \Phi$  is nonzero whenever  $u, v$  are linearly independent. The question to be addressed is under which additional condition we can find an inner product on  $W$  that satisfies (7.5).

**Theorem 7.8.** A 4-form  $\Phi \in \Lambda^4 W^*$  admits a compatible inner product if and only if it satisfies the following condition.

$$(C) \left\{ \begin{array}{l} \Phi \text{ is nondegenerate and, if } u, v, w \in W \text{ are linearly independent and} \\ (7.9) \quad \iota(v)\iota(u)\Phi \wedge \iota(w)\iota(u)\Phi \wedge \Phi = \iota(u)\iota(v)\Phi \wedge \iota(w)\iota(v)\Phi \wedge \Phi = 0, \\ \text{then, for all } x \in W, \text{ we have} \\ (7.10) \quad \iota(w)\iota(u)\Phi \wedge \iota(x)\iota(u)\Phi \wedge \Phi = 0 \\ \qquad \qquad \qquad \iff \iota(w)\iota(v)\Phi \wedge \iota(x)\iota(v)\Phi \wedge \Phi = 0. \end{array} \right.$$

If this holds, then the compatible inner product is uniquely determined by  $\Phi$ .

*Proof.* See page 47. □

To understand condition (C) geometrically, assume  $\Phi$  satisfies (7.6) for some inner product on  $W$ . Then  $\iota(v)\iota(u)\Phi \wedge \iota(w)\iota(u)\Phi \wedge \Phi = 0$  if and only if  $|u|^2\langle v, w \rangle - \langle v, u \rangle\langle u, w \rangle = 0$ . Hence, if  $u, v, w$  are linearly independent, equation (7.9) asserts that  $w$  is orthogonal to  $u$  and  $v$ . Under this assumption both conditions in (7.10) assert that  $w$  and  $x$  are orthogonal.

Every Cayley-form  $\Phi$  induces two orientations on  $W$ . First, since the 8-form  $\iota(v)\iota(u)\Phi \wedge \iota(v)\iota(u)\Phi \wedge \Phi$  is nonzero for every linearly independent pair  $u, v \in W$  and the space of linearly independent pairs in  $W$  is connected, there is a unique orientation on  $W$  such that  $\iota(v)\iota(u)\Phi \wedge \iota(v)\iota(u)\Phi \wedge \Phi > 0$  whenever  $u, v \in W$  are linearly independent. The second orientation of  $W$  is induced by the 8-form  $\Phi \wedge \Phi$ . This leads to the following definition.

**Definition 7.11.** A Cayley-form  $\Phi \in \Lambda^4 W^*$  is called **positive** if the 8-forms  $\Phi \wedge \Phi$  and  $\iota(v)\iota(u)\Phi \wedge \iota(v)\iota(u)\Phi \wedge \Phi$  induce the same orientation whenever  $u, v \in W$  are linearly independent. It is called **negative** if it is not positive.

Thus  $\Phi$  is negative if and only if  $-\Phi$  is positive. Moreover, it follows from Remark 6.21 that a Cayley-form  $\Phi \in \Lambda^4 W^*$  is positive if and only if the associated triple cross product is positive.

**Theorem 7.12.** If  $\Phi, \Psi \in \Lambda^4 W^*$  are two positive Cayley-forms, then there is an automorphism  $g \in \text{Aut}(W)$  such that  $g^*\Phi = \Psi$ .

*Proof.* See page 48. □

**Lemma 7.13.** Let  $W$  be a real vector space and  $g: W^4 \rightarrow \mathbf{R}$  be a multi-linear map satisfying

$$(7.14) \quad g(u, v; w, x) = g(w, x; u, v) = -g(v, u; w, x)$$

for all  $u, v, w, x \in W$  and

$$(7.15) \quad g(u, v; u, v) > 0$$

whenever  $u, v \in W$  are linearly independent. Then the matrices

$$\Lambda_u(v, w) := \begin{pmatrix} g(u, v; u, v) & g(u, v; u, w) \\ g(u, w; u, v) & g(u, w; u, w) \end{pmatrix} \in \mathbf{R}^{2 \times 2}, \quad \text{and}$$

$$A(u, v, w) := \begin{pmatrix} g(v, w; v, w) & g(v, w; w, u) & g(v, w; u, v) \\ g(w, u; v, w) & g(w, u; w, u) & g(w, u; u, v) \\ g(u, v; v, w) & g(u, v; w, u) & g(u, v; u, v) \end{pmatrix} \in \mathbf{R}^{3 \times 3}$$

are positive definite whenever  $u, v, w \in W$  are linearly independent. Moreover, the following are equivalent:

(i) If  $u, v, w$  are linearly independent and  $g(u, v; w, u) = g(v, w; u, v) = 0$ , then, for all  $x \in W$ , we have

$$(7.16) \quad g(u, w; u, x) = 0 \quad \iff \quad g(v, w; v, x) = 0.$$

(ii) If  $u, v, w$  and  $u, v, w'$  are linearly independent, then

$$(7.17) \quad \frac{\det(\Lambda_u(v, w))}{\det(\Lambda_v(u, w))} = \frac{\det(\Lambda_u(v, w'))}{\det(\Lambda_v(u, w'))}.$$

(iii) If  $u, v, w$  and  $u, v', w'$  are linearly independent, then

$$(7.18) \quad \frac{\det(\Lambda_u(v, w))}{\sqrt{\det(A(u, v, w))}} = \frac{\det(\Lambda_u(v', w'))}{\sqrt{\det(A(u, v', w'))}}.$$

(iv) There is an inner product on  $W$  such that

$$(7.19) \quad g(u, v; u, v) = |u|^2 |v|^2 - \langle u, v \rangle^2$$

for all  $u, v \in W$ .

If these equivalent conditions are satisfied, then the inner product in ((iv)) is uniquely determined by  $g$  and it satisfies

$$(7.20) \quad \begin{aligned} \det(\Lambda_u(v, w)) &= |u|^2 |u \wedge v \wedge w|^2, \\ \det(A(u, v, w)) &= |u \wedge v \wedge w|^4. \end{aligned}$$

*Proof.* Let  $u, v, w \in W$  be linearly independent. We prove that the matrices  $\Lambda_u(v, w)$  and  $A(u, v, w)$  are positive definite. By (7.15) they have positive diagonal entries. Since the determinant of  $\Lambda_u(v, w)$  agrees with the determinant of the lower right  $2 \times 2$  block of  $A(u, v, w)$ , it suffices to prove that both matrices have positive determinants. To see this, we observe that the determinants of  $\Lambda_u(v, w)$  and  $A(u, v, w)$  remain unchanged if we add to  $v$  a multiple of  $u$  and to  $w$  a linear combination

of  $u$  and  $v$ . With the appropriate choices both matrices become diagonal and thus have positive determinants. Hence,  $\Lambda_u(v, w)$  and  $A(u, v, w)$  are positive definite, as claimed.

We prove that ((iv)) implies (7.20). The matrix  $\Lambda_u(v, w)$  and  $|u \wedge v \wedge w|^2$  remain unchanged if we add to  $v$  and  $w$  multiples of  $u$ . Hence, we may assume that  $v$  and  $w$  are orthogonal to  $u$ . In this case

$$\Lambda_u(v, w) = |u|^2 \begin{pmatrix} |v|^2 & \langle v, w \rangle \\ \langle w, v \rangle & |w|^2 \end{pmatrix}$$

and this implies the first equation in (7.20). Since the determinant of the matrix  $A(u, v, w)$  remains unchanged if we add to  $v$  a multiple of  $u$  and to  $w$  a linear combination of  $u$  and  $v$ , we may assume that  $u, v, w$  are pairwise orthogonal. In this case the second equation in (7.20) is obvious. Thus we have proved that ((iv)) implies (7.20). By (7.20) the inner product is uniquely determined by  $g$ .

We prove that ((i)) implies ((ii)). Fix two linearly independent vectors  $u, v \in W$ . Then the subspace

$$W_{u,v} := \{w \in W : g(u, v; w, u) = g(v, w; u, v) = 0\}$$

has codimension two and  $W = W_{u,v} \oplus \text{span}\{u, v\}$ . Now fix an element  $w \in W_{u,v}$ . Then (7.16) asserts that the linear functionals  $x \mapsto g(u, w; u, x)$  and  $x \mapsto g(v, w; v, x)$  on  $W$  have the same kernel. Hence, there exists a constant  $\lambda \in \mathbf{R}$  such that  $g(v, w; v, x) = \lambda g(u, w; u, x)$  for all  $x \in W$ . With  $x = w$  we obtain  $\lambda = g(v, w; v, w)/g(u, w; u, w)$  and hence

$$g(u, w; u, x)g(v, w; v, w) = g(u, w; u, w)g(v, w; v, x) \quad \text{for all } x \in W.$$

This equation asserts that the differential of the map

$$W_{u,v} \setminus \{0\} \rightarrow \mathbf{R}: w \mapsto \frac{g(u, w; u, w)}{g(v, w; v, w)}$$

vanishes and so the map is constant. This proves (7.17) for all  $w, w' \in W_{u,v} \setminus \{0\}$ . Since adding to  $w$  a linear combination of  $u$  and  $v$  does not change the determinants of  $\Lambda_u(v, w)$  and  $\Lambda_v(u, w)$ , equation (7.17) continues to hold for all  $w, w' \in W$  that are linearly independent of  $u$  and  $v$ . Thus we have proved that ((i)) implies ((ii)).

We prove that ((ii)) implies ((iii)). It follows from (7.17) that

$$w, w' \in W_{u,v} \setminus \{0\} \quad \implies \quad \frac{g(u, w; u, w)}{g(v, w; v, w)} = \frac{g(u, w'; u, w')}{g(v, w'; v, w')}.$$

Using this identity with  $u$  replaced by  $u + v$  we obtain

$$w, w' \in W_{u,v} \setminus \{0\} \quad \implies \quad \frac{g(u, w; v, w)}{g(v, w; v, w)} = \frac{g(u, w'; v, w')}{g(v, w'; v, w')}.$$

Now let  $w, w' \in W_{u,v}$  and assume that  $g(u, w; v, w) = 0$ . Then we also have  $g(u, w'; v, w') = 0$  and so it follows from the definition of  $W_{u,v}$  that all off-diagonal terms in the matrices  $\Lambda_u(v, w)$ ,

$\Lambda_u(v, w')$ ,  $A(u, v, w)$ , and  $A(u, v, w')$  vanish. Hence,

$$\begin{aligned} \frac{\det(\Lambda_u(v, w))^2}{\det(A(u, v, w))} &= \frac{g(u, v; u, v)g(u, w; u, w)}{g(v, w; v, w)} \\ &= \frac{g(u, v; u, v)g(u, w'; u, w')}{g(v, w'; v, w')} = \frac{\det(\Lambda_u(v, w'))^2}{\det(A(u, v, w'))}. \end{aligned}$$

Thus we have proved (7.18) under the assumption that  $w, w' \in W_{u, v} \setminus \{0\}$  and  $g(w, u; w, v) = 0$ . Since the determinants of  $\Lambda_u(v, w)$  and  $A(u, v, w)$  remain unchanged if we add to  $w$  a linear combination of  $u$  and  $v$  and if we add to  $v$  a multiple of  $u$ , equation (7.18) continues to hold when  $v = v'$ . If  $u, v, w$  and  $u, v, w'$  and  $u, v', w'$  are all linearly independent triples we obtain

$$\frac{\det(\Lambda_u(v, w))^2}{\det(A(u, v, w))} = \frac{\det(\Lambda_u(v, w'))^2}{\det(A(u, v, w'))} = \frac{\det(\Lambda_u(v', w'))^2}{\det(A(u, v', w'))}.$$

Here the last equation follows from the first by symmetry in  $v$  and  $w$ . This proves equation (7.18) under the additional assumption that  $u, v, w'$  is a linearly independent triple. This assumption can be dropped by continuity. Thus we have proved that ((ii)) implies ((iii)).

We prove that ((iii)) implies ((iv)). Define a function  $W \rightarrow [0, \infty): u \mapsto |u|$  by  $|u| := 0$  for  $u = 0$  and by

$$(7.21) \quad |u|^2 := \frac{g(u, w; u, w)g(u, v; u, v) - g(u, v; u, w)^2}{\sqrt{\det(A(u, v, w))}}$$

for  $u \neq 0$ , where  $v, w \in W$  are chosen such that  $u, v, w$  are linearly independent. By (7.18) the right hand side of (7.21) is independent of  $v$  and  $w$ . It follows from (7.21) with  $u$  replaced by  $u + v$  that

$$|u + v|^2 - |u|^2 - |v|^2 = 2 \frac{g(u, w; v, w)g(u, v; u, v) - g(u, v; u, w)g(u, v; v, w)}{\sqrt{\det(A(u, v, w))}}.$$

Replacing  $v$  by  $-v$  gives  $|u + v|^2 + |u - v|^2 = 2|u|^2 + 2|v|^2$ . Thus the map  $W \rightarrow [0, \infty): u \mapsto |u|$  is continuous, satisfies the parallelogram identity, and vanishes only for  $u = 0$ . Hence, it is a norm on  $W$  and the associated inner product of two linearly independent vectors  $u, v \in W$  is given by

$$(7.22) \quad \langle u, v \rangle := \frac{g(u, w; v, w)g(u, v; u, v) - g(u, v; u, w)g(u, v; v, w)}{\sqrt{\det(A(u, v, w))}}$$

whenever  $w \in W$  is chosen such that  $u, v, w$  are linearly independent. That this inner product satisfies (7.19) for every pair of linearly independent vectors follows from (7.21) and (7.22) with  $w \in W_{u, v}$ . This proves that ((iii)) implies ((iv)).

We prove that ((iv)) implies ((i)). Replacing  $v$  in equation (7.19) by  $v + w$  we obtain

$$g(u, v; u, w) = |u|^2 \langle v, w \rangle - \langle u, v \rangle \langle u, w \rangle.$$

for all  $u, v, w \in W$ . Hence,

$$g(u, v; w, u) = g(v, w; u, v) = 0 \quad \iff \quad \langle u, w \rangle = \langle v, w \rangle = 0.$$

If  $w \in W$  is orthogonal to  $u$  and  $v$ , then we have  $g(u, w; u, x) = |u|^2 \langle w, x \rangle$  and  $g(v, w; v, x) = |v|^2 \langle w, x \rangle$ . This implies (7.16) and proves Lemma 7.13.  $\square$

*Proof of Theorem 7.8.* If  $\Phi$  is nondegenerate and  $u \in W$  is nonzero, then  $\iota(u)\Phi$  descends to a nondegenerate 3-form on the 7-dimensional quotient space  $W/\mathbf{R}u$ . By Lemma 3.4 this implies that  $\iota(v)\iota(u)\Phi \wedge \iota(v)\iota(u)\Phi \wedge \iota(u)\Phi$  descends to a nonzero 7-form on  $W/\mathbf{R}u$  for every vector  $v \in W \setminus \mathbf{R}u$ . Hence, the 8-form  $\iota(v)\iota(u)\Phi \wedge \iota(v)\iota(u)\Phi \wedge \Phi$  on  $W$  is nonzero whenever  $u, v$  are linearly independent. The orientation on  $W$  induced by this form is independent of the choice of the pair  $u, v$ . Choose any volume form  $\Omega \in \Lambda^8 W^*$  compatible with this orientation and, for  $\lambda > 0$ , define a multi-linear function  $g_\lambda : W^4 \rightarrow \mathbf{R}$  by

$$(7.23) \quad g_\lambda(u, v; w, x) := \frac{\iota(v)\iota(u)\Phi \wedge \iota(x)\iota(w)\Phi \wedge \Phi}{6\lambda^4\Omega}$$

This function satisfies (7.14) and (7.15) and, if  $\Phi$  satisfies (C), it also satisfies (7.16). Hence, it follows from Lemma 7.13 that there is a unique inner product  $\langle \cdot, \cdot \rangle_\lambda$  on  $W$  such that, for all  $u, v \in W$ , we have

$$(7.24) \quad g_\lambda(u, v; u, v) = |u|_\lambda^2 |v|_\lambda^2 - \langle u, v \rangle_\lambda^2.$$

Let  $\text{vol}_\lambda$  be the volume form associated to the inner product and the orientation. Then there is a constant  $\mu(\lambda) > 0$  such that

$$\text{vol}_\lambda = \mu(\lambda)^2 \Omega.$$

We have  $g_\lambda = \lambda^{-4} g_1$ , hence  $|u|_\lambda = \lambda^{-1} |u|_1$  for every  $u \in W$ , and hence  $\text{vol}_\lambda = \lambda^{-8} \text{vol}_1$ . Thus  $\mu(\lambda) = \lambda^{-4} \mu(1)$ . With  $\lambda := \mu(1)^{1/6}$  we obtain  $\mu(\lambda) = \lambda^{-4} \mu(1) = \mu(1)^{1/3} = \lambda^2$ . With this value of  $\lambda$  we have  $\lambda^4 \Omega = \text{vol}_\lambda$ . Hence, it follows from (7.23) and (7.24) that

$$\iota(v)\iota(u)\Phi \wedge \iota(v)\iota(u)\Phi \wedge \Phi = 6 (|u|_\lambda^2 |v|_\lambda^2 - \langle u, v \rangle_\lambda^2) \text{vol}_\lambda.$$

Hence, by Lemma 7.4,  $\Phi$  is compatible with the inner product  $\langle \cdot, \cdot \rangle_\lambda$ . This shows that every 4-form  $\Phi \in \Lambda^4 W^*$  that satisfies (C) is compatible with a unique inner product.

Conversely, suppose that  $\Phi$  is compatible with an inner product. Then, by Lemma 7.4, there is an orientation on  $W$  such that the associated volume form  $\text{vol} \in \Lambda^8 W^*$  satisfies (7.5). Define  $g : W^4 \rightarrow \mathbf{R}$  by

$$g(u, v; w, x) := \frac{\iota(v)\iota(u)\Phi \wedge \iota(x)\iota(w)\Phi \wedge \Phi}{6\text{vol}}.$$

By (7.5) this map satisfies condition ((iv)) in Lemma 7.13 and it obviously satisfies (7.14) and (7.15). Hence, it satisfies condition ((i)) in Lemma 7.13 and this implies that  $\Phi$  satisfies (C). This proves Theorem 7.8.  $\square$

*Proof of Theorem 7.12.* Let  $\Phi \in \Lambda^4 W^*$  be a positive Cayley-form with the associated inner product, orientation, and triple cross product. Let  $\phi_0 \in \Lambda^3(\mathbf{R}^7)^*$  and  $\psi_0 \in \Lambda^4(\mathbf{R}^7)^*$  be the standard associative and coassociative calibrations defined in Example 2.15 and in the proof of Lemma 4.8. Then  $\Phi_0 := 1^* \wedge \phi_0 + \psi_0 \in \Lambda^4(\mathbf{R}^8)^*$  is the standard Cayley-form on  $\mathbf{R}^8$ .

Choose a unit vector  $e \in W$  and denote

$$V_e := e^\perp, \quad \phi_e := \iota(e)\Phi|_{V_e} \in \Lambda^3 V_e^*, \quad \psi_e := \Phi|_{V_e} \in \Lambda^4 V_e^*.$$

Then  $\phi_e$  is a nondegenerate 3-form on  $V_e$  and, hence, by Theorem 3.2, there is an isomorphism  $g: \mathbf{R}^7 \rightarrow V_e$  such that  $g^*\phi_e = \phi_0$ . It follows also from Theorem 3.2 that  $g$  identifies the standard inner product on  $\mathbf{R}^7$  with the unique inner product on  $V_e$  that is compatible with  $\phi_e$ , and the standard orientation on  $\mathbf{R}^7$  with the orientation determined by  $\phi_e$  via Lemma 3.4. Hence, it follows from Lemma 4.8 that  $g$  also identifies the two coassociative calibrations, i.e.,  $g^*\psi_e = \psi_0$ . Since  $\Phi$  is a positive Cayley-form, we have

$$\Phi = e^* \wedge \phi_e + \psi_e.$$

Hence, if we extend  $g$  to an isomorphism  $\mathbf{R}^8 = \mathbf{R} \oplus \mathbf{R}^7 \rightarrow W$ , which is still denoted by  $g$  and sends  $e_0 = 1 \in \mathbf{R} \subset \mathbf{R}^8$  to  $e$ , we obtain  $g^*\Phi = \Phi_0$  and this proves Theorem 7.12.  $\square$

*Remark 7.25.* The space  $S^2\Lambda^2 W^*$  of symmetric bilinear forms on  $\Lambda^2 W$  can be identified with the space of multi-linear maps  $g: W^4 \rightarrow \mathbf{R}$  that satisfy (7.14). Denote by  $S_0^2\Lambda^2 W^* \subset S^2\Lambda^2 W^*$  the subspace of all  $g \in S^2\Lambda^2 W^*$  that satisfy the algebraic Bianchi identity

$$(7.26) \quad g(u, v; w, x) + g(v, w; u, x) + g(w, u; v, x) = 0$$

for all  $u, v, w, x \in W$ . Then there is a direct sum decomposition

$$S^2\Lambda^2 W^* = \Lambda^4 W^* \oplus S_0^2\Lambda^2 W^*$$

and the projection

$$\Pi: S^2\Lambda^2 W^* \rightarrow \Lambda^4 W^*$$

is given by

$$(\Pi g)(u, v, w, x) := \frac{1}{3}(g(u, v; w, x) + g(v, w; u, x) + g(w, u; v, x)).$$

Note that

$$(7.27) \quad \dim \Lambda^2 W = 28, \quad \dim S^2\Lambda^2 W = 406,$$

$$(7.28) \quad \dim \Lambda^4 W = 70, \quad \dim S_0^2\Lambda^2 W = 336.$$

Moreover, there is a natural quadratic map  $q^\Lambda: S^2 W^* \rightarrow S_0^2\Lambda^2 W^*$  given by

$$(q^\Lambda(\gamma))(u, v; x, y) := \gamma(u, x)\gamma(v, y) - \gamma(u, y)\gamma(v, x)$$



for  $\gamma \in S^2W^*$  and  $u, v, x, y \in W$ . Lemma 7.13 asserts, in particular, that the restriction of this map to the subset of inner products is injective and, for each element  $g \in S^2\Lambda^2W^*$ , it gives a necessary and sufficient condition for the existence of an inner product  $\gamma$  on  $W$  such that

$$g - \Pi g = q^\Lambda(\gamma).$$

We shall see in Corollary 9.9 below that, if  $\Phi \in \Lambda^4W^*$  is a positive Cayley-form and  $g = g_\Phi \in S^2\Lambda^2W^*$  is given by

$$g_\Phi(u, v; x, y) := \frac{\iota(v)\iota(u)\Phi \wedge \iota(y)\iota(x)\Phi \wedge \Phi}{\text{vol}}, \quad \text{vol} := \frac{\Phi \wedge \Phi}{14},$$

then

$$g_\Phi = 6q^\Lambda(\gamma) + 7\Phi$$

for a unique inner product  $\gamma \in S^2W^*$ , and the volume form of  $\gamma$  is indeed  $\text{vol}$ . Thus, in particular, we have  $\Pi g_\Phi = 7\Phi$ .

*Remark 7.29.* The space  $S^2S^2W^*$  of symmetric bilinear forms on  $S^2W$  can be identified with the space of multi-linear maps  $\sigma: W^4 \rightarrow \mathbf{R}$  that satisfy

$$(7.30) \quad \sigma(u, v; x, y) = \sigma(x, y; u, v) = \sigma(v, u; x, y).$$

Denote by  $S_0^2S^2W^*$  the subspace of all  $\sigma \in S^2S^2W^*$  that satisfy the algebraic Bianchi identity (7.26). Then

$$S^2S^2W^* = S^4W^* \oplus S_0^2S^2W^*,$$

where

$$(7.31) \quad \dim S^2W = 36, \quad \dim S^2S^2W = 666,$$

$$(7.32) \quad \dim S^4W = 330, \quad \dim S_0^2S^2W = 336.$$

The projection  $\Pi: S^2S^2W^* \rightarrow S^4W^*$  is given by the same formula as above. Thus

$$(\sigma - \Pi\sigma)(u, v; x, y) = \frac{2}{3}\sigma(u, v; x, y) - \frac{1}{3}\sigma(v, x; u, y) - \frac{1}{3}\sigma(x, u; v, y).$$

There is a natural quadratic map  $q^S: S^2W^* \rightarrow S^2S^2W^*$  given by

$$(q^S(\gamma))(u, v; x, y) := \gamma(u, v)\gamma(x, y).$$

Polarizing the quadratic map  $q^\Lambda: S^2W^* \rightarrow S^2\Lambda^2W^*$  one obtains a linear map  $T: S^2S^2W^* \rightarrow S^2\Lambda^2W^*$  given by

$$(T\sigma)(u, v; x, y) := \sigma(u, x; v, y) - \sigma(u, y; v, x)$$

such that  $q^\Lambda = T \circ q^S$ . The image of  $T$  is the subspace  $S_0^2\Lambda^2W^*$  of solutions of the algebraic Bianchi identity (7.26) and its kernel is the subspace  $S^4W^*$ . A pseudo-inverse of  $T$  is the map  $S: S^2\Lambda^2W^* \rightarrow S^2S^2W^*$  given by

$$(Sg)(u, v; x, y) := \frac{1}{3}(g(u, x; v, y) + g(u, y; v, x))$$

whose kernel is  $\Lambda^4 W^*$  and whose image is  $S_0^2 S^2 W^*$ . Thus

$$TSg = g - \Pi g, \quad ST\sigma = \sigma - \Pi\sigma$$

for  $g \in S^2 \Lambda^2 W^*$  and  $\sigma \in S^2 S^2 W^*$ . Given  $g \in S^2 \Lambda^2 W^*$  and  $\gamma \in S^2 W^*$ , we have

$$g - \Pi g = q^\Lambda(\gamma) \iff Sg = (1 - \Pi)q^S(\gamma).$$

Namely, if  $q^\Lambda(\gamma) = g - \Pi g$ , then  $Sg = S(g - \Pi g) = Sq^\Lambda(\gamma) = q^S(\gamma) - \Pi q^S(\gamma)$ , and if  $(1 - \Pi)q^S(\gamma) = Sg$ , then  $(1 - \Pi)g = TSg = T(1 - \Pi)q^S(\gamma) = q^\Lambda(\gamma)$ .

## 8 The group $G_2$

Let  $V$  be a 7-dimensional real Hilbert space equipped with a cross product and let  $\phi \in \Lambda^3 V^*$  be the associative calibration defined by (2.8). We orient  $V$  as in Lemma 3.4 and denote by  $*$ :  $\Lambda^k V^* \rightarrow \Lambda^{7-k} V^*$  the associated Hodge  $*$ -operator and by  $\psi := *\phi \in \Lambda^4 V^*$  the coassociative calibration. Recall that  $V$  is equipped with an associator bracket via (4.1), related to  $\psi$  via (4.9), and with a coassociator bracket (4.22).

The group of automorphisms of  $\phi$  will be denoted by

$$G(V, \phi) := \{g \in \text{GL}(V) : g^* \phi = \phi\}.$$

By Lemma 2.20, we have  $G(V, \phi) \subset \text{SO}(V)$  and hence, by (2.8),

$$G(V, \phi) = \{g \in \text{SO}(V) : gu \times gv = g(u \times v) \forall u, v \in V\}.$$

For the standard structure  $\phi_0$  on  $\mathbf{R}^7$  in Example 2.15 we denote the structure group by  $G_2 := G(\mathbf{R}^7, \phi_0)$ . By Theorem 3.2, the group  $G(V, \phi)$  is isomorphic to  $G_2$  for every nondegenerate 3-form on a 7-dimensional vector space.

**Theorem 8.1.** *The group  $G(V, \phi)$  is a 14-dimensional simple, connected, simply connected Lie group. It acts transitively on the unit sphere and, for every unit vector  $u \in V$ , the isotropy subgroup  $G_u := \{g \in G(V, \phi) : gu = u\}$  is isomorphic to  $\text{SU}(3)$ . Thus there is a fibration*

$$\text{SU}(3) \hookrightarrow G_2 \longrightarrow S^6.$$

*Proof.* As we have observed in Step 4 in the proof of Lemma 3.4, the group  $G = G(V, \phi)$  has dimension at least 14, as it is an isotropy subgroup of the action of the 49-dimensional group  $\text{GL}(V)$  on the 35-dimensional space  $\Lambda^3 V^*$ . Since  $G \subset \text{SO}(V)$ , by Lemma 2.20, the group acts on the unit sphere

$$S := \{u \in V : |u| = 1\}.$$

Thus, for every  $u \in S$ , the isotropy subgroup  $G_u$  has dimension at least 8. By Lemma 2.18, the group  $G_u$  preserves the subspace  $W_u := u^\perp$ , the symplectic form  $\omega_u$ , and the complex structure  $J_u$

on  $W_u$  given by  $\omega_u(v, w) = \langle u, v \times w \rangle$  and  $J_u v = u \times v$ . Hence,  $G_u$  is isomorphic to a subgroup of  $U(W_u, \omega_u, J_u) \cong U(3)$ . Now consider the complex valued 3-form  $\theta_u \in \Lambda^{3,0} W_u^*$  given by

$$\theta_u(x, y, z) := \phi(x, y, z) - \mathfrak{B}\phi(u \times x, y, z) = \phi(x, y, z) - \mathfrak{B}\psi(u, x, y, z)$$

for  $x, y, z \in W_u$ . (See (4.1) and (4.9) for the last equality.) This form is nonzero and is preserved by  $G_u$ . Hence,  $G_u$  is isomorphic to a subgroup of  $SU(W_u, \omega_u, J_u)$ . Since  $SU(W_u, \omega_u, J_u) \cong SU(3)$  is a connected Lie group of dimension 8 and  $G_u$  has dimension at least 8, it follows that

$$G_u \cong SU(W_u, \omega_u, J_u) \cong SU(3).$$

In particular,  $\dim G_u = 8$  and so  $\dim G \leq \dim G_u + \dim S = 14$ . This implies  $\dim G = 14$  and, since  $S$  is connected,  $G$  acts transitively on  $S$ . Thus we have proved that there is a fibration  $SU(3) \hookrightarrow G \rightarrow S$ . It follows from the homotopy exact sequence of this fibration that  $G$  is connected and simply connected and that  $\pi_3(G) \cong \mathbf{Z}$ . Hence,  $G$  is simple.

Here is another proof that  $G$  is simple. Let  $\mathfrak{g} := \text{Lie}(G)$  denote its Lie algebra and, for every  $u \in S$ , let  $\mathfrak{g}_u := \text{Lie}(G_u)$  denote the Lie algebra of the isotropy subgroup. Then, for every  $\xi \in \mathfrak{g}$ , we have  $\xi \in \mathfrak{g}_u$  if and only if  $u \in \ker \xi$ . Since every  $\xi \in \mathfrak{g}$  is skew-adjoint, it has a nontrivial kernel and hence belongs to  $\mathfrak{g}_u$  for some  $u \in S$ .

Now let  $I \subset \mathfrak{g}$  be a nonzero ideal. Then, by what we have just observed, there is an element  $u \in S$  such that  $I \cap \mathfrak{g}_u \neq \{0\}$ . Thus  $I \cap \mathfrak{g}_u$  is a nonzero ideal in  $\mathfrak{g}_u$  and, since  $\mathfrak{g}_u$  is simple, this implies  $\mathfrak{g}_u \subset I$ . Next we claim that, for every  $v \in u^\perp$ , there is an element  $\xi \in I$  such that  $\xi u = v$ . To see this, choose any element  $\eta \in \mathfrak{g}_u \subset I$  such that  $\ker \eta = \langle u \rangle$ . Then there is a unique element  $w \in u^\perp$  such that  $\eta w = v$ . Since  $G$  acts transitively on  $S$  there is an element  $\zeta \in \mathfrak{g}$  such that  $\zeta u = w$ . Hence,  $\xi = [\eta, \zeta] \in I$  and  $\xi u = \eta \zeta u = \eta w = v$ . This proves that  $\dim(I/\mathfrak{g}_u) \geq 6$ ; hence,  $\dim I \geq 14$ , and hence  $I = \mathfrak{g}$ . This proves Theorem 8.1.  $\square$

We examine the action of the group  $G(V, \phi)$  on the space

$$\mathcal{S} := \left\{ (u, v, w) \in V : \begin{array}{l} |u| = |v| = |w| = 1, \\ \langle u, v \rangle = \langle u, w \rangle = \langle v, w \rangle = \langle u \times v, w \rangle = 0 \end{array} \right\}.$$

Let  $S \subset V$  denote the unit sphere. Then each tangent space  $T_u S = u^\perp$  carries a natural complex structure  $v \mapsto u \times v$ . The space  $\mathcal{S}$  is a bundle over  $S$  whose fiber over  $u$  is the space of Hermitian orthonormal pairs in  $T_u S$ . Hence,  $\mathcal{S}$  is a bundle of 3-spheres over a bundle of 5-spheres over a 6-sphere and therefore is a compact connected simply connected 14-dimensional manifold.

**Theorem 8.2.** *The group  $G(V, \phi)$  acts freely and transitively on  $\mathcal{S}$ .*

*Proof.* We give two proofs of this result. The first proof uses the fact that the isotropy subgroup  $G_u \subset G := G(V, \phi)$  of a unit vector  $u \in V$  is isomorphic to  $SU(3)$  and the isotropy subgroup in  $SU(3)$  of a Hermitian orthonormal pair is the identity. Hence,  $G$  acts freely on  $\mathcal{S}$ . Since  $G$  and  $\mathcal{S}$  are compact connected manifolds of the same dimension, this implies that  $G$  acts transitively on  $\mathcal{S}$ .

For the second proof we assume that  $\phi = \phi_0$  is the standard structure on  $V = \mathbf{R}^7$ . Given  $(u, v, w) \in \mathcal{S}$ , define  $g: \mathbf{R}^7 \rightarrow \mathbf{R}^7$  by

$$\begin{aligned} ge_1 &= u, & ge_2 &= v, & ge_3 &= u \times v, & ge_4 &= w \\ ge_5 &= w \times u, & ge_6 &= w \times v, & ge_7 &= w \times (u \times v). \end{aligned}$$

By construction  $g$  preserves the cross product and the inner product. Hence,  $g \in G_2$ . Moreover,  $g$  is the unique element of  $G_2$  that maps the triple  $(e_1, e_2, e_4)$  to  $(u, v, w)$ . This proves Theorem 8.2.  $\square$

**Corollary 8.3.** *The group  $G(V, \phi)$  acts transitively on the space of associative subspaces of  $V$  and on the space of coassociative subspaces of  $V$ .*

*Proof.* This follows from Theorem 8.2, Lemma 4.7, and Lemma 4.26.  $\square$

*Remark 8.4.* Let  $\Lambda \subset V$  be an associative subspace and define  $H := \Lambda^\perp$  and  $G_\Lambda := \{g \in G(V, \phi) : g\Lambda = \Lambda\}$ . Then every  $h \in \text{SO}(H)$  extends uniquely to an element  $g \in G_\Lambda$  (choose  $(u, v, w) \in \mathcal{S}$  such that  $u, v, w \in H$ ) and the action of  $g$  on  $\Lambda$  is induced by the action of  $h$  on  $\Lambda^\perp H^*$  under the isomorphism in Remark 4.27. Hence the map  $G_\Lambda \rightarrow \text{SO}(H) : g \mapsto g|_H$  is an isomorphism and so the **associative Grassmannian**  $\mathcal{L} := \{\Lambda \subset V : \Lambda \text{ is an associative subspace}\}$  is diffeomorphic to the homogeneous space  $G(V, \phi)/\text{SO}(H) \cong G_2/\text{SO}(4)$ , by Corollary 8.3. Since  $\Lambda \subset V$  is associative if and only if  $H := \Lambda^\perp$  is coassociative (see Lemma 4.26),  $\mathcal{L}$  also is the **coassociative Grassmannian**.

**Theorem 8.5.** *There are orthogonal splittings*

$$\begin{aligned} \Lambda^2 V^* &= \Lambda_7^2 \oplus \Lambda_{14}^2, \\ \Lambda^3 V^* &= \Lambda_1^3 \oplus \Lambda_7^3 \oplus \Lambda_{27}^3, \end{aligned}$$

where  $\dim \Lambda_d^k = d$  and

$$\begin{aligned} \Lambda_7^2 &:= \{u(u)\phi : u \in V\} = \{\omega \in \Lambda^2 V^* : *(\phi \wedge \omega) = 2\omega\}, \\ \Lambda_{14}^2 &:= \{\omega \in \Lambda^2 V^* : \psi \wedge \omega = 0\} = \{\omega \in \Lambda^2 V^* : *(\phi \wedge \omega) = -\omega\}, \\ \Lambda_1^3 &:= \langle \phi \rangle, \\ \Lambda_7^3 &:= \{u(u)\psi : u \in V\}, \\ \Lambda_{27}^3 &:= \{\omega \in \Lambda^3 V^* : \phi \wedge \omega = 0, \psi \wedge \omega = 0\}. \end{aligned}$$

Each of the spaces  $\Lambda_d^k$  is an irreducible representation of  $G(V, \phi)$  and the representations  $\Lambda_7^2$  and  $\Lambda_7^3$  are both isomorphic to  $V$ ,  $\Lambda_{14}^2$  is isomorphic to the Lie algebra  $\mathfrak{g}(V, \phi) := \text{Lie}(G(V, \phi)) \cong \mathfrak{g}_2$ , and  $\Lambda_{27}^3$  is isomorphic to the space of traceless symmetric endomorphisms of  $V$ . The orthogonal projections  $\pi_7: \Lambda^2 V^* \rightarrow \Lambda_7^2$  and  $\pi_{14}: \Lambda^2 V^* \rightarrow \Lambda_{14}^2$  are given by

$$(8.6) \quad \pi_7(\omega) = \frac{1}{3}\omega + \frac{1}{3} * (\phi \wedge \omega) = \frac{1}{3} * (\psi \wedge *(\psi \wedge \omega)),$$

$$(8.7) \quad \pi_{14}(\omega) = \frac{2}{3}\omega - \frac{1}{3} * (\phi \wedge \omega) = \omega - \frac{1}{3} * (\psi \wedge *(\psi \wedge \omega)).$$

*Proof.* For  $u \in V$  denote by  $A_u \in \mathfrak{so}(V)$  the endomorphism  $A_u v := u \times v$ . Then the Lie algebra  $\mathfrak{g} := \text{Lie}(G)$  of  $G = G(V, \phi)$  is given by

$$\mathfrak{g} = \{ \xi \in \text{End}(V) : \xi + \xi^* = 0, A_{\xi u} + [A_u, \xi] = 0 \forall u \in V \}.$$

**Step 1.** *There is an orthogonal decomposition*

$$\mathfrak{so}(V) = \mathfrak{g} \oplus \mathfrak{h}, \quad \mathfrak{h} := \{A_u : u \in V\}$$

with respect to the inner product  $\langle \xi, \eta \rangle := -\frac{1}{2} \text{tr}(\xi \eta)$  on  $\mathfrak{so}(V)$ .

The group  $G$  acts on the space  $\mathfrak{so}(V)$  of skew-adjoint endomorphisms by conjugation and this action preserves the inner product. Both subspaces  $\mathfrak{g}$  and  $\mathfrak{h}$  are invariant under this action, because  $g A_u g^{-1} = A_{gu}$  for all  $u \in V$  and  $g \in G$ . If  $\xi = A_u \in \mathfrak{g} \cap \mathfrak{h}$ , then  $0 = \mathcal{L}_{A_u} \phi = 3\iota(u)\psi$  (see equation (4.19)) and hence  $u = 0$ . This shows that  $\mathfrak{g} \cap \mathfrak{h} = \{0\}$ . Since  $\dim \mathfrak{g} = 14$ ,  $\dim \mathfrak{h} = 7$ , and  $\dim \mathfrak{so}(V) = 21$ , we have  $\mathfrak{so}(V) = \mathfrak{g} \oplus \mathfrak{h}$ . Moreover,  $\mathfrak{g}^\perp$  is another  $G$ -invariant complement of  $\mathfrak{g}$ . Hence  $\mathfrak{h}$  is the graph of a  $G$ -equivariant linear map  $\mathfrak{g}^\perp \rightarrow \mathfrak{g}$ . The image of this map is an ideal in  $\mathfrak{g}$  and hence must be zero. This shows that  $\mathfrak{h} = \mathfrak{g}^\perp$ .

**Step 2.**  $\Lambda_{14}^2$  is the orthogonal complement of  $\Lambda_7^2$

By equation (4.41) in Lemma 4.37 we have  $u^* \wedge \psi = *\iota(u)\phi$  for all  $u \in V$ . Hence,  $u^* \wedge \omega \wedge \psi = \omega \wedge *\iota(u)\phi$  and this proves Step 2.

**Step 3.** *The isomorphism  $\mathfrak{so}(V) \rightarrow \Lambda^2 V^* : \xi \mapsto \omega_\xi := \langle \cdot, \xi \cdot \rangle$  is an  $\text{SO}(V)$ -equivariant isometry and maps  $\mathfrak{g}$  onto  $\Lambda_{14}^2$*

That the isomorphism  $\xi \mapsto \omega_\xi$  is an  $\text{SO}(V)$ -equivariant isometry follows directly from the definitions. The image of  $\mathfrak{h}$  under this isomorphism is obviously the subspace  $\Lambda_7^2$ . Hence, by Step 1, the orthogonal complement of  $\Lambda_7^2$  is the image of  $\mathfrak{g}$  under this isomorphism. Hence, the assertion follows from Step 2.

**Step 4.** *Let  $\omega \in \Lambda^2 V^*$ . Then  $\psi \wedge \omega = 0$  if and only if  $*(\phi \wedge \omega) = -\omega$ .*

Define the operators  $Q: \Lambda^2 V^* \rightarrow \Lambda^2 V^*$  and  $R: \Lambda^2 V^* \rightarrow \Lambda^1 V^*$  by

$$Q\omega := *(\phi \wedge \omega), \quad R\omega := *(\psi \wedge \omega)$$

for  $\omega \in \Lambda^2 V^*$ . Then  $Q$  is self-adjoint and  $R^*: \Lambda^1 V^* \rightarrow \Lambda^2 V^*$  is given by the same formula  $R^* \alpha = *(\psi \wedge \alpha)$  for  $\alpha \in \Lambda^1 V^*$ . Both operators are  $G$ -equivariant. Moreover,  $R^* R = Q + \text{id}$  by equation (4.60) in Lemma 4.37. Hence,  $R\omega = 0$  if and only if  $Q\omega = -\omega$ . (Note also that the operator  $R^* R$  vanishes on  $\Lambda_{14}^2$  by equation (4.60) and has eigenvalue 3 on  $\Lambda_7^2$  by (4.52).) This proves Step 4.

One can rephrase this argument more geometrically as follows. The action of  $G$  on  $\Lambda_{14}^2$  is irreducible by Step 3. Hence,  $\Lambda_{14}^2$  is (contained in) an eigenspace of the operator  $Q$ . Moreover, the operator  $Q$  is traceless. To see this, let  $e_1, \dots, e_7$  be an orthonormal basis of  $V$  and denote by

$e^1, \dots, e^7$  the dual basis of  $V^*$ . Then the 2-forms  $e^{ij} := e^i \wedge e^j$  with  $i < j$  form an orthonormal basis of  $\Lambda^2 V^*$  and we have

$$\sum_{i < j} \langle e^{ij}, *(\phi \wedge e^{ij}) \rangle = \sum_{i < j} (e^{ij} \wedge e^{ij} \wedge \phi)(e_1, \dots, e_7) = 0.$$

By equation (4.49) in Lemma 4.37, the operator  $Q$  has eigenvalue 2 on the 7-dimensional subspace  $\Lambda_7^2$ . Since  $\dim \Lambda^2 V^* = 21$ , it follows that  $Q$  has eigenvalue  $-1$  on the 14-dimensional subspace  $\Lambda_{14}^2$ . This gives rise to another proof of equation (4.60) and completes the second proof of Step 4.

**Step 5.** *The subspaces  $\Lambda_1^3$ ,  $\Lambda_7^3$ , and  $\Lambda_{27}^3$  form an orthogonal decomposition of  $\Lambda^3 V^*$  and  $\dim \Lambda_d^3 = d$ .*

That  $\dim \Lambda_d^3 = d$  for  $d = 1, 7$  is obvious. Since  $*\iota(u)\psi = -u^* \wedge \phi$ , it follows that  $\Lambda_1^3$  is orthogonal to  $\Lambda_7^3$ . Moreover, for every  $\omega \in \Lambda^3 V^*$ , we have

$$\phi \wedge \omega = 0 \iff u^* \wedge \phi \wedge \omega = 0 \forall u \in V \iff \omega \perp \Lambda_7^3$$

and

$$\psi \wedge \omega = 0 \iff \omega \perp \Lambda_1^3.$$

Hence,  $\Lambda_{27}^3$  is the orthogonal complement of  $\Lambda_1^3 \oplus \Lambda_7^3$ . Since  $\dim \Lambda^3 V^* = 35$ , this proves Step 5.

**Step 6.** *The subspaces  $\Lambda_7^2$ ,  $\Lambda_{14}^2$ ,  $\Lambda_1^3$ ,  $\Lambda_7^3$ ,  $\Lambda_{27}^3$  are irreducible representations of the group  $G = G(V, \phi)$ .*

The irreducibility of  $\Lambda_1^3$  and  $\Lambda_7^2 \cong \Lambda_7^3$  is obvious and for  $\Lambda_{14}^2$  it follows from Step 3. We also point out that  $\Lambda_7^3$  is the tangent space of the orbit of  $\phi$  under the action of  $\text{SO}(V)$ . The space  $\Lambda_{27}^3$  can be identified with the space of traceless symmetric endomorphisms  $S : V \rightarrow V$  via  $S \mapsto \mathcal{L}_S \phi$  by Theorem 8.8 below. That it is an irreducible representation of  $G(V, \phi)$  is shown in [Bry87]. This proves Step 6. Equations (8.6) and (8.7) follow directly from the definitions and (4.60). This proves Theorem 8.5.  $\square$

**Theorem 8.8.** *The linear map*

$$\text{End}(V) \rightarrow \Lambda^3 V^* : A \mapsto \mathcal{L}_A \phi$$

(see Remark 4.16) *restricts to a  $G(V, \phi)$ -equivariant isomorphism from the space of traceless symmetric endomorphisms of  $V$  onto  $\Lambda_{27}^3$ .*

*Proof.* We follow the exposition of Karigiannis in [Karo9, Section 2]. Define the linear map  $\Lambda^3 V^* \rightarrow \text{End}(V) : \eta \mapsto S_\eta$  by

$$(8.9) \quad \langle u, S_\eta v \rangle := \frac{\iota(u)\phi \wedge \iota(v)\phi \wedge \eta}{4\text{vol}}$$

for  $\eta \in \Lambda^3 V^*$  and  $u, v \in V$ . This map has the following properties.

**Step 1.** Let  $A \in \text{End}(V)$ . Then

$$(8.10) \quad S_{\mathcal{L}_A \phi} = \frac{1}{2}(A^* + A) + \frac{1}{2} \text{tr}(A)\mathbf{1}.$$

In particular,  $S_\phi = \frac{3}{2}\mathbf{1}$ .

For  $t \in \mathbf{R}$  define  $g_t := e^{At}$  and  $\phi_t := g_t^* \phi$ . Then  $\phi_t \in \Lambda^3 V^*$  is a nondegenerate 3-form compatible with the inner product

$$\langle u, v \rangle_t := \langle g_t u, g_t v \rangle$$

on  $V$  and the volume form  $\text{vol}_t \in \Lambda^7 V^*$  given by

$$\text{vol}_t := g_t^* \text{vol} = \det(g_t) \text{vol}.$$

Hence,

$$\iota(u)\phi_t \wedge \iota(u)\phi_t \wedge \phi_t = 6|u|_t^2 \text{vol}_t$$

for all  $u \in V$  and all  $t \in \mathbf{R}$ . Differentiate this equation with respect to  $t$  at  $t = 0$  and use the identity  $0 = \iota(u)(\iota(u)\phi \wedge \phi \wedge \eta) = \iota(u)\phi \wedge \iota(u)\phi \wedge \eta - \iota(u)\phi \wedge \phi \wedge \iota(u)\eta$  for  $\eta \in \Lambda^3 V^*$  to obtain

$$3\iota(u)\phi \wedge \iota(u)\phi \wedge \mathcal{L}_A \phi = 12\langle u, Au \rangle \text{vol} + 6|u|^2 \text{tr}(A) \text{vol}.$$

Divide this equation by  $12\text{vol}$  and use the definition of  $S_{\mathcal{L}_A \phi}$  in equation (8.9) to obtain

$$\langle u, S_{\mathcal{L}_A \phi} u \rangle = \langle u, Au \rangle + \frac{1}{2} \text{tr}(A)|u|^2.$$

Since  $S_{\mathcal{L}_A \phi}$  is a symmetric endomorphism, this proves equation (8.10). Now take  $A = \mathbf{1}$  and use the identities  $\mathcal{L}_1 \phi = 3\phi$  and  $\text{tr}(\mathbf{1}) = 7$  to obtain  $S_{3\phi} = S_{\mathcal{L}_1 \phi} = \frac{9}{2}\mathbf{1}$ . This proves Step 1.

**Step 2.** Let  $v \in V$ . Then  $S_{\iota(v)\psi} = 0$ .

It follows from equation (4.47) in Lemma 4.37 that

$$(8.11) \quad \frac{\iota(u)\phi \wedge \alpha \wedge \psi}{\text{vol}} = \frac{\alpha \wedge *u^*}{\text{vol}} = 3\alpha(u)$$

for all  $u \in V$  and all  $\alpha \in V^*$ . Take  $\alpha := \iota(w)\iota(v)\phi = \phi(v, w, \cdot)$  to obtain

$$(8.12) \quad 3\phi(u, v, w) = \frac{\iota(u)\phi \wedge \iota(w)\iota(v)\phi \wedge \psi}{\text{vol}}.$$

Interchange  $u$  and  $v$  to obtain

$$(8.13) \quad -3\phi(u, v, w) = \frac{\iota(w)\iota(u)\phi \wedge \iota(v)\phi \wedge \psi}{\text{vol}}.$$

Now contract the vector  $w$  with the 8-form  $\iota(u)\phi \wedge \iota(v)\phi \wedge \psi = 0$  to obtain

$$\begin{aligned}
0 &= \iota(w)(\iota(u)\phi \wedge \iota(v)\phi \wedge \psi) \\
&= \iota(w)\iota(u)\phi \wedge \iota(v)\phi \wedge \psi \\
&\quad + \iota(u)\phi \wedge \iota(w)\iota(v)\phi \wedge \psi \\
&\quad + \iota(u)\phi \wedge \iota(v)\phi \wedge \iota(w)\psi \\
&= \iota(u)\phi \wedge \iota(v)\phi \wedge \iota(w)\psi.
\end{aligned}$$

Here the last step follows from (8.12) and (8.13). Thus we have proved that

$$(8.14) \quad \iota(u)\phi \wedge \iota(v)\phi \wedge \iota(w)\psi = 0 \quad \text{for all } u, v, w \in V.$$

Hence,  $S_{\iota(w)\psi} = 0$  for all  $w \in V$  by definition of  $S_\eta$ . This proves Step 2.

**Step 3.** Let  $S = S^* \in \text{End}(V)$  be a self-adjoint endomorphism. Then

$$(8.15) \quad *\mathcal{L}_S\phi = \text{tr}(S)\psi - \mathcal{L}_S\psi.$$

It suffices to prove this for self-adjoint rank 1 endomorphisms. Let  $u \in V$  and define  $S := uu^*$ . Then  $\text{tr}(S) = |u|^2$  and  $\mathcal{L}_S\phi = u^* \wedge \iota(u)\phi$ . Hence,

$$\begin{aligned}
*\mathcal{L}_S\phi &= *(u^* \wedge \iota(u)\phi) \\
&= *(u^* \wedge *(u^* \wedge \psi)) \\
&= \iota(u)(u^* \wedge \psi) \\
&= |u|^2\psi - u^* \wedge \iota(u)\psi \\
&= \text{tr}(S)\psi - \mathcal{L}_S\psi.
\end{aligned}$$

Here the third step uses the identity  $u^* \wedge *\alpha = (-1)^{k-1} * \iota(u)\alpha$  in Remark 4.14 with  $k = 5$  and  $\alpha = u^* \wedge \psi$ . This proves Step 3.

**Step 4.** Let  $S = S^* \in \text{End}(V)$  and  $T = T^* \in \text{End}(V)$  be self-adjoint endomorphisms. Then

$$(8.16) \quad \langle \mathcal{L}_S\phi, \mathcal{L}_T\phi \rangle = 2 \text{tr}(ST) + \text{tr}(S) \text{tr}(T).$$

It suffices to prove this for self-adjoint rank 1 endomorphisms. Let  $u, v \in V$  and define  $S := uu^*$  and  $T := vv^*$ . Then  $\text{tr}(S) = |u|^2$ ,  $\text{tr}(T) = |v|^2$ ,  $\text{tr}(ST) = \langle u, v \rangle^2$ ,  $\mathcal{L}_S\phi = u^* \wedge \iota(u)\phi$ ,  $\mathcal{L}_T\phi = v^* \wedge \iota(v)\phi$ . Hence, by Step 3,

$$\begin{aligned}
\langle \mathcal{L}_S\phi, \mathcal{L}_T\phi \rangle \text{vol} &= \mathcal{L}_S\phi \wedge *\mathcal{L}_T\phi \\
&= \mathcal{L}_S\phi \wedge (\text{tr}(T)\psi - \mathcal{L}_T\psi) \\
&= |v|^2 u^* \wedge \iota(u)\phi \wedge \psi - u^* \wedge \iota(u)\phi \wedge v^* \wedge \iota(v)\psi \\
&= |v|^2 \iota(u)\phi \wedge *\iota(u)\phi - u^* \wedge v^* \wedge \iota(u)\phi \wedge \iota(v)\psi \\
&= (3|u|^2|v|^2 - 2|u \times v|^2) \text{vol} \\
&= (|u|^2|v|^2 + 2\langle u, v \rangle^2) \text{vol}.
\end{aligned}$$



Here the fourth step follows from (4.41) and the fifth step follows from (4.43) and (4.56). This proves Step 4.

**Step 5.** Let  $S = S^* \in \text{End}(V)$  be a self-adjoint endomorphism and let  $u \in V$ . Then  $\langle \iota(u)\psi, \mathcal{L}_S\phi \rangle = 0$ .

It suffices to prove this for rank 1 endomorphisms. Let  $v \in V$  and define  $S := vv^*$ . Then  $*\mathcal{L}_S\phi = \text{tr}(S)\psi - \mathcal{L}_S\psi = |v|^2\psi - v^* \wedge \iota(v)\psi$  by Step 3, so

$$\iota(u)\psi \wedge *\mathcal{L}_S\phi = |v|^2\iota(u)\psi \wedge \psi - \iota(u)\psi \wedge v^* \wedge \iota(v)\psi = 0.$$

Here the last equation follows from (4.40) and (4.48).

**Step 6.** Define

$$\text{End}_0^{\text{sym}}(V) := \{S \in \text{End}(V) : S = S^*, \text{tr}(S) = 0\}.$$

Then the map  $A \mapsto \mathcal{L}_A\phi$  restricts to  $G(V, \phi)$ -equivariant isomorphism

$$\text{End}_0^{\text{sym}}(V) \rightarrow \Lambda_{27}^3 : S \mapsto \mathcal{L}_S\phi.$$

That the map  $A \mapsto \mathcal{L}_A\phi$  is  $G(V, \phi)$ -equivariant follows directly from the definitions. Now let  $S \in \text{End}_0^{\text{sym}}(V)$ . Then by Step 4

$$\frac{\mathcal{L}_S\phi \wedge \psi}{\text{vol}} = \langle \mathcal{L}_S\phi, \phi \rangle = \frac{1}{3}\langle \mathcal{L}_S\phi, \mathcal{L}_1\phi \rangle = \text{tr}(S) = 0.$$

Moreover,  $*\iota(u)\psi = -u^* \wedge \phi$  by (4.42) and so  $u^* \wedge \mathcal{L}_S\phi \wedge \phi = -\langle \mathcal{L}_S\phi, \iota(u)\psi \rangle = 0$  for all  $u \in V$  by Step 5. This shows that  $\mathcal{L}_S\phi \wedge \phi = 0$  and  $\mathcal{L}_S\phi \wedge \psi = 0$ , and so  $\mathcal{L}_S\phi \in \Lambda_{27}^3$ . Moreover,  $S_{\mathcal{L}_S\phi} = S$  for all  $S \in \text{End}_0^{\text{sym}}(V)$  by Step 1. Thus the map  $\text{End}_0^{\text{sym}}(V) \rightarrow \Lambda_{27}^3 : S \mapsto \mathcal{L}_S\phi$  is injective. Since  $\text{End}_0^{\text{sym}}(V)$  and  $\Lambda_{27}^3$  both have dimension 27, this proves Step 6 and Theorem 8.8.  $\square$

The above proof of Theorem 8.8 does not use the fact that the  $G(V, \phi)$ -representation  $\text{End}_0^{\text{sym}}(V)$ , and hence also  $\Lambda_{27}^3$ , is irreducible. Moreover, we have not included a proof of this fact in these notes (although it is stated in Theorem 8.5). Assuming irreducibility, the proof of Theorem 8.8 can be simplified as follows.

*Proof of Theorem 8.8 assuming  $\text{End}_0^{\text{sym}}(V)$  is irreducible.* Since

$$\mathcal{L}_A\phi = \left. \frac{d}{dt} \right|_{t=0} \exp(tA)^*\phi,$$

it is clear that the map  $\text{End}(V) \rightarrow \Lambda^3 V^* : A \mapsto \mathcal{L}_A\phi$  is  $G(V, \phi)$ -equivariant. Its kernel is  $\text{Lie}(G(V, \phi))$  and hence its restriction to  $\text{End}_0^{\text{sym}}(V)$  is injective. Now the composition of the map  $\text{End}_0^{\text{sym}}(V) \rightarrow \Lambda^3 V^* : A \mapsto \mathcal{L}_A\phi$  with the orthogonal projection onto  $\Lambda_1^3$ , respectively  $\Lambda_7^3$ , is  $G(V, \phi)$ -equivariant by Step 5 in the proof of Theorem 8.5. This composition cannot be an isomorphism for dimensional reasons, and hence must vanish by Schur's Lemma, because the  $G(V, \phi)$ -representations  $\text{End}_0^{\text{sym}}(V)$ ,  $\Lambda_1^3$ , and  $\Lambda_7^3$  are all irreducible. Thus the image of  $\text{End}_0^{\text{sym}}(V)$  under the map  $A \mapsto \mathcal{L}_A\phi$  is perpendicular to  $\Lambda_1^3$  and  $\Lambda_7^3$ , and hence is equal to  $\Lambda_{27}^3$ .  $\square$

We close this section with the proof of a well-known formula for the differential of the map that assigns to a nondegenerate 3–form its coassociative calibration. Let  $V$  be a seven-dimensional real vector space, abbreviate  $\Lambda^k := \Lambda^k V^*$  for  $k = 0, 1, \dots, 7$ , and define

$$\mathcal{P} = \mathcal{P}(V) := \{\phi \in \Lambda^3 \mid \phi \text{ is nondegenerate}\}.$$

This is an open subset of  $\Lambda^3$  and it is diffeomorphic to the homogeneous space  $\mathrm{GL}(7, \mathbf{R})/G_2$ . Namely, if  $\phi_0 \in \mathcal{P}$  is any nondegenerate 3–form then the map  $\mathrm{GL}(V) \rightarrow \mathcal{P}: g \mapsto (g^{-1})^* \phi_0$  descends to a diffeomorphism from the quotient space  $\mathrm{GL}(V)/G(V, \phi_0)$  to  $\mathcal{P}$ . Define the map  $\Theta: \mathcal{P} \rightarrow \Lambda^4$  by

$$(8.17) \quad \Theta(\phi) := *_\phi \phi.$$

Here  $*_\phi: \Lambda^3 \rightarrow \Lambda^4$  denotes the Hodge  $*$ –operator associated to the inner product and orientation determined by  $\phi$ .

**Theorem 8.18.** *The map  $\Theta: \mathcal{P} \rightarrow \Lambda^4$  in (8.17) is a  $\mathrm{GL}(V)$ –equivariant local diffeomorphism, it restricts to a diffeomorphism onto its image on each connected component of  $\mathcal{P}$ , and its derivative at  $\phi \in \mathcal{P}$  is given by*

$$(8.19) \quad d\Theta(\phi)\eta = *_\phi \left( \frac{4}{3} \pi_1(\eta) + \pi_7(\eta) - \pi_{27}(\eta) \right)$$

for  $\eta \in \Lambda^3$ . Here  $\pi_d: \Lambda^3 \rightarrow \Lambda_d^3$  denotes the projection associated to the orthogonal splitting  $\Lambda^3 = \Lambda_1^3 \oplus \Lambda_7^3 \oplus \Lambda_{27}^3$  in Theorem 8.5 determined by  $\phi$ .

*Proof.* That  $\mathcal{P}$  has two connected components distinguished by the orientation of  $V$  follows from the fact that  $\mathrm{GL}(V)$  has two connected components. That the restriction of  $\Theta$  to each connected component of  $\mathcal{P}$  is bijective follows from Theorem 4.30 and that it is a diffeomorphism then follows from equation (8.19) and the inverse function theorem.

Thus it remains to prove (8.19). Since  $\Theta$  is  $\mathrm{GL}(V)$ –equivariant, it satisfies

$$(8.20) \quad \Theta(g^* \phi) = g^* \Theta(\phi)$$

for  $\phi \in \mathcal{P}$  and  $g \in \mathrm{GL}(V)$ . Fix a nondegenerate 3–form  $\phi \in \mathcal{P}$ , denote by  $\psi := \Theta(\phi) = *_\phi \phi$  its coassociative calibration, and differentiate equation (8.20) at  $g = \mathbf{1}$  in the direction  $A \in \mathrm{End}(V)$  to obtain

$$(8.21) \quad d\Theta(\phi)\mathcal{L}_A \phi = \mathcal{L}_A \psi.$$

Now let  $\eta \in \Lambda^3$  and denote  $\eta_d := \pi_d(\eta)$  for  $d = 1, 7, 27$ . By Theorem 8.5 and Theorem 8.8 there exists a real number  $\lambda$ , a vector  $u \in V$ , and a traceless symmetric endomorphism  $S: V \rightarrow V$  such that

$$\eta_1 = 3\lambda\phi, \quad \eta_7 = 3u(u)\psi, \quad \eta_{27} = \mathcal{L}_S \phi.$$

Since  $\mathcal{L}_1 \phi = 3\phi$  and  $\mathcal{L}_1 \psi = 4\psi$ , it follows from equation (8.21) that

$$(8.22) \quad d\Theta(\phi)\eta_1 = \lambda d\Theta(\phi)\mathcal{L}_1 \phi = \lambda \mathcal{L}_1 \psi = 4\lambda\psi = \frac{4}{3} *_\phi (3\lambda\phi) = \frac{4}{3} *_\phi \eta_1.$$

Now define  $A_u \in \text{End}(V)$  by  $A_u v := u \times v$  for  $v \in V$ . Then

$$\mathcal{L}_{A_u} \phi = 3\iota(u)\psi = \eta_7, \quad \mathcal{L}_{A_u} \psi = *_\phi(3\iota(u)\psi) = *_\phi \eta_7$$

by (4.18) and (4.19). Hence, it follows from equation (8.21) that

$$(8.23) \quad d\Theta(\phi)\eta_7 = d\Theta(\phi)\mathcal{L}_{A_u}\phi = \mathcal{L}_{A_u}\psi = *_\phi \eta_7.$$

Moreover it follows from equations (8.15) and (8.21)

$$(8.24) \quad d\Theta(\phi)\eta_{27} = d\Theta(\phi)\mathcal{L}_S\phi = \mathcal{L}_S\psi = -*_\phi \mathcal{L}_S\phi = -*_\phi \eta_{27}.$$

With this understood, equation (8.19) follows from (8.22), (8.23), and (8.24). This proves Theorem 8.18.  $\square$

## 9 The group Spin(7)

Let  $W$  be an 8-dimensional real Hilbert space equipped with a positive triple cross product and let  $\Phi \in \Lambda^4 W^*$  be the Cayley calibration defined by (6.14). We orient  $W$  so that

$$\Phi \wedge \Phi > 0$$

and denote by  $*$ :  $\Lambda^k W^* \rightarrow \Lambda^{8-k} W^*$  the associated Hodge  $*$ -operator. Then  $\Phi$  is self-dual, by Remark 6.21. Recall that, for every unit vector  $e \in W$ , the subspace

$$V_e := e^\perp$$

is equipped with a cross product

$$u \times_e v := u \times e \times v$$

and that

$$\Phi = e^* \times \phi_e + \psi_e, \quad \phi_e := \iota(e)\Phi \in \Lambda^3 W^*, \quad \psi_e := *(e^* \wedge \phi_e) \in \Lambda^4 W^*,$$

(see Theorem 6.15). The orientation of  $W$  is compatible with the decomposition  $W = \langle e \rangle \oplus V_e$  (see Remark 6.21).

The group of automorphisms of  $\Phi$  will be denoted by

$$G(W, \Phi) := \{g \in \text{GL}(W) : g^* \Phi = \Phi\}.$$

By Theorem 7.8, we have  $G(W, \Phi) \subset \text{SO}(W)$  and hence

$$G(W, \Phi) = \{g \in \text{SO}(W) : gu \times gv \times gw = g(u \times v \times w) \forall u, v, w \in W\}.$$

For the standard structure  $\Phi_0$  on  $\mathbf{R}^8$  in Example 5.32 we denote the structure group by  $\text{Spin}(7) := G(\mathbf{R}^8, \Phi_0)$ . By Theorem 7.12, the group  $G(W, \Phi)$  is isomorphic to  $\text{Spin}(7)$  for every positive Cayley-form on an 8-dimensional vector space.

**Theorem 9.1.** *The group  $G(W, \Phi)$  is a 21-dimensional simple, connected, simply connected Lie group. It acts transitively on the unit tangent bundle of the unit sphere and, for every unit vector  $e \in W$ , the isotropy subgroup  $G_e := \{g \in G(W, \Phi) : ge = e\}$  is isomorphic to  $G_2$ . Thus there is a fibration*

$$G_2 \hookrightarrow \text{Spin}(7) \longrightarrow S^7.$$

*Proof.* The isotropy subgroup  $G_e$  is obviously isomorphic to  $G(V_e, \phi_e)$  and hence to  $G_2$ . We prove that  $G(W, \Phi)$  acts transitively on the unit sphere. Let  $u, v \in W$  be two unit vectors and choose a unit vector  $e \in W$  which is orthogonal to  $u$  and  $v$ . By Theorem 8.1, the isotropy subgroup  $G_e$  acts transitively on the unit sphere in  $V_e$ . Hence, there is an element  $g \in G_e$  such that  $gu = v$ . That  $G(W, \Phi)$  acts transitively on the set of pairs of orthonormal vectors now follows immediately from Theorem 8.1. In particular, there is a fibration  $G_2 \hookrightarrow \text{Spin}(7) \longrightarrow S^7$ . It follows from the homotopy exact sequence of this fibration and Theorem 8.1 that  $\text{Spin}(7)$  is connected and simply connected, and that  $\pi_3(\text{Spin}(7)) \cong \mathbb{Z}$ . Hence,  $\text{Spin}(7)$  is simple. This proves Theorem 9.1.  $\square$

**Lemma 9.2.** *Abbreviate*

$$G := G(W, \Phi), \quad \mathfrak{g} := \text{Lie}(G) \subset \mathfrak{so}(W).$$

*The homomorphism  $\rho: G(W, \Phi) \rightarrow \text{SO}(\mathfrak{g}^\perp)$  is a nontrivial double cover. Hence,  $\text{Spin}(7)$  is isomorphic to the universal cover of  $\text{SO}(7)$ .*

*Proof.* Define

$$I := \{\xi \in \mathfrak{g} : [\xi, \mathfrak{so}(W)] \subset \mathfrak{g}\}.$$

If  $\xi \in I$  and  $\eta \in \mathfrak{g}$ , then  $[[\xi, \eta], \zeta] = -[[\eta, \zeta], \xi] - [[\zeta, \xi], \eta] \in \mathfrak{g}$  for all  $\zeta \in \mathfrak{so}(W)$ , and so  $[\xi, \eta] \in I$ . Thus  $I$  is an ideal in  $\mathfrak{g}$ . Since  $\mathfrak{so}(W)$  is simple, we have  $I \subsetneq \mathfrak{g}$ . Since  $\mathfrak{g}$  is simple, we have  $I = \{0\}$ . This implies  $\text{im ad}(\xi) \not\subset \mathfrak{g}$  for  $0 \neq \xi \in \mathfrak{g}$ . Since  $\text{ad}(\xi): \mathfrak{so}(W) \rightarrow \mathfrak{so}(W)$  is skew-adjoint, this implies  $\mathfrak{g}^\perp \not\subset \ker \text{ad}(\xi)$  for  $0 \neq \xi \in \mathfrak{g}$ . This means that the infinitesimal adjoint action defines an isomorphism  $\mathfrak{g} \rightarrow \mathfrak{so}(\mathfrak{g}^\perp)$ . Hence, the adjoint action gives rise to a covering map  $G \rightarrow \text{SO}(\mathfrak{g}^\perp)$ . Since  $G$  is connected and simply connected, this implies that  $G$  is the universal cover of  $\text{SO}(\mathfrak{g}^\perp) \cong \text{SO}(7)$  and this proves Lemma 9.2.  $\square$

We examine the action of the group  $G(W, \Phi)$  on the space

$$\mathcal{S} := \{(u, v, w, x) \in W \mid u, v, w, u \times v \times w, x \text{ are orthonormal}\}.$$

The space  $\mathcal{S}$  is a bundle of 3-spheres over a bundle of 5-spheres over a bundle of 6-spheres over a 7-sphere. Hence, it is a compact connected simply connected 21-dimensional manifold.

**Theorem 9.3.** *The group  $G(W, \Phi)$  acts freely and transitively on  $\mathcal{S}$ .*

*Proof.* Since  $\text{Spin}(7)$  acts transitively on  $S^7$  with isotropy subgroup  $G_2$ , the result follows immediately from Theorem 8.2.  $\square$

**Corollary 9.4.** *The group  $G(W, \Phi)$  acts transitively on the space of Cayley subspaces of  $W$ .*

*Proof.* This follows directly from Lemma 6.25 and Theorem 9.3.  $\square$

*Remark 9.5.* For each Cayley subspace  $H \subset W$  choose the orientation such that

$$\text{vol}_H := \Phi|_H$$

is a positive volume form and denote by  $\Lambda^+ H^*$  the space of self-dual 2-forms (as in Remark 4.27), by  $\pi_H : W \rightarrow H$  the orthogonal projection, and by

$$G_H := \{g \in G(W, \Phi) : gH = H\}$$

the isotropy subgroup. Fix a Cayley subspace  $H \subset W$ . Then there is a unique orientation preserving  $G_H$ -equivariant isometric isomorphism

$$T_H : \Lambda^+ H^* \rightarrow \Lambda^+(H^\perp)^*.$$

It is given by

$$(9.6) \quad T_H \omega := -\frac{1}{2}(*(\Phi \wedge \pi_H^* \omega))|_{H^\perp} \quad \text{for } \omega \in \Lambda^+ H^*$$

and its inverse is  $(T_H)^{-1} = T_{H^\perp}$ . If  $\omega_1, \omega_2, \omega_3$  is a standard basis of  $\Lambda^+ H^*$  and  $\tau_i \in \Lambda^+(H^\perp)^*$  is defined by  $\tau_i := T_H \omega_i$  for  $i = 1, 2, 3$ , then the Cayley calibration  $\Phi$  can be expressed in the form

$$(9.7) \quad \Phi = \pi_H^* \text{vol}_H + \pi_{H^\perp}^* \text{vol}_{H^\perp} - \sum_{i=1}^3 \pi_H^* \omega_i \wedge \pi_{H^\perp}^* \tau_i.$$

To see this, choose a standard basis of  $W$  as in Example 7.3 such that the vectors  $e_0, e_1, e_2, e_3$  form a basis of  $H$ , the vectors  $e_4, e_5, e_6, e_7$  form a basis of  $H^\perp$ , and

$$\begin{aligned} \omega_1 &= e^{01} + e^{23}, & \omega_2 &= e^{02} - e^{13}, & \omega_3 &= e^{03} + e^{12}, \\ \tau_1 &= e^{45} + e^{67}, & \tau_2 &= e^{46} - e^{57}, & \tau_3 &= e^{47} + e^{56}. \end{aligned}$$

That such a basis exists follows from Theorem 7.12 and Theorem 9.3. It follows also from Theorem 9.3 that a pair  $(h, h') \in \text{SO}(H) \times \text{SO}(H^\perp)$  belongs to the image of the homomorphism  $G_H \rightarrow \text{SO}(H) \times \text{SO}(H^\perp)$  if and only if the induced automorphisms of  $\Lambda^+ H^*$  and  $\Lambda^+(H^\perp)^*$  are conjugate under  $T_H$ . Hence the map

$$G_H \rightarrow \text{SO}(H) \times_{\text{SO}(\Lambda^+ H^*)} \text{SO}(H^\perp) : g \mapsto [g|_H, g|_{H^\perp}]$$

is a Lie group isomorphism. Hence,  $\dim G_H = 9$  and so the Cayley Grassmannian

$$\mathcal{C} := \{H \subset W : H \text{ is a Cayley subspace}\},$$

which is diffeomorphic to the homogeneous space  $G(W, \Phi)/G_H$ , has dimension 12.

**Theorem 9.8.** *There are orthogonal splittings*

$$\begin{aligned}\Lambda^2 W^* &= \Lambda_7^2 \oplus \Lambda_{21}^2, \\ \Lambda^3 W^* &= \Lambda_8^3 \oplus \Lambda_{48}^3, \\ \Lambda^4 W^* &= \Lambda_1^4 \oplus \Lambda_7^4 \oplus \Lambda_{27}^4 \oplus \Lambda_{35}^4,\end{aligned}$$

where  $\dim \Lambda_d^k = d$  and

$$\begin{aligned}\Lambda_7^2 &:= \{\omega \in \Lambda^2 W^* : *(\Phi \wedge \omega) = 3\omega\} \\ &= \{u^* \wedge v^* - \iota(u)\iota(v)\Phi : u, v \in W\}, \\ \Lambda_{21}^2 &:= \{\omega_\xi : \xi \in \mathfrak{g}\} \\ &= \{\omega \in \Lambda^2 W^* : *(\Phi \wedge \omega) = -\omega\} \\ &= \{\omega \in \Lambda^2 W^* : \langle \omega, \iota(u)\iota(v)\Phi \rangle = \omega(u, v) \forall u, v \in W\}, \\ \Lambda_8^3 &:= \{\iota(u)\Phi : u \in W\}, \\ \Lambda_{48}^3 &:= \{\omega \in \Lambda^3 W^* : \Phi \wedge \omega = 0\}, \\ \Lambda_1^4 &:= \langle \Phi \rangle, \\ \Lambda_7^4 &:= \{\mathcal{L}_\xi \Phi : \xi \in \mathfrak{so}(W)\}, \\ \Lambda_{27}^4 &:= \{\omega \in \Lambda^4 W^* : *\omega = \omega, \omega \wedge \Phi = 0, \omega \wedge \mathcal{L}_\xi \Phi = 0 \forall \xi \in \mathfrak{so}(W)\}, \\ \Lambda_{35}^4 &:= \{\omega \in \Lambda^4 W^* : *\omega = -\omega\}.\end{aligned}$$

Here  $\mathfrak{g} := \text{Lie}(G(W, \Phi))$  and, for  $\xi \in \mathfrak{so}(W)$ , the 4-form  $\mathcal{L}_\xi \Phi \in \Lambda^4 W^*$  and the 2-form  $\omega_\xi \in \Lambda^2 W^*$  are defined by  $\mathcal{L}_\xi \Phi := \left. \frac{d}{dt} \right|_{t=0} \exp(t\xi)^* \Phi$  and  $\omega_\xi := \langle \cdot, \xi \cdot \rangle$ . Each of the spaces  $\Lambda_d^k$  is an irreducible representation of  $G(W, \Phi)$ .

*Proof.* By Theorem 9.1,  $G := G(W, \Phi)$  is simple and so the action of  $G$  on  $\mathfrak{g}$  by conjugation is irreducible. Hence, the 21-dimensional subspace  $\Lambda_{21}^2$  must be contained in an eigenspace of the operator  $\omega \mapsto *(\Phi \wedge \omega)$  on  $\Lambda^2 W^*$ . We prove that the eigenvalue is  $-1$ . To see this, we choose a unit vector  $e \in W$  and an element  $\xi \in \mathfrak{g}$  with  $\xi e = 0$ . Let

$$V_e := e^\perp$$

and denote by  $\iota_e : V_e \rightarrow W$  and  $\pi_e : W \rightarrow V_e$  the inclusion and orthogonal projection and by  $*_e : \Lambda^k V_e^* \rightarrow \Lambda^{7-k} V_e^*$  the Hodge  $*$ -operator on the subspace. Then

$$*(e^* \wedge \pi_e^* \alpha_e) = \pi_e^* *_e \alpha_e \quad \forall \alpha_e \in \Lambda^k V_e^*.$$

Moreover, the alternating forms

$$\phi_e := \iota_e^*(\iota(e)\Phi), \quad \psi_e := \iota_e^* \Phi$$

are the associative and coassociative calibrations of  $V_e$ . Since  $\xi e = 0$ , we have  $\omega_\xi = \pi_e^* \iota_e^* \omega_\xi$  and, by Theorem 8.5,

$$\psi_e \wedge \iota_e^* \omega_\xi = 0, \quad *_e(\phi_e \wedge \iota_e^* \omega_\xi) = -\iota_e^* \omega_\xi.$$

Since  $\Phi = e^* \wedge \pi_e^* \phi_e + \pi_e^* \psi_e$ , this gives

$$\begin{aligned} *(\Phi \wedge \omega_\xi) &= *((e^* \wedge \pi_e^* \phi_e + \pi_e^* \psi_e) \wedge \pi_e^* \iota_e^* \omega_\xi) \\ &= *(e^* \wedge \pi_e^* (\phi_e \wedge \iota_e^* \omega_\xi)) + *\pi_e^* (\psi_e \wedge \iota_e^* \omega_\xi) \\ &= \pi_e^* *e (\phi_e \wedge \iota_e^* \omega_\xi) = -\pi_e^* \iota_e^* \omega_\xi = -\omega_\xi. \end{aligned}$$

By Lemma 9.2 the adjoint action of  $G$  on  $\mathfrak{g}^\perp \subset \mathfrak{so}(W)$  is irreducible, and  $\mathfrak{g}^\perp$  is mapped under  $\xi \mapsto \omega_\xi$  onto the orthogonal complement of  $\Lambda_{21}^2$ . Hence, the 7-dimensional orthogonal complement of  $\Lambda_{21}^2$  is also contained in an eigenspace of the operator  $\omega \mapsto *(\Phi \wedge \omega)$ . Since this operator is self-adjoint and has trace zero, its eigenvalue on the orthogonal complement of  $\Lambda_{21}^2$  must be 3 and therefore this orthogonal complement is equal to  $\Lambda_7^2$ . It follows that the orthogonal projection of  $\omega \in \Lambda^2 W^*$  onto  $\Lambda_7^2$  is given by  $\pi_7(\omega) = \frac{1}{4}(\omega + *(\Phi \wedge \omega))$ . Hence, for every nonzero vector  $e \in W$ , we have

$$\begin{aligned} \Lambda_7^2 &= \{e^* \wedge u^* - \iota(e)\iota(u)\Phi : u \in e^\perp\}, \\ \Lambda_{21}^2 &= \{\omega \in \Lambda^2 W^* : \langle \omega, \iota(e)\iota(u)\Phi \rangle = \omega(e, u) \forall u \in e^\perp\}. \end{aligned}$$

This proves the decomposition result for  $\Lambda^2 W^*$ .

We verify the decomposition of  $\Lambda^3 W^*$ . For  $u \in W$  and  $\omega \in \Lambda^3 W^*$  we have the equation

$$u^* \wedge \Phi \wedge \omega = -\omega \wedge \iota(u)\Phi.$$

Hence,  $\Phi \wedge \omega = 0$  if and only if  $\omega$  is orthogonal to  $\iota(u)\Phi$  for all  $u \in W$ . This shows that  $\Lambda_{48}^3$  is the orthogonal complement of  $\Lambda_8^3$ . Since  $\Phi$  is nondegenerate, we have  $\dim \Lambda_8^3 = 8$  and, since  $\dim \Lambda^3 W^* = 56$ , it follows that  $\dim \Lambda_{48}^3 = 48$ .

We verify the decomposition of  $\Lambda^4 W^*$ . The 4-form  $g^* \Phi$  is self-dual for every  $g \in G = G(W, \Phi)$ , because  $\Phi$  is self-dual and  $G \subset \text{SO}(W)$ . This implies that  $\mathcal{L}_\xi \Phi$  is self-dual for every  $\xi \in \mathfrak{g} = \text{Lie}(G)$ . Since  $\text{SO}(W)$  has dimension 28 and the isotropy subgroup  $G$  of  $\Phi$  has dimension 21, it follows that the tangent space  $\Lambda_7^4$  to the orbit of  $\Phi$  under the action of  $G$  has dimension 7. As  $\Lambda_1^4$  has dimension 1 and the space of self-dual 4-forms has dimension 35, the orthogonal complement of  $\Lambda_1^4 \oplus \Lambda_7^4$  in the space of self-dual 4-forms has dimension 27. This proves the dimension and decomposition statements.

That the action of  $G$  on  $\Lambda_{21}^2 \cong \mathfrak{g}$  is irreducible follows from the fact that  $G$  is simple. Irreducibility of the action on  $\Lambda_1^4$  is obvious. For  $\Lambda_8^3 \cong W$  it follows from the fact that  $G$  acts transitively on the unit sphere in  $W$ , and for  $\Lambda_7^2 \cong \mathfrak{g}^\perp \cong \Lambda_7^4$  it follows from the fact that the isotropy subgroup  $G_e$  of a unit vector  $e \in W$  acts transitively on the unit sphere in  $V_e = e^\perp$ . For  $\Lambda_{27}^4$ ,  $\Lambda_{35}^4$ , and  $\Lambda_{48}^3$  we refer to [Bry87]. This proves Theorem 9.8.  $\square$

**Corollary 9.9.** *For  $u, v \in W$  denote  $\omega_{u,v} := \iota(v)\iota(u)\Phi = \Phi(u, v, \cdot, \cdot)$ . Then, for all  $u, v, x, y \in W$  we have*

$$(9.10) \quad *(\Phi \wedge u^* \wedge v^*) = \omega_{u,v}, \quad *(\Phi \wedge \omega_{u,v}) = 3u^* \wedge v^* + 2\omega_{u,v},$$

$$(9.11) \quad \langle \omega_{u,v}, \omega_{x,y} \rangle = 3(\langle u, x \rangle \langle v, y \rangle - \langle u, y \rangle \langle v, x \rangle) + 2\Phi(u, v, x, y),$$

$$(9.12) \quad \frac{\omega_{u,v} \wedge \omega_{x,y} \wedge \Phi}{\text{vol}} = 6(\langle u, x \rangle \langle v, y \rangle - \langle u, y \rangle \langle v, x \rangle) + 7\Phi(u, v, x, y).$$

*Proof.* The first equation in (9.10) is a general statement about the Hodge  $*$ -operator in any dimension. Moreover, by Theorem 9.8, the 2-form  $u^* \wedge v^* + \omega_{u,v}$  is an eigenvector of the operator  $\omega \mapsto *(\Phi \wedge \omega)$  with eigenvalue 3. Hence, the second equation in (9.10) follows from the first. To prove (9.11), take the inner product of the second equation in (9.10) with  $x^* \wedge y^*$  and use the identities

$$(9.13) \quad \langle \omega_{u,v}, x^* \wedge y^* \rangle = \Phi(u, v, x, y),$$

$$(9.14) \quad \langle u^* \wedge v^*, x^* \wedge y^* \rangle = \langle u, x \rangle \langle v, y \rangle - \langle u, y \rangle \langle v, x \rangle,$$

and the fact that the operator  $\omega \mapsto *(\Phi \wedge \omega)$  is self-adjoint. To prove (9.12), we observe that

$$\begin{aligned} \frac{\omega_{u,v} \wedge \omega_{x,y} \wedge \Phi}{\text{vol}} &= \langle \omega_{u,v}, *(\Phi \wedge \omega_{x,y}) \rangle \\ &= \langle \omega_{u,v}, 3x^* \wedge y^* + 2\omega_{x,y} \rangle \\ &= 6(\langle u, x \rangle \langle v, y \rangle - \langle u, y \rangle \langle v, x \rangle) + 7\Phi(u, v, x, y), \end{aligned}$$

where the second equation follows from (9.10) and the last follows from (9.11) and (9.14). This proves Corollary 9.9.  $\square$

## 10 Spin structures

This section explains how a cross products in dimension seven, respectively a triple cross products in dimension eight, gives rise to a spin structure and a unit spinor and how, conversely, the cross product or triple cross product can be recovered from these data. We begin the discussion with spin structures and triple cross products in Section 10.1 and then move on to cross products in Section 10.2.

### 10.1 Spin structures and triple cross products

Let  $W$  be an 8-dimensional oriented real Hilbert space. A spin structure on  $W$  is a pair of 8-dimensional real Hilbert spaces  $S^\pm$  equipped with a vector space homomorphism  $\gamma: W \rightarrow \text{Hom}(S^+, S^-)$  that satisfies the condition

$$(10.1) \quad \gamma(u)^* \gamma(u) = |u|^2 \mathbf{1}$$

for all  $u \in W$  (see [Sal99, Proposition 4.13, Definition 4.32, Example 4.48]). The sign in  $S^\pm$  is determined by the condition

$$(10.2) \quad \gamma(e_7)^* \gamma(e_6) \cdots \gamma(e_1)^* \gamma(e_0) = \mathbf{1}_{S^+}$$

for some, and hence every, positively oriented orthonormal basis  $e_0, \dots, e_7$  of  $W$  (see [Sal99, page 132]). More precisely, consider the 16-dimensional real Hilbert space  $S := S^+ \oplus S^-$  and define



the homomorphism  $\Gamma: W \rightarrow \text{End}(S)$  by

$$\Gamma(u) := \begin{pmatrix} 0 & \gamma(u) \\ -\gamma(u)^* & 0 \end{pmatrix} \quad \text{for } u \in W.$$

Then equation (10.1) guarantees that  $\Gamma$  extends uniquely to an algebra isomorphism from the Clifford algebra  $\text{Cl}(W)$  to  $\text{End}(S)$ , still denoted by  $\Gamma$ . The complexification of  $S$  gives rise an algebra isomorphism  $\Gamma^c: \text{Cl}^c(W) \rightarrow \text{End}(S^c)$  from the complexified Clifford algebra  $\text{Cl}^c(W) := \text{Cl}(W) \otimes_{\mathbf{R}} \mathbf{C}$  to the complex endomorphisms of  $S^c := S \otimes_{\mathbf{R}} \mathbf{C}$  (see [Sal99, Proposition 4.33]).

**Theorem 10.3.** *Let  $W$  be an oriented 8-dimensional real Hilbert space and abbreviate  $\Lambda^k := \Lambda^k W^*$  for  $k = 0, 1, \dots, 8$ .*

- (i) *Suppose  $W$  is equipped with a positive triple cross product (6.2), let  $\Phi \in \Lambda^4$  be the Cayley calibration defined by (6.14), and assume that  $\Phi \wedge \Phi > 0$ . Define the homomorphism  $\gamma: W \rightarrow \text{Hom}(S^+, S^-)$  by*

$$(10.4) \quad S^+ := \Lambda^0 \oplus \Lambda_7^2, \quad S^- := \Lambda^1$$

and

$$(10.5) \quad \gamma(u)(\lambda, \omega) := \lambda u^* + 2i(u)\omega$$

for  $u \in W$ ,  $\lambda \in \mathbf{R}$ , and  $\omega \in \Lambda_7^2$ . Then  $\gamma$  is a spin structure on  $W$ , i.e., it satisfies (10.1) and (10.2). Moreover, the space  $S^+ = \Lambda^0 \oplus \Lambda_7^2$  of positive spinors contains a canonical unit vector  $s = (1, 0)$  and the triple cross product can be recovered from the spin structure and the unit spinor via the formula

$$(10.6) \quad \begin{aligned} \gamma(u \times v \times w)s &= \langle v, w \rangle \gamma(u)s - \langle w, u \rangle \gamma(v)s + \langle u, v \rangle \gamma(w)s \\ &\quad - \gamma(u)\gamma(v)^* \gamma(w)s \end{aligned}$$

for  $u, v, w \in W$ .

- (ii) *Let  $\gamma: W \rightarrow \text{Hom}(S^+, S^-)$  be a spin structure and let  $s \in S^+$  be a unit vector. Then equation (10.6) defines a positive triple cross product on  $W$  and the associated Cayley calibration  $\Phi$  satisfies  $\Phi \wedge \Phi > 0$ . Since any two spin structures on  $W$  are isomorphic, this shows that there is a one-to-one correspondence between positive unit spinors and positive triple cross products on  $W$  that are compatible with the inner product and orientation.*

*Proof.* See page 69. □

Assume  $W$  is equipped with a positive triple cross product (6.2) and that its Cayley calibration  $\Phi \in \Lambda^4 W^*$  in (6.14) satisfies  $\Phi \wedge \Phi > 0$ . Recall that, for every unit vector  $e \in W$ , there is a normed algebra structure on  $W$ , defined by (6.19). This normed algebra structure can be recovered from an intrinsic product map

$$m: W \times W \rightarrow \Lambda^0 \oplus \Lambda_7^2$$

(which does not depend on  $e$ ) and an isomorphism  $\gamma(e): \Lambda^0 \oplus \Lambda_7^2 \rightarrow \Lambda^1$  (which does depend on  $e$ ). The product map is given by

$$(10.7) \quad m(u, v) = (\langle u, v \rangle, \frac{1}{2}(u^* \wedge v^* + \omega_{u,v}))$$

for  $u, v \in W$  and the isomorphism  $\gamma(e)$  is given by (10.5) with  $u$  replaced by  $e$ . Here  $\omega_{u,v} := \iota(v)\iota(u)\Phi$  as in Corollary 9.9.

**Lemma 10.8.** *Let  $I_W: W \rightarrow W^*$  be the isomorphism induced by the inner product, so that  $I_W(u) = \langle u, \cdot \rangle = u^*$  for  $u \in W$ . Let  $\gamma: W \rightarrow \text{Hom}(S^+, S^-)$  and  $m: W \times W \rightarrow S^+$  be defined by (10.5) and (10.7). Then, for all  $u, v, e \in W$ , we have*

$$(10.9) \quad I_W^{-1}(\gamma(e)m(u, v)) = \langle u, v \rangle e + \langle u, e \rangle v - \langle v, e \rangle u + u \times e \times v,$$

$$(10.10) \quad |m(u, v)| = |u||v|, \quad |\gamma(e)(\lambda, \omega)|^2 = |e|^2 (|\lambda|^2 + |\omega|^2).$$

*Proof.* Equation (10.9) follows directly from the definitions. Moreover, it follows from (9.11) that

$$|m(u, v)|^2 = \langle u, v \rangle^2 + \frac{1}{4}|u^* \wedge v^*|^2 + \frac{1}{4}|\omega_{u,v}|^2 = \langle u, v \rangle^2 + |u \wedge v|^2 = |u|^2|v|^2.$$

This proves the first equation in (10.10). To prove the second equation in (10.10) we observe that  $\gamma(e)m(e, v) = v$  and, hence,  $|\gamma(e)m(e, v)| = |v| = |m(e, v)|$  whenever  $|e| = 1$ . Since the map  $W \rightarrow \Lambda^0 \oplus \Lambda_7^2: v \mapsto m(e, v)$  is bijective, this proves Lemma 10.8.  $\square$

*Remark 10.11.* If we fix a unit vector  $e \in W$  and denote  $\bar{v} := 2\langle e, v \rangle e - v$ , then the product in (6.19) is given by

$$uv = -\langle u, v \rangle e + \langle u, e \rangle v + \langle v, e \rangle u + u \times e \times v = \overline{I_W^{-1}(\gamma(e)m(u, \bar{v}))}$$

for  $u, v \in W$ .

The next lemma shows that the linear map  $\gamma(u): \Lambda^0 \oplus \Lambda_7^2 \rightarrow \Lambda^1$  is dual to the map  $m(u, \cdot): W \rightarrow \Lambda^0 \oplus \Lambda_7^2$  for every  $u \in W$  and that it satisfies equation (10.1).

**Lemma 10.12.** *Let  $\gamma: W \rightarrow \text{Hom}(S^+, S^-)$  be the homomorphism in (10.4) and (2.21). Then  $\gamma$  satisfies (10.1) and*

$$(10.13) \quad \gamma(u)^*v^* = m(u, v) = (\langle u, v \rangle, \frac{1}{2}(u^* \wedge v^* + \omega_{u,v}))$$

for all  $u, v \in W$ .

*Proof.* For  $u \in W, \lambda \in \mathbf{R}, \omega \in \Lambda_7^2$ , and  $v \in W$  we compute

$$\begin{aligned} \langle \gamma(u)(\lambda, \omega), v^* \rangle &= \langle \lambda u^* + 2\iota(u)\omega, v^* \rangle \\ &= \lambda \langle u, v \rangle + 2\langle \omega, u^* \wedge v^* \rangle \\ &= \lambda \langle u, v \rangle + \frac{1}{2}\langle \omega, \omega_{u,v} + u^* \wedge v^* \rangle. \end{aligned}$$

The last equation follows from the fact that

$$\pi_7(u^* \wedge v^*) = \frac{1}{4}(u^* \wedge v^* + \omega_{u,v}).$$

This proves (10.13). With this understood, the formula  $\gamma(u)^*\gamma(u) = |u|^2\mathbf{1}$  follows directly from (10.10). This proves Lemma 10.12.  $\square$

Combining the product map  $m$  with the triple cross product we obtain an alternating multi-linear map  $\tau : W^4 \rightarrow \Lambda^0 \oplus \Lambda_7^2$  defined by

$$(10.14) \quad \begin{aligned} \tau(x, u, v, w) = \frac{1}{4} & \left( m(u \times v \times w, x) - m(v \times w \times x, u) \right. \\ & \left. + m(w \times x \times u, v) - m(x \times u \times v, w) \right). \end{aligned}$$

This map corresponds to the four-fold cross product (see Definition 5.33) and has the following properties (see Theorem 5.35).

**Lemma 10.15.** *Let  $\chi : W^4 \rightarrow \Lambda_7^2$  denote the second component of  $\tau$ . Then, for all  $u, v, w, x \in W$ , we have*

$$\begin{aligned} \tau(x, u, v, w) &= (\Phi(x, u, v, w), \chi(x, u, v, w)), \\ \Phi(x, u, v, w)^2 + |\chi(x, u, v, w)|^2 &= |x \wedge u \wedge v \wedge w|^2. \end{aligned}$$

*Proof.* That the first component of  $\tau$  is equal to  $\Phi$  follows directly from the definitions. Moreover, for  $u, v, w, x \in W$ , we have

$$(10.16) \quad \begin{aligned} 2\chi(x, u, v, w) &= (u \times v \times w)^* \wedge x^* + \omega_{u \times v \times w, x} \\ &\quad - (v \times w \times x)^* \wedge u^* - \omega_{v \times w \times x, u} \\ &\quad + (w \times x \times u)^* \wedge v^* + \omega_{w \times x \times u, v} \\ &\quad - (x \times u \times v)^* \wedge w^* - \omega_{x \times u \times v, w}. \end{aligned}$$

We claim that the four rows on the right agree whenever  $u, v, w, x$  are pairwise orthogonal. Under this assumption the first two rows remain unchanged if we add to  $x$  a multiple of  $u \times v \times w$ . Thus we may assume that  $x$  is orthogonal to  $u, v, w$ , and  $u \times v \times w$ . By Theorem 9.3, we may therefore assume that  $W = \mathbf{R}^8$  with the standard triple cross product and

$$u = e_0, \quad v = e_1, \quad w = e_2, \quad x = e_4.$$

In this case a direct computation proves that the first two rows agree. Thus we have proved that, if  $u, v, w, x \in W$  are pairwise orthogonal, then

$$\tau(x, u, v, w) = m(u \times v \times w, x).$$

In this case it follows from (10.10) that

$$\begin{aligned}
|\tau(x, u, v, w)| &= |m(u \times v \times w, x)| \\
&= |x||u \times v \times w| \\
&= |x||u||v||w| \\
&= |x \wedge u \wedge v \wedge w|.
\end{aligned}$$

Since  $\tau$  is alternating, this proves Lemma 10.15.  $\square$

**Lemma 10.17.** *Let  $\gamma: W \rightarrow \text{Hom}(S^+, S^-)$  be the homomorphism in (10.4) and (10.5). Then  $\gamma$  satisfies (10.2) and (10.6).*

*Proof.* It follows from (10.1) that  $\langle \gamma(u)s, \gamma(v)s \rangle = \langle u, v \rangle$  for all  $u, v \in W$ . Hence, equation (10.6) is equivalent to

$$\begin{aligned}
(10.18) \quad \Phi(x, u, v, w) &= \langle x, u \rangle \langle v, w \rangle - \langle x, v \rangle \langle w, u \rangle + \langle x, w \rangle \langle u, v \rangle \\
&\quad - \langle \gamma(u)^* \gamma(x)s, \gamma(v)^* \gamma(w)s \rangle
\end{aligned}$$

for all  $x, u, v, w \in W$ . Since  $s = (1, 0) \in S^+ = \Lambda^0 \oplus \Lambda_7^2$ , we have

$$\gamma(u)^* \gamma(x)s = \gamma(u)^* x^* = (\langle u, x \rangle, \frac{1}{2}(u^* \wedge x^* + \omega_{u,x}))$$

for all  $u, x \in W$  by Lemma 10.12. Hence,

$$\begin{aligned}
&\langle \gamma(u)^* \gamma(x)s, \gamma(v)^* \gamma(w)s \rangle \\
&= \langle u, x \rangle \langle v, w \rangle + \frac{1}{4} \langle u^* \wedge x^* + \omega_{u,x}, v^* \wedge w^* + \omega_{v,w} \rangle \\
&= \langle u, x \rangle \langle v, w \rangle + \langle u, v \rangle \langle x, w \rangle - \langle u, w \rangle \langle x, v \rangle + \Phi(u, x, v, w).
\end{aligned}$$

Here the last equation follows from Corollary 9.9. This shows that the homomorphism  $\gamma$  satisfies (10.18) and hence also (10.6).

We prove that  $\gamma$  satisfies (10.2). Choose an orthonormal basis  $e_0, \dots, e_7$  of  $W$  in which  $\Phi$  has the standard form of Example 7.3. Such a basis exists by Theorem 7.12 because  $\Phi$  is a positive Cayley form, and it is positive because  $\Phi \wedge \Phi > 0$ . Moreover, for any quadruple of integers  $0 \leq i < j < k < \ell \leq 7$ , the following are equivalent.

- (a) The term  $\pm e^{ijk\ell}$  appears in the standard basis.
- (b)  $\Phi(e_i, e_j, e_k, e_\ell) = \pm 1$ .
- (c)  $e_k \times e_j \times e_i = \pm e_\ell$ .
- (d)  $-\gamma(e_k)\gamma(e_j)^*\gamma(e_i)s = \pm\gamma(e_\ell)s$ .

Here the equivalence of ((a)) and ((b)) is obvious, the equivalence of ((b)) and ((c)) follows from the fact that

$$\Phi(e_i, e_j, e_k, e_\ell) = \Phi(e_\ell, e_k, e_j, e_i) = \langle e_k \times e_j \times e_i, e_\ell \rangle$$

by (7.2), and the equivalence of ((c)) and ((d)) follows from equation (10.6). Examining the relevant terms in Example 7.3 we find that

$$\gamma(e_2)\gamma(e_1)^*\gamma(e_0)s = -\gamma(e_3)s,$$

hence

$$\gamma(e_4)\gamma(e_3)^*\gamma(e_2)\gamma(e_1)^*\gamma(e_0)s = -\gamma(e_4)s,$$

hence

$$\gamma(e_6)\gamma(e_5)^*\gamma(e_4)\gamma(e_3)^*\gamma(e_2)\gamma(e_1)^*\gamma(e_0)s = -\gamma(e_6)\gamma(e_5)^*\gamma(e_4)s = \gamma(e_7)s,$$

and hence

$$\gamma(e_7)^*\gamma(e_6)\gamma(e_5)^*\gamma(e_4)\gamma(e_3)^*\gamma(e_2)\gamma(e_1)^*\gamma(e_0)s = s.$$

Hence,  $\gamma$  satisfies (10.2) and this proves Lemma 10.17.  $\square$

*Proof of Theorem 10.3.* Part ((i)) follows from Lemma 10.12 and Lemma 10.17. To prove part ((ii)) assume  $\gamma: W \rightarrow \text{Hom}(S^+, S^-)$  is a spin structure, let  $s \in S^+$  be a unit vector, and define the multilinear map

$$(10.19) \quad W^3 \rightarrow W: (u, v, w) \mapsto u \times v \times w$$

by (10.6). Then  $u \times v \times w = 0$  whenever two of the three vectors agree. Hence, it suffices to verify (6.3) and (6.4) under the assumption that  $u, v, w$  are pairwise orthogonal. In this case we compute

$$\begin{aligned} \langle u \times v \times w, u \rangle &= \langle \gamma(u \times v \times w)s, \gamma(u)s \rangle \\ &= -\langle \gamma(u)\gamma(v)^*\gamma(w)s, \gamma(u)s \rangle \\ &= -|u|^2 \langle \gamma(v)^*\gamma(w)s, s \rangle \\ &= -|u|^2 \langle v, w \rangle = 0. \end{aligned}$$

and

$$\begin{aligned} |u \times v \times w|^2 &= |\gamma(u \times v \times w)s|^2 \\ &= |\gamma(u)\gamma(v)^*\gamma(w)s|^2 \\ &= |u|^2 |v|^2 |w|^2 \\ &= |u \wedge v \wedge w|^2. \end{aligned}$$

This shows that the map (10.19) is a triple cross product. To prove that it is positive, choose a quadruple of pairwise orthogonal vectors  $e, u, v, w \in W$  such that  $w$  is also orthogonal to  $e \times u \times v$ . Then

$$\begin{aligned}
\gamma(e \times u \times (e \times v \times w))s &= -\gamma(e)\gamma(u)^*\gamma(e \times v \times w)s \\
&= \gamma(e)\gamma(u)^*\gamma(e)\gamma(v)^*\gamma(w)s \\
&= -\gamma(e)\gamma(e)^*\gamma(u)\gamma(v)^*\gamma(w)s \\
&= -|e|^2\gamma(u)\gamma(v)^*\gamma(w)s \\
&= |e|^2\gamma(u \times v \times w)s.
\end{aligned}$$

Here the first, second, and fifth equalities follow from (10.6) and the third and fourth equalities follow from (10.1). Thus we have proved that the triple cross product (10.19) is positive. That the associated Cayley calibration  $\Phi$  satisfies  $\Phi \wedge \Phi > 0$  follows by using a standard basis and reversing the argument in the proof of Lemma 10.17. This proves Theorem 10.3.  $\square$

## 10.2 Spin structures and cross products

Let  $V$  be a 7-dimensional oriented real Hilbert space. A spin structure on  $V$  is an 8-dimensional real Hilbert space  $S$  equipped with a vector space homomorphism  $\gamma : V \rightarrow \text{End}(S)$  that satisfies the conditions

$$(10.20) \quad \gamma(u)^* + \gamma(u) = 0, \quad \gamma(u)^*\gamma(u) = |u|^2 \mathbf{1}$$

for all  $u \in V$  (see [Sal99, Definition 4.32]) and

$$(10.21) \quad \gamma(e_7)\gamma(e_6) \cdots \gamma(e_1) = -\mathbf{1}.$$

for some, and hence every, positive orthonormal basis  $e_1, \dots, e_7$  of  $V$ . Equation (10.20) guarantees that the linear map  $\gamma : V \rightarrow \text{End}(S)$  extends uniquely to an algebra homomorphism  $\gamma : C\ell(V) \rightarrow \text{End}(S)$  (see [Sal99, Proposition 4.33]). It follows from (10.21) that the kernel of this extended homomorphism is given by  $\{x \in C\ell(V) : \varepsilon x = x\}$ , where  $\varepsilon := e_7 \cdots e_1 \in C\ell_7(V)$  for a positive orthonormal basis  $e_1, \dots, e_7$  of  $V$  (see [Sal99, Proposition 3.34]). Since  $\varepsilon$  is an odd element of  $C\ell(V)$ , this implies that the restrictions of  $\gamma$  to both  $C\ell^{\text{ev}}(V)$  and  $C\ell^{\text{odd}}(V)$  are injective. Since  $\dim C\ell^{\text{ev}}(V) = \dim C\ell^{\text{odd}}(V) = \dim \text{End}(S) = 64$ , it follows that  $\gamma$  restricts to an algebra isomorphism from  $C\ell^{\text{ev}}(V)$  to  $\text{End}(S)$  and to a vector space isomorphism from  $C\ell^{\text{odd}}(V)$  to  $\text{End}(S)$ .

**Theorem 10.22.** *Let  $V$  be an oriented 7-dimensional real Hilbert space.*

(i) *Suppose  $V$  is equipped with a cross product and define the homomorphism  $\gamma : V \rightarrow \text{End}(S)$  by*

$$(10.23) \quad S := \mathbf{R} \times V, \quad \gamma(u)(\lambda, v) := (-\langle u, v \rangle, \lambda u + u \times v)$$

*for  $\lambda \in \mathbf{R}$  and  $u, v \in V$ . Then  $\gamma$  is a spin structure on  $V$ , i.e., it satisfies (10.20) and (10.21). Moreover, the space  $S = \mathbf{R} \times V$  contains a canonical unit vector  $s = (1, 0)$  and the cross product can be recovered from the spin structure and the unit spinor via the formula*

$$(10.24) \quad \gamma(u \times v)s = \gamma(u)\gamma(v)s + \langle u, v \rangle s \quad \text{for } u, v \in V.$$

(ii) Let  $\gamma : V \rightarrow \text{End}(S)$  be a spin structure and let  $s \in S$  be a unit vector. Then equation (10.24) defines a cross product on  $V$  that is compatible with the inner product and orientation. Since any two spin structures on  $V$  are isomorphic, this shows that there is a one-to-one correspondence between unit spinors and cross products on  $V$  that are compatible with the inner product and orientation.

*Proof.* We prove part ((i)). Thus assume  $V$  is equipped with a cross product that is compatible with the inner product and orientation, and let  $\gamma : V \rightarrow \text{End}(S)$  be given by (10.23). Then, for  $u, v, w \in V$  and  $\lambda, \mu \in \mathbf{R}$ , we have

$$\langle (\lambda, v), \gamma(u)(\mu, w) \rangle = \mu \langle u, v \rangle - \lambda \langle u, w \rangle + \phi(v, u, w).$$

This expression is skew-symmetric in  $(\lambda, v)$  and  $(\mu, w)$  and so  $\gamma(u)$  is skew-adjoint. Moreover, for  $u, v, w \in V$  and  $\mu \in \mathbf{R}$ , we have

$$\begin{aligned} & \gamma(u)\gamma(v)(\mu, w) + \langle u, v \rangle(\mu, w) \\ &= (-\langle u, \mu v + v \times w \rangle, -\langle v, w \rangle u + u \times (\mu v + v \times w)) + \langle u, v \rangle(\mu, w) \\ &= (-\langle u \times v, w \rangle, \mu(u \times v) + u \times (v \times w) - \langle v, w \rangle u + \langle u, v \rangle w) \\ &= \gamma(u \times v)(\mu, w) + (0, -(u \times v) \times w - \langle v, w \rangle u + \langle u, w \rangle v) \\ &\quad + (0, -(v \times w) \times u - \langle u, w \rangle v + \langle u, v \rangle w) \\ &= \gamma(u \times v)(\mu, w) - 2(0, [u, v, w]). \end{aligned}$$

Here the last equation follows from (4.1). This proves (10.20) by taking  $v = -u$  and (10.24) by taking  $\mu = 1$  and  $w = 0$ . For the proof of (10.21) it is convenient to use the standard basis for the standard cross product on  $V = \mathbf{R}^7$  in Example 2.15. The left hand side of (10.21) is independent of the choice of the positive orthonormal basis and we know from general principles that the composition  $\gamma(e_7) \cdots \gamma(e_1)$  must equal  $\pm 1$  (see [Sal99, Prop 4.34]). The sign can thus be determined by evaluating the composition of the  $\gamma(e_j)$  on a single nonzero vector. We leave the verification to the reader. This proves part ((i)).

We prove part ((ii)). Thus assume that  $\gamma : V \rightarrow \text{End}(S)$  is a spin structure compatible with the orientation and let  $s \in S$  be a unit vector. Then the map

$$(10.25) \quad \mathbf{R} \times V \rightarrow S: (\lambda, v) \mapsto \Xi(\lambda, v) := \lambda s + \gamma(v)s$$

is an isometric isomorphism, because  $|\lambda s + \gamma(v)s|^2 = |\lambda|^2 + |v|^2$  by (10.20) and both spaces have the same dimension. For  $u, v \in V$  the first coordinate of  $\Xi^{-1}\gamma(u)\gamma(v)s$  is  $\langle s, \gamma(u)\gamma(v)s \rangle = -\langle u, v \rangle$  and so the second coordinate is the vector  $u \times v \in V$  that satisfies (10.24). The map  $V \times V \rightarrow V: (u, v) \mapsto u \times v$  is obviously bilinear and it is skew symmetric because  $\gamma(u)\gamma(v) + \gamma(v)\gamma(u) = -2\langle u, v \rangle 1$  by (10.20). It satisfies (2.3) and (2.10) because

$$\begin{aligned} \langle u, u \times v \rangle &= \langle \gamma(u)s, \gamma(u \times v)s \rangle = \langle \gamma(u)s, \gamma(u)\gamma(v)s + \langle u, v \rangle s \rangle = 0, \\ \gamma(u \times (u \times v))s &= \gamma(u)\gamma(u \times v)s = \gamma(u)(\gamma(u)\gamma(v)s + \langle u, v \rangle s) \\ &= \gamma(\langle u, v \rangle u - |u|^2 v)s. \end{aligned}$$

for all  $u, v \in V$ . Hence, it is a cross product by Lemma 2.9. That it is compatible with the orientation can be proved by choosing a standard basis as in Example 2.15. This proves Theorem 10.22.  $\square$

We close this section with some useful identities.

**Lemma 10.26.** *Fix a spin structure  $\gamma: V \rightarrow \text{End}(S)$  that is compatible with the orientation and a unit vector  $s \in S$ , let  $V \times V \rightarrow V: (u, v) \mapsto u \times v$  be the cross product determined by (10.24), and let  $\Xi: \mathbf{R} \times V \rightarrow S$  be the isomorphism in (10.25). Then the following hold:*

(i) *The spin structure  $\gamma$  is isomorphic to the spin structure in (10.23) via  $\Xi$ , i.e., for all  $\lambda \in \mathbf{R}$  and all  $u, v \in V$ , we have*

$$(10.27) \quad \Xi^{-1}\gamma(u)\Xi(\lambda, v) = (-\langle u, v \rangle, \lambda u + u \times v)$$

(ii) *For all  $u, v, w \in V$  we have*

$$(10.28) \quad \begin{aligned} & \gamma([u, v, w])s + \phi(u, v, w)s + \gamma(u)\gamma(v)\gamma(w)s \\ &= -\langle v, w \rangle\gamma(u)s + \langle w, u \rangle\gamma(v)s - \langle u, v \rangle\gamma(w)s. \end{aligned}$$

(iii) *The associative calibration  $\phi \in \Lambda^3 V^*$  is given by*

$$(10.29) \quad \phi(u, v, w) = -\langle s, \gamma(u)\gamma(v)\gamma(w)s \rangle$$

*and the coassociative calibration  $\psi = *\phi \in \Lambda^4 V^*$  is given by*

$$(10.30) \quad \begin{aligned} \psi(u, v, w, x) &= -\langle s, \gamma(u)\gamma(v)\gamma(w)\gamma(x)s \rangle \\ &+ \langle v, w \rangle\langle u, x \rangle - \langle w, u \rangle\langle v, x \rangle + \langle u, v \rangle\langle w, x \rangle. \end{aligned}$$

*Proof.* Part ((i)) follows from (10.24) by direct calculation. By ((i)) the second displayed formula in the proof of Theorem 10.22 with  $\mu = 0$  can be expressed as

$$\begin{aligned} & \gamma(u)\gamma(v)\gamma(w)s + \langle u, v \rangle\gamma(w)s \\ &= \gamma(u \times v)\gamma(w)s - 2\gamma([u, v, w])s \\ &= -2\langle u \times v, w \rangle s - 2\gamma([u, v, w])s - \gamma(w)\gamma(u \times v)s \\ &= -2\phi(u, v, w)s - 2\gamma([u, v, w])s - \gamma(w)\gamma(u)\gamma(v)s - \langle u, v \rangle\gamma(w)s \\ &= -2\phi(u, v, w)s - 2\gamma([u, v, w])s \\ &\quad + \gamma(u)\gamma(w)\gamma(v)s + 2\langle w, u \rangle\gamma(v)s - \langle u, v \rangle\gamma(w)s \\ &= -2\phi(u, v, w)s - 2\gamma([u, v, w])s \\ &\quad - \gamma(u)\gamma(v)\gamma(w)s - 2\langle v, w \rangle\gamma(u)s + 2\langle w, u \rangle\gamma(v)s - \langle u, v \rangle\gamma(w)s \end{aligned}$$

for all  $u, v, w \in V$  and this proves ((ii)). Part ((iii)) follows from ((ii)) by taking the inner product with  $s$ , respectively with  $\gamma(x)s$  (see Lemma 4.8). This proves Lemma 10.26.  $\square$



## 11 Octonions and complex linear algebra

Let  $W$  be a  $2n$ -dimensional real vector space. An  $SU(n)$ -structure on  $W$  is a triple  $(\omega, J, \theta)$  consisting of a nondegenerate 2-form  $\omega \in \Lambda^2 W^*$ , an  $\omega$ -compatible complex structure  $J: W \rightarrow W$  (so that  $\langle \cdot, \cdot \rangle := \omega(\cdot, J\cdot)$  is an inner product), and a complex multi-linear map  $\theta: W^n \rightarrow \mathbb{C}$  which has norm  $2^{n/2}$  with respect to the metric determined by  $\omega$  and  $J$ . The archetypal example is  $W = \mathbb{C}^n$  with the standard symplectic form

$$\omega := \sum_j dx_j \wedge dy_j,$$

the standard complex structure  $J := i$ , and the standard  $(n, 0)$ -form

$$\theta := dz_1 \wedge \cdots \wedge dz_n.$$

In this section we examine the relation between  $SU(3)$ -structures and cross products and between  $SU(4)$ -structures and triple cross products. We also explain the decompositions of Theorem 8.5 and Theorem 9.8 in this setting.

**Theorem 11.1.** *Let  $W$  be a 6-dimensional real vector space equipped with an  $SU(3)$ -structure  $(\omega, J, \theta)$ . Then the space  $V := \mathbb{R} \oplus W$  carries a natural cross product defined by*

$$(11.2) \quad v \times w := (\omega(v_1, w_1), v_0 J w_1 - w_0 J v_1 + v_1 \times_\theta w_1)$$

for  $u = (u_0, u_1), v = (v_0, v_1) \in \mathbb{R} \oplus W$ , where  $v_1 \times_\theta w_1 \in V$  is defined by  $\langle u_1, v_1 \times_\theta w_1 \rangle := \operatorname{Re} \theta(u_1, v_1, w_1)$  for all  $u_1 \in W$ . The associative calibration of this cross product is

$$(11.3) \quad \phi := e^0 \wedge \omega + \operatorname{Re} \theta \in \Lambda^3 V^*$$

and the coassociative calibration is

$$(11.4) \quad \psi := * \phi = \frac{1}{2} \omega \wedge \omega - e^0 \wedge \operatorname{Im} \theta \in \Lambda^4 V^*.$$

Moreover, the subspaces  $\Lambda_d^k \subset \Lambda^k V^*$  in Theorem 8.5 are given by

$$\begin{aligned} \Lambda_7^2 &= \mathbb{R} \omega \oplus \{e^0 \wedge u^* - i(u) \operatorname{Im} \theta : u \in W\}, \\ \Lambda_{14}^2 &= \{\tau - e^0 \wedge *_W(\tau \wedge \operatorname{Re} \theta) : \tau \in \Lambda^2 W^*, \tau \wedge \omega \wedge \omega = 0\}, \\ \Lambda_7^3 &= \mathbb{R} \cdot \operatorname{Im} \theta \oplus \{u^* \wedge \omega - e^0 \wedge i(u) \operatorname{Re} \theta : u \in W\}, \\ \Lambda_{27}^3 &= \mathbb{R} \cdot (3 \operatorname{Re} \theta - 4 e^0 \wedge \omega) \\ &\quad \oplus \{e^0 \wedge \tau : \tau \in \Lambda^{1,1} W^*, \tau \wedge \omega \wedge \omega = 0\} \\ &\quad \oplus \{\beta \in \Lambda^{2,1} W^* + \Lambda^{1,2} W^* : \beta \wedge \omega = 0\} \\ &\quad \oplus \{u^* \wedge \omega + e^0 \wedge i(u) \operatorname{Re} \theta : u \in W\}. \end{aligned}$$

*Proof.* For  $v, w \in W$  we define  $\alpha_{v,w} \in \Lambda^1 W^*$  by  $\alpha_{v,w} := \text{Re } \theta(\cdot, v, w)$ . Then  $|\alpha_{v,w}| = |\theta(u, v, w)| = |v||w|$  whenever  $u, Ju, v, Jv, w, Jw$  are pairwise orthogonal and  $|u| = 1$ . This implies

$$(11.5) \quad |\alpha_{v,w}|^2 + \omega(v, w)^2 + \langle v, w \rangle^2 = |v|^2 |w|^2$$

for all  $v, w \in W$ . (Add to  $w$  a suitable linear combination of  $v$  and  $Jv$ .) It follows from (11.5) by direct computation that the formula (11.2) defines a cross product on  $\mathbf{R} \times W$ . By (11.2) and (11.3), we have  $\phi(u, v, w) = \langle u, v \times w \rangle$  so that  $\phi$  is the associative calibration of (11.2) as claimed. That  $\phi$  is compatible with the orientation of  $\mathbf{R} \oplus W$  follows from the fact that  $\iota(e_0)\phi = \omega$  and  $\omega \wedge \text{Re } \theta = 0$  so that  $\iota(e_0)\phi \wedge \iota(e_0)\phi \wedge \phi = e^0 \wedge \omega^3 = 6\text{vol}$ . The formula (11.4) for  $\psi := *\phi$  follows from the fact that  $\omega \wedge \theta = 0$  and  $\text{Im } \theta = *\text{Re } \theta$  so that  $\text{Re } \theta \wedge \text{Im } \theta = 4\text{vol}_W$ . It remains to examine the subspaces  $\Lambda_d^k \subset \Lambda^k V^*$  introduced in Theorem 8.5.

The formula for  $\Lambda_7^2$  follows directly from the formula for  $\phi$  in (11.3) and the fact that  $\Lambda_7^2$  consists of all 2-forms  $\iota(v)\phi$  for  $v \in \mathbf{R} \oplus W$ . With  $v = (1, 0)$  we obtain  $\iota(v)\phi = \omega$  and with  $v = (0, Ju)$  we obtain

$$\iota(v)\phi = -e^0 \wedge \iota(Ju)\omega + \iota(Ju)\text{Re } \theta = e^0 \wedge u^* - \iota(u)\text{Im } \theta.$$

Similarly, the formula for  $\Lambda_7^3$  follows directly from the formula for  $\psi$  in (11.3) and the fact that  $\Lambda_7^3$  consists of all 3-forms  $\iota(v)\psi$  for  $v \in \mathbf{R} \oplus W$ . With  $v = (-1, 0)$  we obtain  $\iota(v)\psi = \text{Im } \theta$  and with  $v = (0, -Ju)$  we obtain

$$\iota(v)\psi = -(\iota(Ju)\omega) \wedge \omega - e^0 \wedge \iota(Ju)\text{Im } \theta = u^* \wedge \omega - e^0 \wedge \iota(u)\text{Re } \theta.$$

To prove the formula for  $\Lambda_{14}^2$  we choose  $\alpha \in \Lambda^1 W^*$  and  $\tau \in \Lambda^2 W^*$ . Then  $\tau + e^0 \wedge \alpha \in \Lambda_{14}^2$  if and only if  $(\tau + e^0 \wedge \alpha) \wedge \psi = 0$ . By (11.4), we have

$$\begin{aligned} (e^0 \wedge \alpha + \tau) \wedge \psi &= (e^0 \wedge \alpha + \tau) \wedge \left( \frac{1}{2}\omega \wedge \omega - e^0 \wedge \text{Im } \theta \right) \\ &= e^0 \wedge \left( \frac{1}{2}\omega \wedge \omega \wedge \alpha - \tau \wedge \text{Im } \theta \right) + \frac{1}{2}\tau \wedge \omega \wedge \omega. \end{aligned}$$

The expression on the right vanishes if and only if  $\tau \wedge \omega \wedge \omega = 0$  and  $\omega \wedge \omega \wedge \alpha = 2\text{Im } \theta \wedge \tau$ . Since  $\alpha \circ J = \frac{1}{2} *_W(\omega \wedge \omega \wedge \alpha)$ , the last equation is equivalent to  $\alpha = -(*_W(\text{Im } \theta \wedge \tau)) \circ J = -*_W(\text{Re } \theta \wedge \tau)$ .

To prove the formula for  $\Lambda_{27}^3$  we choose  $\tau \in \Lambda^2 W^*$  and  $\beta \in \Lambda^3 W^*$ . Then

$$\begin{aligned} (\beta + e^0 \wedge \tau) \wedge \phi &= e^0 \wedge (\tau \wedge \text{Re } \theta - \beta \wedge \omega) + \beta \wedge \text{Re } \theta, \\ (\beta + e^0 \wedge \tau) \wedge \psi &= e^0 \wedge \left( \frac{1}{2}\tau \wedge \omega \wedge \omega + \beta \wedge \text{Im } \theta \right). \end{aligned}$$

Both terms vanish simultaneously if and only if

$$\tau \wedge \text{Re } \theta = \beta \wedge \omega, \quad \beta \wedge \text{Re } \theta = 0, \quad \beta \wedge \text{Im } \theta = -\frac{1}{2}\tau \wedge \omega \wedge \omega.$$

These equations hold in the following four cases.

- (a)  $\beta = 3\lambda \text{Re } \theta$  and  $\tau = -4\lambda \omega$  with  $\lambda \in \mathbf{R}$ .

- (b)  $\beta = 0$  and  $\tau \in \Lambda^{1,1}W^*$  with  $\tau \wedge \omega \wedge \omega = 0$ .
- (c)  $\beta \in \Lambda^{1,2}W^* + \Lambda^{2,1}W^*$  with  $\beta \wedge \omega = 0$  and  $\tau = 0$ .
- (d)  $\beta = u^* \wedge \omega$  and  $\tau = \iota(u)\text{Re } \theta$  with  $u \in W$ .

In case ((d)) this follows from  $(\iota(u)\text{Re } \theta) \wedge \text{Re } \theta = 2 * (Ju)^* = u^* \wedge \omega \wedge \omega$ . The subspaces determined by these conditions are pairwise orthogonal and have dimensions 1 in case ((a)), 8 in case ((b)), 12 in case ((c)), and 6 in case ((d)). Thus, for dimensional reasons, their direct sum is the space  $\Lambda_{27}^3$ . This proves Theorem 11.1.  $\square$

**Theorem 11.6.** *Let  $W$  be an 8-dimensional real vector space equipped with an  $SU(4)$ -structure  $(\Omega, J, \Theta)$ . Then the alternating multi-linear map*

$$\Phi := \frac{1}{2}\Omega \wedge \Omega + \text{Re } \Theta \in \Lambda^4 W^*$$

*is a positive Cayley calibration, compatible with the complex orientation and the inner product. Moreover, in the notation of Theorem 9.8, we have*

$$\begin{aligned} \Lambda_7^2 &= \mathbf{R}\Omega \oplus \{ \tau \in \Lambda^{2,0} + \Lambda^{0,2} : *(\text{Re } \Theta \wedge \tau) = 2\tau \}, \\ \Lambda_{21}^2 &= \{ \tau \in \Lambda^{1,1} : \tau \wedge \Omega^3 = 0 \} \oplus \{ \tau \in \Lambda^{2,0} + \Lambda^{0,2} : *(\text{Re } \Theta \wedge \tau) = -2\tau \}. \end{aligned}$$

*Proof.* We prove that  $\Phi$  is compatible with the inner product  $\langle \cdot, \cdot \rangle := \Omega(\cdot, J\cdot)$  and the complex orientation on  $W$ . The associated volume form is  $\frac{1}{24}\Omega^4$ . Hence, by Lemma 7.4, we must show that

$$(11.7) \quad \omega_{u,v} \wedge \omega_{u,v} \wedge \Phi = \frac{1}{4}|u \wedge v|^2 \Omega^4$$

for all  $u, v \in W$ , where

$$\omega_{u,v} := \iota(v)\iota(u)\Phi = \Omega(u, v)\Omega - \iota(u)\Omega \wedge \iota(v)\Omega + \iota(v)\iota(u)\text{Re } \Theta.$$

To see this, we observe that

$$(11.8) \quad \iota(v)\iota(u)\text{Re } \Theta \wedge \iota(u)\Omega \wedge \iota(v)\Omega \wedge \Omega^2 = (\iota(v)\iota(u)\text{Re } \Theta)^2 \wedge \text{Re } \Theta = 0.$$

If  $v = Ju$ , then (11.8) follows from the fact that  $\iota(u)\Omega \wedge \iota(Ju)\Omega$  is a  $(1, 1)$ -form and  $\iota(Ju)\iota(u)\text{Re } \Theta = 0$ . If  $v$  is orthogonal to  $u$  and  $Ju$ , then (11.8) follows from the explicit formulas in Remark 11.9 below. The general case follows from the special cases by adding to  $v$  a linear combination of  $u$  and  $Ju$ . Using (11.8) and the identity  $\iota(u)\Omega \wedge \iota(v)\Omega \wedge \Omega^3 = \frac{1}{4}\Omega(u, v)\Omega^4$  we obtain

$$\begin{aligned} \omega_{u,v} \wedge \omega_{u,v} \wedge \Phi &= \frac{1}{2}\Omega(u, v)^2 \Omega^4 + \frac{1}{2}\iota(v)\iota(u)\text{Re } \Theta \wedge \iota(v)\iota(u)\text{Re } \Theta \wedge \Omega^2 \\ &\quad - \Omega(u, v)\iota(u)\Omega \wedge \iota(v)\Omega \wedge \Omega^3 \\ &\quad - 2\iota(v)\iota(u)\text{Re } \Theta \wedge \iota(u)\Omega \wedge \iota(v)\Omega \wedge \text{Re } \Theta \\ &= \frac{1}{4}\Omega(u, v)^2 \Omega^4 + \frac{1}{2}\iota(v)\iota(u)\text{Re } \Theta \wedge \iota(v)\iota(u)\text{Re } \Theta \wedge \Omega^2 \\ &\quad - 2\iota(v)\iota(u)\text{Re } \Theta \wedge \iota(u)\Omega \wedge \iota(v)\Omega \wedge \text{Re } \Theta. \end{aligned}$$

One can now verify equation (11.7) by first considering the case  $v = Ju$  and using  $\iota(Ju)\iota(u)\text{Re } \Theta = 0$  (here the last two terms on the right vanish). Next one can verify (11.7) in the case where  $v$  is orthogonal to  $u$  and  $Ju$  by using the  $\text{SU}(4)$ -symmetry and the explicit formulas in Remark 11.9 below (here the first term on the right vanishes). Finally, one can reduce the general case to the special cases by adding to  $v$  a linear combination of  $u$  and  $Ju$ .

Now recall from Theorem 9.8 that, for every  $\tau \in \Lambda^2 W^*$ , we have

$$\begin{aligned} \tau \in \Lambda_7^2 & \iff *(\Phi \wedge \tau) = 3\tau, \\ \tau \in \Lambda_{21}^2 & \iff *(\Phi \wedge \tau) = -\tau. \end{aligned}$$

Since  $\text{Re } \Theta \wedge \Omega = 0$ , we have

$$*(\Phi \wedge \Omega) = \frac{1}{2} *(\Omega \wedge \Omega \wedge \Omega) = 3\Omega$$

and, hence,  $\text{R}\Omega \subset \Lambda_7^2$ . Moreover,  $\Lambda_{21}^2$  is the image of the Lie algebra  $\mathfrak{g}$  of  $G(W, \Phi)$  under the isomorphism

$$\mathfrak{so}(W) \rightarrow \Lambda^2 W^* : \xi \mapsto \omega_\xi$$

given by  $\omega_\xi(u, v) := \langle u, \xi v \rangle$ . The image of  $\mathfrak{su}(W)$  under this inclusion is the subspace  $\{\tau \in \Lambda^{1,1} W^* : \tau \wedge \Omega^3 = 0\}$  and, since  $\text{SU}(W) \subset G(W, \Phi)$ , this space is contained in  $\Lambda_{21}^2$ . By considering the standard structure on  $\mathbb{C}^4$  we obtain

$$*(\Omega \wedge \Omega \wedge \tau) = 2\tau$$

for  $\tau \in \Lambda^{2,0} + \Lambda^{0,2}$ . Hence,

$$*(\Phi \wedge \tau) = \frac{1}{2} *(\Omega \wedge \Omega \wedge \tau) + *(\text{Re } \Theta \wedge \tau) = \tau + *(\text{Re } \Theta \wedge \tau).$$

for  $\tau \in \Lambda^{2,0} + \Lambda^{0,2}$ . Since the operator  $\tau \mapsto *(\text{Re } \Theta \wedge \tau)$  has eigenvalues  $\pm 2$  on the subspace  $\Lambda^{2,0} + \Lambda^{0,2}$  the result follows.  $\square$

*Remark 11.9.* If  $(\Omega, J, \Theta)$  is the standard  $\text{SU}(4)$ -structure on  $W = \mathbb{C}^4$  with coordinates  $(x_1 + iy_1, \dots, x_4 + iy_4)$ , then

$$\begin{aligned} \text{Re } \Theta &= dx_1 \wedge dx_2 \wedge dx_3 \wedge dx_4 + dy_1 \wedge dy_2 \wedge dy_3 \wedge dy_4 \\ &\quad - dx_1 \wedge dx_2 \wedge dy_3 \wedge dy_4 - dy_1 \wedge dy_2 \wedge dx_3 \wedge dx_4 \\ &\quad - dx_1 \wedge dy_2 \wedge dx_3 \wedge dy_4 - dy_1 \wedge dx_2 \wedge dy_3 \wedge dx_4 \\ &\quad - dx_1 \wedge dy_2 \wedge dy_3 \wedge dx_4 - dy_1 \wedge dx_2 \wedge dx_3 \wedge dy_4 \end{aligned}$$

and

$$\begin{aligned} \frac{1}{2} \Omega \wedge \Omega &= dx_1 \wedge dy_1 \wedge dx_2 \wedge dy_2 + dx_3 \wedge dy_3 \wedge dx_4 \wedge dy_4 \\ &\quad + dx_1 \wedge dy_1 \wedge dx_3 \wedge dy_3 + dx_2 \wedge dy_2 \wedge dx_4 \wedge dy_4 \\ &\quad + dx_1 \wedge dy_1 \wedge dx_4 \wedge dy_4 + dx_2 \wedge dy_2 \wedge dx_3 \wedge dy_3. \end{aligned}$$

These forms are self-dual. The first assertion in Theorem 11.6 also follows from the fact that the isomorphism  $\mathbf{R}^8 \rightarrow \mathbf{C}^4$  which sends  $e_0, \dots, e_7$  to

$$\partial/\partial x_1, \partial/\partial y_1, \partial/\partial x_2, \partial/\partial y_2, \partial/\partial x_3, -\partial/\partial y_3, -\partial/\partial x_4, \partial/\partial y_4$$

pulls back  $\Phi$  to the standard form  $\Phi_0$  in Example 5.32.

**Theorem 11.10.** *Let  $V$  be a 7-dimensional real Hilbert space equipped with a cross product and its induced orientation. Let  $\phi \in \Lambda^3 V^*$  be the associative calibration and  $\psi := *_V \phi \in \Lambda^4 V^*$  the coassociative calibration. Denote  $W := \mathbf{R} \oplus V$  and define  $\Phi \in \Lambda^4 W^*$  by*

$$\Phi := e^0 \wedge \phi + \psi.$$

*Then  $\Phi$  is a positive Cayley-form on  $W$  and, in the notation of Theorem 8.5 and Theorem 9.8, we have*

$$\begin{aligned} \Lambda_7^2 W^* &= \{e^0 \wedge *_V(\psi \wedge \tau) + 3\tau : \tau \in \Lambda_7^2 V^*\}, \\ \Lambda_{21}^2 W^* &= \{e^0 \wedge *_V(\psi \wedge \tau) - \tau : \tau \in \Lambda^2 V^*\}, \\ \Lambda_8^3 W^* &= \mathbf{R}\phi \oplus \{i(u)\psi - e^0 \wedge i(u)\phi : u \in V\}, \\ \Lambda_{48}^3 W^* &= \Lambda_{27}^3 V^* \oplus \{e^0 \wedge \tau : \tau \in \Lambda_{14}^2 V^*\} \oplus \{3i(u)\psi + 4e^0 \wedge i(u)\phi : u \in V\}, \\ \Lambda_7^4 W^* &= \{e^0 \wedge i(u)\psi - u^* \wedge \phi : u \in V\}, \\ \Lambda_{27}^4 W^* &= \{e^0 \wedge \beta + *_V \beta : \beta \in \Lambda_{27}^3 V^*\}, \\ \Lambda_{35}^4 W^* &= \{e^0 \wedge \beta - *_V \beta : \beta \in \Lambda^3 V^*\}. \end{aligned}$$

*Proof.* By Theorem 5.4,  $W$  is a normed algebra with product (5.6). Hence, by Theorem 5.20,  $W$  carries a triple cross product (5.26) and  $\Phi$  is the associated Cayley calibration. By Theorem 7.8,  $\Phi$  is a Cayley form. By (5.24) the triple cross product on  $W$  satisfies (6.11) with  $\varepsilon = +1$  and so is positive (Definition 6.12). Thus, by Theorem 7.12,  $\Phi$  is positive.

Recall that, by Theorem 9.8,  $\Lambda_7^2 W^*$  and  $\Lambda_{21}^2 W^*$  are the eigenspaces of the operator  $*_W(\Phi \wedge \cdot)$  with eigenvalues 3 and  $-1$  and, by Theorem 8.5,  $\Lambda_7^2 V^*$  and  $\Lambda_{14}^2 V^*$  are the eigenspaces of the operator  $*_V(\phi \wedge \cdot)$  with eigenvalues 2 and  $-1$ . With  $\alpha \in \Lambda^1 V^*$  and  $\tau \in \Lambda^2 V^*$  we have

$$\begin{aligned} *_W(\Phi \wedge (e^0 \wedge \alpha + \tau)) &= *_W(e^0 \wedge (\psi \wedge \alpha + \phi \wedge \tau) + \psi \wedge \tau) \\ &= e^0 \wedge *_V(\psi \wedge \tau) + *_V(\phi \wedge \tau) + *_V(\psi \wedge \alpha) \end{aligned}$$

and, hence,

$$e^0 \wedge \alpha + \tau \in \Lambda_7^2 W^* \iff \begin{cases} *_V(\psi \wedge \tau) = 3\alpha, \\ *_V(\phi \wedge \tau) + *_V(\psi \wedge \alpha) = 3\tau. \end{cases}$$

Since  $*_V(\psi \wedge *_V(\psi \wedge \tau)) = \tau + *_V(\phi \wedge \tau)$ , by equation (4.60) in Lemma 4.37, we deduce that  $e^0 \wedge \alpha + \tau \in \Lambda_7^2 W^*$  if and only if  $*_V(\phi \wedge \tau) = 2\tau$  and  $3\alpha = *_V(\psi \wedge \tau)$ . This proves the formula

for  $\Lambda_7^2 W^*$ . Likewise, we have  $e^0 \wedge \alpha + \tau \in \Lambda_{21}^2 W^*$  if and only if  $\alpha = -*_V(\psi \wedge \tau)$ . In this case the second equation  $*_V(\phi \wedge \tau) + *_V(\psi \wedge \alpha) = -\tau$  is automatically satisfied.

The formula for the subspace  $\Lambda_8^3 W^*$  follows from the fact that it consists of all 3-forms of the form  $\iota(u)\Phi$  for  $u \in W$  (see Theorem 9.8). Now let  $\tau \in \Lambda^2 V^*$  and  $\beta \in \Lambda^3 V^*$ . Then  $e^0 \wedge \tau + \beta \in \Lambda_{48}^3 W^*$  if and only if

$$0 = \Phi \wedge (e^0 \wedge \tau + \beta) = e^0 \wedge (\phi \wedge \beta + \psi \wedge \tau) + \psi \wedge \beta$$

(see again Theorem 9.8). Hence,

$$e^0 \wedge \tau + \beta \in \Lambda_{48}^3 W^* \iff \begin{cases} \phi \wedge \beta + \psi \wedge \tau = 0, \\ \psi \wedge \beta = 0. \end{cases}$$

These conditions are satisfied in the following three cases.

- (a)  $\beta = 0$  and  $\psi \wedge \tau = 0$  (or equivalently  $\tau \in \Lambda_{14}^2 V^*$ ).
- (b)  $\tau = 0$  and  $\phi \wedge \beta = 0$  and  $\psi \wedge \beta = 0$  (or equivalently  $\beta \in \Lambda_{27}^3 V^*$ ).
- (c)  $\beta = 3\iota(u)\psi$  and  $\tau = 4\iota(u)\phi$  with  $u \in V$ .

In the case ((a)) this follows from the equations  $\psi \wedge \iota(u)\psi = 0$  and

$$(11.11) \quad 3\phi \wedge \iota(u)\psi + 4\psi \wedge \iota(u)\phi = 0$$

for  $u \in V$ . This last identity can be verified by direct computation using the standard structure on  $V = \mathbf{R}^7$  with

$$\begin{aligned} \phi_0 &= e^{123} - e^{145} - e^{167} - e^{246} + e^{257} - e^{347} - e^{356} \quad \text{and} \\ \psi_0 &= -e^{1247} - e^{1256} + e^{1346} - e^{1357} - e^{2345} - e^{2367} + e^{4567}, \end{aligned}$$

and  $u := e_1$  (see the proof of Lemma 4.8). In this case

$$\iota(u)\phi_0 = e^{23} - e^{45} - e^{67}, \quad \iota(u)\psi_0 = -e^{247} - e^{256} + e^{346} - e^{357}$$

and so

$$\psi_0 \wedge \iota(u)\phi_0 = 3e^{234567}, \quad \phi_0 \wedge \iota(u)\psi_0 = -4e^{234567}.$$

This proves (11.11). The subspaces determined by the above conditions are pairwise orthogonal and have dimensions 14 in case ((a)), 27 in case ((b)), and 7 in case ((c)). Thus, for dimensional reasons, their direct sum is  $\Lambda_{48}^3 W^*$ .

Now  $\Lambda_7^4 W^*$  is the tangent space of the  $\text{SO}(W)$ -orbit of  $\Phi$ . For  $u \in V$  define the endomorphism  $A_u \in \mathfrak{so}(V)$  by  $A_u v := u \times v$ . Then, by Remark 4.16, we have  $\mathcal{L}_{A_u}\phi = 3\iota(u)\psi$  and  $\mathcal{L}_{A_u}\psi = -3u^* \wedge \phi$ . Hence

$$e^0 \wedge \iota(u)\psi - u^* \wedge \phi \in \Lambda_7^4 W^*$$

for all  $u \in V$ . Since  $\Lambda_7^4 W^*$  has dimension 7, each element of  $\Lambda_7^4 W^*$  has this form.

Next we recall that  $\Lambda_{27}^4 W^*$  is contained in the subspace of self-dual 4-forms, and every self-dual 4-form can be written as  $e^0 \wedge \beta + *_V \beta$  with  $\beta \in \Lambda^3 V^*$ . By Theorem 9.8 we have

$$\begin{aligned} e^0 \wedge \beta + *_V \beta \in \Lambda_{27}^4 W^* &\iff \begin{cases} \beta \wedge *_V \phi + *_V \beta \wedge *_V \psi = 0, \\ \beta \wedge *_V (\iota(u)\psi) = *_V \beta \wedge *_V (u^* \wedge \phi) \quad \forall u, \end{cases} \\ &\iff \psi \wedge \beta = 0, \quad \phi \wedge \beta = 0 \\ &\iff \beta \in \Lambda_{27}^3 V^*. \end{aligned}$$

Here the last equivalence follows from Theorem 8.5. This proves the formula for  $\Lambda_{27}^4 W^*$ . The formula for  $\Lambda_{35}^4 W^*$  follows from the fact that this subspace consists of the anti-self-dual 4-forms. This proves Theorem 11.10.  $\square$

## 12 Donaldson–Thomas theory

The motivation for the discussion in these notes came from our attempt to understand Riemannian manifolds with special holonomy in dimensions six, seven, and eight [Bry87; HL82; Joy00] and the basic setting of Donaldson–Thomas theory on such manifolds [DT98; DS11].

### 12.1 Manifolds with special holonomy

**Definition 12.1.** Let  $Y$  be a smooth 7-manifold and  $X$  a smooth 8-manifold. A  $G_2$ -**structure** on  $Y$  is a nondegenerate 3-form  $\phi \in \Omega^3(Y)$ ; in this case the pair  $(Y, \phi)$  is called an **almost  $G_2$ -manifold**. An  $\text{Spin}(7)$ -**structure** on  $X$  is a 4-form  $\Phi \in \Omega^4(X)$  which restricts to a positive Cayley-form on each tangent space; in this case the pair  $(X, \Phi)$  is called an **almost  $\text{Spin}(7)$ -manifold**.

*Remark 12.2.* An almost  $G_2$ -manifold  $(Y, \phi)$  admits a unique Riemannian metric and a unique orientation that, on each tangent space, are compatible with the nondegenerate 3-form  $\phi$  as in Definition 3.1 (see Theorem 3.2). Thus each tangent space of  $Y$  carries a cross product

$$T_y Y \times T_y Y \rightarrow T_y Y: (u, v) \mapsto u \times v$$

such that

$$\phi(u, v, w) = \langle u \times v, w \rangle$$

for all  $u, v, w \in T_y Y$ . Moreover, Theorem 8.5 gives rise to a natural splitting of the space  $\Omega^k(Y)$  of  $k$ -forms on  $Y$  for each  $k$ .

*Remark 12.3.* An almost  $\text{Spin}(7)$ -manifold  $(X, \Phi)$  admits a unique Riemannian metric that, on each tangent space, is compatible with the Cayley-form  $\Phi$  as in Definition 7.1 (see Theorem 7.8). Moreover, the positivity hypothesis asserts that the 8-forms

$$\Phi \wedge \Phi, \quad \iota(v)\iota(u)\Phi \wedge \iota(v)\iota(u)\Phi \wedge \Phi$$

induce the same orientation whenever  $u, v \in T_x X$  are linearly independent (see Definition 7.11). Thus each tangent space of  $X$  carries a positive triple cross product

$$T_x X \times T_x X \times T_x X \rightarrow T_x X: (u, v, w) \mapsto u \times v \times w$$

such that

$$\Phi(\xi, u, v, w) = \langle \xi, u \times v \times w \rangle$$

for all  $\xi, u, v, w \in T_x X$ . Moreover, Theorem 9.8 gives rise to a natural splitting of the space  $\Omega^k(X)$  of  $k$ -forms on  $X$  for each  $k$ .

Every spin 7-manifold admits a  $G_2$ -structure [LM89, Theorem 10.6]; concrete examples are  $S^7$  (considered as unit sphere in the octonions),  $S^1 \times Z$  where  $Z$  is a Calabi–Yau 3-fold and various resolutions of  $T^7/\Gamma$  where  $\Gamma$  is an appropriate finite group, see [Joy00]. A spin 8-manifold  $X$  admits a Spin(7)-structure if and only if either  $\chi(\mathcal{S}^+) = 0$  or  $\chi(\mathcal{S}^-) = 0$  [LM89, Theorem 10.7]; concrete examples can be obtained from almost  $G_2$ -manifolds, Calabi–Yau 4-folds and various resolutions of  $T^8/\Gamma$ .

**Definition 12.4.** An almost  $G_2$ -manifold  $(Y, \phi)$  is called a  $G_2$ -**manifold** if  $\phi$  is harmonic with respect to the Riemannian metric in Remark 12.2 and we say that  $\phi$  is **torsion-free**. An almost Spin(7)-manifold  $(X, \Phi)$  is called a Spin(7)-**manifold** if  $\Phi$  is closed (and, hence, harmonic with respect to the Riemannian metric in Remark 12.3) and we say that  $\Phi$  is **torsion-free**.

*Remark 12.5.* Let  $(Y, \phi)$  be an almost  $G_2$ -manifold equipped with the metric of Remark 12.2. Then  $\phi$  is harmonic if and only if  $\phi$  is parallel with respect to the Levi–Civita connection and hence is preserved by parallel transport. It follows that the holonomy of a  $G_2$ -manifold is contained in the group  $G_2$  [FG82]. It also follows that the splitting of Theorem 8.5 is preserved by the Hodge Laplace operator and hence passes on to the de Rham cohomology. Exactly the same holds for an almost Spin(7)-manifold  $(X, \Phi)$  equipped with the metric of Remark 12.3. The 4-form  $\Phi$  is closed (and hence harmonic) if and only if it is parallel with respect to the Levi–Civita connection [Bry87]. Thus the holonomy of a Spin(7) manifold is contained in Spin(7) and the splitting of its spaces of differential forms in Theorem 9.8 descends to the de Rham cohomology.

*Remark 12.6 (Construction methods).* Examples of manifolds with torsion-free  $G_2$ - or Spin(7)-structures are much harder to construct. There are however a number of construction techniques (all based on gluing methods): Joyce’s generalized Kummer construction for  $G_2$ - and Spin(7)-manifolds [Joy96b; Joy96c; Joy96a; Joy00] based on resolving orbifolds of the form  $T^7/\Gamma$  and  $T^8/\Gamma$ ; a method of Joyce’s for constructing Spin(7)-manifolds from real singular Calabi–Yau 4-folds [Joy99]; and the twisted connected sum construction invented by Donaldson, pioneered by Kovalev [Kov03], and extended and improved by Kovalev–Lee [KL11] and Corti–Haskins–Nordström–Pacini [CHNP13; CHNP15].

## 12.2 The gauge theory picture

We close these notes with a brief review of certain partial differential equations arising in Donaldson–Thomas theory [DT98]. We first discuss the gauge theoretic setting. Let  $(Y, \phi)$  be



a  $G_2$ -manifold with coassociative calibration  $\psi := *\phi$  and  $E \rightarrow Y$  a  $G$ -bundle with compact semi-simple structure group  $G$ . In [DT98] Donaldson and Thomas introduce a  $G_2$ -Chern-Simons functional

$$\mathcal{CS}^\psi : \mathcal{A}(E) \rightarrow \mathbf{R}$$

on the space of connections on  $E$ . The functional depends on the choice of a reference connection  $A_0 \in \mathcal{A}(E)$  satisfying  $F_{A_0} \wedge \psi = 0$  and is given by

$$(12.7) \quad \mathcal{CS}^\psi(A_0 + a) := \frac{1}{2} \int_Y \left( \langle d_{A_0} a \wedge a \rangle + \frac{1}{3} \langle a \wedge [a \wedge a] \rangle \right) \wedge \psi$$

for  $a \in \Omega^1(Y, \text{End}(E))$ . The differential of  $\mathcal{CS}$  has the form

$$\delta \mathcal{CS}^\psi(A)a = \int_N \langle F_A \wedge a \rangle \wedge \psi$$

for  $A \in \mathcal{A}(E)$  and  $a \in T_A \mathcal{A}(E) = \Omega^1(Y, \text{End}(E))$ . Thus a connection  $A$  is a critical point of  $\mathcal{CS}^\psi$  if and only if

$$(12.8) \quad F_A \wedge \psi = 0.$$

By Theorem 8.5 this is equivalent to the equation  $*(F_A \wedge \phi) = -F_A$  and hence to  $\pi_7(F_A) = 0$ . A connection  $A$  that satisfies equation (12.8) is called a  $G_2$ -instanton. As in the case of flat connections on 3-manifolds equation (12.8) becomes elliptic with index zero after augmenting by a suitable gauge fixing condition (which we do not elaborate on here). The negative gradient flow lines of the  $G_2$ -Chern-Simons functional are the 1-parameter families of connections  $\mathbf{R} \rightarrow \mathcal{A}(E) : t \mapsto A(t)$  satisfying the partial differential equation

$$(12.9) \quad \partial_t A = -*(F_A \wedge \psi),$$

where  $F_A = F_{A(t)}$  is understood as the curvature of the connection  $A(t) \in \mathcal{A}(E)$  for a fixed value of  $t$ . For the study of the solutions of (12.9) it is interesting to observe that, by equation (4.60) in Lemma 4.37, every connection  $A$  on  $Y$  satisfies the energy identity

$$\int_Y |F_A|^2 \text{vol}_Y = \int_Y |F_A \wedge \psi|^2 \text{vol}_Y - \int_Y \langle F_A \wedge F_A \rangle \wedge \phi.$$

A smooth solution of (12.9) can also be thought of as connection  $\mathbf{A}$  on the pullback bundle  $\mathbf{E}$  of  $E$  over  $\mathbf{R} \times Y$ . The curvature of this connection is given by

$$F_{\mathbf{A}} = F_A + dt \wedge \partial_t A = F_A - dt \wedge *(F_A \wedge \psi).$$

Hence, it follows from Theorem 9.8 and Theorem 11.10 that  $F_{\mathbf{A}}$  satisfies

$$(12.10) \quad *(F_{\mathbf{A}} \wedge \Phi) = -F_{\mathbf{A}}$$

or, equivalently,  $\pi_7(F_A) = 0$ . Conversely, a connection on  $\mathbf{E}$  satisfying equation (12.10) can be transformed into temporal gauge and hence corresponds to a solution of (12.9). It is interesting to observe that equation (12.10) makes sense over any  $\text{Spin}(7)$ -manifold. Solutions of (12.10) are called  **$\text{Spin}(7)$ -instantons**. This discussion is completely analogous to Floer–Donaldson theory in  $3 + 1$  dimensions. The hope is that one can construct an analogous quantum field theory in dimension  $7 + 1$ . Moreover, as is apparent from Theorem 11.1 and Theorem 11.6, this theory will interact with theories in complex dimensions 3 and 4. The ideas for the real and complex versions of this theory are outlined in [DT98; DS11].

*Remark 12.11.* For construction methods and concrete examples of  $G_2$ -instantons and  $\text{Spin}(7)$ -instantons we refer to [Wal13; SW15; Wal15] and [Tan12; Wal16].

### 12.3 The submanifold picture

There is an analogue of the  $G_2$ -Chern–Simons functional on the space of 3-dimensional submanifolds of  $Y$ , whose critical points are the associative submanifolds of  $Y$  and whose gradient flow lines are Cayley submanifolds of  $\mathbf{R} \times Y$  [DT98]. This is the submanifold part of the conjectural Donaldson–Thomas field theory.

More precisely, let  $(Y, \phi)$  be a  $G_2$ -manifold with coassociative calibration  $\psi = *\phi$  and let  $S$  be a compact oriented 3-manifold without boundary. Denote by  $\mathcal{F}$  the space of smooth embeddings  $f: S \rightarrow Y$  such that  $f^*\phi$  vanishes nowhere. Then the group  $\mathcal{G} := \text{Diff}^+(S)$  of orientation preserving diffeomorphism of  $S$  acts on  $\mathcal{F}$  by composition. The quotient space

$$\mathcal{S} := \mathcal{F} / \mathcal{G}$$

can be identified with the space of oriented 3-dimensional submanifolds of  $Y$  that are diffeomorphic to  $S$  and have the property that the restriction of  $\phi$  to each tangent space is nonzero; the identification sends the equivalence class  $[f]$  of an element  $f \in \mathcal{F}$  to its image  $f(S)$ .

Given  $f \in \mathcal{F}$  the tangent space of  $\mathcal{S}$  at  $[f]$  can be identified with the quotient

$$T_{[f]}\mathcal{S} = \frac{\Omega^0(S, f^*TY)}{\{df \circ \xi : \xi \in \text{Vect}(S)\}}.$$

If  $g \in \mathcal{G}$  is an orientation preserving diffeomorphism of  $S$ , then  $g^*f := f \circ g$  is another representative of the equivalence class  $[f]$  and the two quotient spaces can be naturally identified via  $[\hat{f}] \mapsto [\hat{f} \circ g]$ .

Let us fix an element  $f_0 \in \mathcal{F}$  and denote by  $\tilde{\mathcal{F}}$  the universal cover of  $\mathcal{F}$  based at  $f_0$ . Thus the elements of  $\tilde{\mathcal{F}}$  are equivalence classes of smooth maps  $\tilde{f}: [0, 1] \times S \rightarrow Y$  such that  $\tilde{f}(0, \cdot) = f_0$  and  $\tilde{f}(t, \cdot) =: f_t \in \mathcal{F}$  for all  $t$ . Thus we can think of  $\tilde{f} = \{f_t\}_{0 \leq t \leq 1}$  as a smooth path in  $\mathcal{F}$  starting at  $f_0$ , and two such paths are *equivalent* iff they are smoothly homotopic with fixed endpoints.  $\tilde{\mathcal{F}} \rightarrow \mathcal{F}$  sends  $\tilde{f}$  to  $f := \tilde{f}(1, \cdot)$ . The universal cover of  $\mathcal{S}$  is the quotient

$$\tilde{\mathcal{S}} := \tilde{\mathcal{F}} / \mathcal{G}$$

where  $\tilde{\mathcal{G}}$  denotes the group of smooth isotopies  $[0, 1] \rightarrow \text{Diff}(S): t \mapsto g_t$  starting at the identity. Now the space  $\tilde{\mathcal{F}}$  carries a natural  $\tilde{\mathcal{G}}$ -invariant action functional  $\mathcal{A}: \tilde{\mathcal{F}} \rightarrow \mathbf{R}$  defined by

$$\mathcal{A}(\tilde{f}) := - \int_{[0,1] \times S} \tilde{f}^* \psi = - \int_0^1 \int_S f_t^* (\iota(\partial_t f_t) \psi) dt.$$

This functional is well defined because  $\psi$  is closed and it evidently descends to  $\tilde{\mathcal{S}}$ . Its differential is the 1-form  $\delta\mathcal{A}$  on  $\tilde{\mathcal{F}}$  given by

$$\delta\mathcal{A}(f)\hat{f} = - \int_S f^* (\iota(\hat{f})\psi)$$

This 1-form is  $\mathcal{G}$ -invariant in that  $\delta\mathcal{A}(g^*f)g^*\hat{f} = \delta\mathcal{A}(f)\hat{f}$  and horizontal in that  $\delta\mathcal{A}(f)df\xi = 0$  for  $\xi \in \text{Vect}(S)$ . Hence,  $\delta\mathcal{A}$  descends to a 1-form on  $\mathcal{S}$ .

**Lemma 12.12.** *An element  $[\tilde{f}] = [\{f_t\}] \in \tilde{\mathcal{F}}$  is a critical point of  $\mathcal{A}$  if and only if the image of  $f := f_1: S \rightarrow Y$  is an **associative submanifold** of  $Y$  (that is, each tangent space is an associative subspace).*

*Proof.* We have  $\delta\mathcal{A}(f) = 0$  if and only if  $\psi(\hat{f}(x), df(x)\xi, df(x)\eta, df(x)\zeta) = 0$  for all  $\hat{f} \in \Omega^0(S, f^*TY)$ , all  $x \in S$ , and all  $\xi, \eta, \zeta \in T_xS$ . This means that  $\psi(u, v, w, \cdot) = 0$  for all  $q \in f(S)$  and all  $u, v, w \in T_qf(S)$ . By definition of the coassociative calibration  $\psi$  in Lemma 4.8 this means that  $[u, v, w] = 0$  for all  $u, v, w \in T_qf(S)$  where  $T_qY \times T_qY \times T_qY \rightarrow T_qY: (u, v, w) \mapsto [u, v, w]$  denotes the associator bracket defined by (4.1). By Definition 4.6 this means that  $T_qf(S)$  is an associative subspace of  $T_qY$  for all  $q \in f(S)$ . This proves Lemma 12.12.  $\square$

The tangent space of  $\tilde{\mathcal{F}}$  at  $f$  carries a natural  $L^2$  inner product given by

$$(12.13) \quad \langle \hat{f}_1, \hat{f}_2 \rangle_{L^2} := \int_S \langle \hat{f}_1, \hat{f}_2 \rangle f^* \phi$$

for  $\hat{f}_1, \hat{f}_2 \in \Omega^0(S, f^*TY)$ . This can be viewed as a  $\mathcal{G}$ -invariant metric on  $\tilde{\mathcal{F}}$ .

**Lemma 12.14.** *The gradient of  $\mathcal{A}$  at an element  $f \in \tilde{\mathcal{F}}$  with respect to the inner product (12.13) is given by*

$$\text{grad } \mathcal{A}(f) = \frac{[df \wedge df \wedge df]}{f^* \phi} \in \Omega^0(S, f^*TY),$$

where  $[df \wedge df \wedge df] \in \Omega^3(S, f^*TY)$  denotes the 3-form

$$T_xS \times T_xS \times T_xS \rightarrow T_{f(x)}Y: (\xi, \eta, \zeta) \mapsto [df(x)\xi, df(x)\eta, df(x)\zeta].$$

*Proof.* The gradient of  $\mathcal{A}$  at an element  $f \in \tilde{\mathcal{F}}$  is the vector field  $\text{grad } \mathcal{A}(f)$  along  $f$  defined by

$$\int_S \langle \text{grad } \mathcal{A}(f), \hat{f} \rangle f^* \phi = - \int_S f^* (\iota(\hat{f})\psi) = \int_S \langle [df \wedge df \wedge df], \hat{f} \rangle.$$

Here the last equation follows from the identity

$$-\psi(\hat{f}, u, v, w) = \psi(u, v, w, \hat{f}) = \langle [u, v, w], \hat{f} \rangle$$

(see equation (4.9) in Lemma 4.8). This proves Lemma 12.14.  $\square$

We emphasize that the gradient of  $\mathcal{A}$  at  $f$  is pointwise orthogonal to the image of  $df$ . This is of course a consequence of the fact that the 1-form  $\delta\mathcal{A}$  on  $\mathcal{F}$  and the inner product on  $T\mathcal{F}$  are  $\mathcal{G}$ -invariant. Now a negative gradient flow line of  $\mathcal{A}$  is a smooth map

$$\mathbf{R} \times S \rightarrow Y: (t, x) \mapsto u_t(x)$$

that satisfies the partial differential equation

$$(12.15) \quad \partial_t u_t(x) + \frac{[du_t(x)e_1, du_t(x)e_2, du_t(x)e_3]}{\phi(du_t(x)e_1, du_t(x)e_2, du_t(x)e_3)} = 0$$

for all  $(t, x) \in \mathbf{R} \times S$  and every frame  $e_1, e_2, e_3$  of  $T_x S$ . Moreover, we require of course that  $u_t$  is an embedding for every  $t$  and that  $u_t^* \phi$  vanishes nowhere.

**Lemma 12.16.** *Let  $\mathbf{R} \times S \rightarrow Y: (t, x) \mapsto u_t(x)$  be a smooth map such that  $u_t \in \mathcal{F}$  for every  $t$ . Let  $\xi_t \in \text{Vect}(S)$  be chosen such that*

$$(12.17) \quad \partial_t u_t(x) - du_t(x)\xi_t(x) \perp \text{im } du_t(x) \quad \forall (t, x) \in \mathbf{R} \times S.$$

Then the set

$$(12.18) \quad \Sigma := \{(t, u_t(x)) : t \in \mathbf{R}, x \in S\}$$

is a **Cayley submanifold** of  $\mathbf{R} \times Y$  (that is, each tangent space is a Cayley subspace) with respect to the Cayley calibration  $\Phi := dt \wedge \phi + \psi$  if and only if

$$(12.19) \quad \partial_t u_t(x) - du_t(x)\xi_t(x) + \frac{[du_t(x)e_1, du_t(x)e_2, du_t(x)e_3]}{\phi(du_t(x)e_1, du_t(x)e_2, du_t(x)e_3)} = 0$$

for every pair  $(t, x) \in \mathbf{R} \times S$  and every frame  $e_1, e_2, e_3$  of  $T_x S$ .

*Proof.* Fix a pair  $(t, x) \in \mathbf{R} \times S$  and choose a basis  $e_1, e_2, e_3$  of  $T_x S$ . By Theorem 5.20 ((iii)) the triple cross product of the three tangent vectors

$$(0, du_t(x)e_1), \quad (0, du_t(x)e_2), \quad (0, du_t(x)e_3)$$

of  $\Sigma$  is the pair

$$(\phi(du_t(x)e_1, du_t(x)e_2, du_t(x)e_3), -[du_t(x)e_1, du_t(x)e_2, du_t(x)e_3]).$$

Since this pair is orthogonal to the three vectors  $(0, du_t(x)e_i)$  and its first component is nonzero, it follows that our pair is tangent to  $\Sigma$  if and only if it is a scalar multiple of the pair  $(1, \partial_t u_t(x) - du_t(x)\xi_t(x))$ . This is the case if and only if (12.19) holds. Hence, it follows from Lemma 6.25 that  $\Sigma$  is a Cayley submanifold of  $\mathbf{R} \times Y$  if and only if  $u$  satisfies equation (12.19). This proves Lemma 12.16.  $\square$

Lemma 12.16 shows that every negative gradient flow line of  $\mathcal{A}$  determines a Cayley submanifold  $\Sigma \subset \mathbf{R} \times Y$  via (12.18) and, conversely, every Cayley submanifold  $\Sigma \subset \mathbf{R} \times Y$ , with the property that the projection  $\Sigma \rightarrow \mathbf{R}$  is a proper submersion, can be parametrized as a negative gradient flow line of  $\mathcal{A}$  (for some  $S$ ). Thus the negative gradient trajectories of  $\mathcal{A}$  are solutions of an elliptic equation, after taking account of the action of the infinite dimensional reparametrization group  $\mathcal{G}$ . They minimize the energy

$$\begin{aligned} E(u, \xi) &:= \frac{1}{2} \int_{-\infty}^{\infty} \int_S \left( |\partial_t u_t - du_t \xi_t|^2 + \left| \frac{[du_t \wedge du_t \wedge du_t]}{u_t^* \phi} \right|^2 \right) u_t^* \phi dt \\ &= \frac{1}{2} \int_{-\infty}^{\infty} \int_S \left| \partial_t u_t - du_t \xi_t + \frac{[du_t \wedge du_t \wedge du_t]}{u_t^* \phi} \right|^2 u_t^* \phi dt + \int_{\mathbf{R} \times S} u^* \psi. \end{aligned}$$

For studying the solutions of (12.19) it will be interesting to introduce the energy density  $e_f: S \rightarrow \mathbf{R}$  of an embedding  $f \in \mathcal{F}$  via

$$e_f(x) := \frac{\det(\langle df(x)e_i, df(x)e_j \rangle_{i,j=1,2,3})}{\phi(df(x)e_1, df(x)e_2, df(x)e_3)^2}$$

for every  $x \in S$  and every frame  $e_1, e_2, e_3$  of  $T_x S$ . Then  $e_{f \circ g} = e_f \circ g$  for every (orientation preserving) diffeomorphism  $g$  of  $S$  and so the energy

$$(12.20) \quad \mathcal{E}(f) := \int_S e_f f^* \phi$$

is a  $\mathcal{G}$ -invariant function on  $\mathcal{F}$ . Moreover, it follows from Lemma 4.4 that

$$\mathcal{E}(f) = \int_S \left| \frac{[df \wedge df \wedge df]}{f^* \phi} \right|^2 f^* \phi + \int_S f^* \phi.$$

If  $\phi$  is closed, then the last term on the right is a topological invariant. Moreover, the first term vanishes if and only if  $f$  is a critical point of the action functional  $\mathcal{A}$ . Thus the critical points of  $\mathcal{A}$  are also the absolute minima of the energy  $\mathcal{E}$  (in a given homology class).

## 12.4 Outlook: difficulties and new phenomena

These observations are the starting point of a conjectural Floer–Donaldson type theory in dimensions seven and eight, as outlined in the paper by Donaldson and Thomas [DT98]. The analytical difficulties one encounters when making this precise are formidable, including non-compactness phenomena in codimension four [Tia00] and two in the gauge theory and submanifold theory respectively. The work of Donaldson and Segal [DS11] explains that this leads to new geometric phenomena linking the gauge theory and the submanifold theory. It is now understood that neither the naive approach to counting  $G_2$ -instantons [DS11; Wal17] nor that of counting associative submanifolds [Nor13] can work on their own. There are, however, ideas of how the theories outlined in Section 12.2 and Section 12.3 have to be combined and extended to obtain new invariants [DS11; HW15].

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